

A Finiteness Theorem

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The L^2 -norm for Holomorphic Functions



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Definition

$$L^2(D, \mathcal{O}) := \{f \in \mathcal{O}(D) : \|f\|_{L^2(D)} < \infty\}$$

Remark If $\text{vol}(D) < \infty$ then for every bounded function $f \in \mathcal{O}(D)$, one has:

$$\|f\|_{L^2(D)} \leq \sqrt{\text{vol}(D)} \|f\|_D$$

where $\|f\|_D := \sup\{|f(z)| : z \in D\}$.

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This inner product makes $L^2(D, \mathcal{O})$ a unitary space and has a well-defined notion of orthogonality.

Consider the case $D = B = B(a, r)$. Let:

$$\psi_n(z) := (z - a)^n, n \in \mathbb{N}.$$

Then the family $\{\psi_n\}_{n \in \mathbb{N}}$ forms an orthogonal system in $L^2(B, \mathcal{O})$.

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If $f \in L^2(B, \mathcal{O})$, $f(z) = \sum_{n=0}^{\infty} c_n (z - a)^n$, it follows from Pythagoras that

$$\|f\|_{L^2(B)}^2 = \sum_{n=0}^{\infty} \frac{\pi r^{2n+2}}{n+1} |c_n|^2.$$

Theorem

Suppose $D \subset \mathbb{C}$ is open, $r > 0$ and

$$D_r := \{z \in \mathbb{C} : B(z, r) \subset D\}.$$

Then for every $f \in L^2(D, \mathcal{O})$ one has

$$\|f\|_{D_r} \leq \frac{1}{\sqrt{\pi}r} \|f\|_{L^2(D)}.$$

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Proof.

Let $a \in D_r$ and $f(z) = \sum c_n(z-a)^n$. One has:

$$|f(a)| = |c_0| \leq \frac{1}{\sqrt{\pi}r} \|f\|_{L^2(B(a,r))} \leq \frac{1}{\sqrt{\pi}r} \|f\|_{L^2(D)}.$$

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Remark If $(f_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in $L^2(D, \mathcal{O})$ then the sequence converges uniformly on every compact subset of D . Thus the limit function is holomorphic on D . Hence $L^2(D, \mathcal{O})$ is a Hilbert space.

Lemma

Suppose $D' \Subset D$ are open subsets of \mathbb{C} . Then given any $\varepsilon > 0$, there exists a closed vector subspace $A \subset L^2(D, \mathcal{O})$ of finite codimension such that

$$\|f\|_{L^2(D')} \leq \varepsilon \|f\|_{L^2(D)}, \forall f \in A.$$

Proof.

There exists $r > 0$ and finitely many points $a_1, \dots, a_k \in D$ with the following properties:

- i. $B(a_j, r) \subset D$ for $j = 1, 2, \dots, k$,
- ii. $D' \subset \bigcup_{j=1}^k B(a_j, r/2)$.

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Let A be the closed vector subspace of $L^2(D, \mathcal{O})$ consists all functions f vanishing at every point a_j at least to order n ($n \in \mathbb{N}$). One can easily see that $\text{codim}(A) \leq kn$.

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$$f(z) = \sum_{m=n}^{\infty} c_m (z - a_j)^m.$$

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$$f(z) = \sum_{m=n}^{\infty} c_m (z - a_j)^m.$$

For every $\rho \leq r$ one has:

$$\|f\|_{L^2(B(a_j, \rho))}^2 = \sum_{m=n}^{\infty} \frac{\pi \rho^{2n+2}}{m+1} |c_m|^2.$$



Proof.

It follows that:

$$\|f\|_{L^2(B(a_j, r/2))} \leq 2^{-n-1} \|f\|_{L^2(B(a_j, r))}, \forall j = 1, \dots, k.$$

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Then one has:

$$\begin{aligned} \|f\|_{L^2(D')} &\leq \sum_{j=1}^k \|f\|_{L^2(B(a_j, r/2))} \\ &\leq 2^{-n-1} \sum_{j=1}^k \|f\|_{L^2(B(a_j, r))} \\ &\leq 2^{-n-1} k \|f\|_{L^2(D)}. \end{aligned}$$

Choose n large enough gives us our desired result. □



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Suppose $\mathfrak{B} = (V_i, z_i)_{1 \leq i \leq n}$ is a finite family of charts on X . Let $|\mathfrak{B}| := V_1 \cup \dots \cup V_n$, we can define the cochain groups $C^0(\mathfrak{B}, \mathcal{O})$ and $C^1(\mathfrak{B}, \mathcal{O})$ on the space $|\mathfrak{B}|$.

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If $\mathfrak{W} = (W_i)_{1 \leq i \leq n}$ is another family such that $W_i \subset V_i$ (resp. $W_i \Subset V_i$) $\forall i$, we'll write $\mathfrak{W} < \mathfrak{B}$ (resp. $\mathfrak{W} \ll \mathfrak{B}$) for short.

Consider $\mathfrak{U}^* = (U_i^*, z_i)_{1 \leq i \leq n}$ where $z_i(U_i^*) \subset \mathbb{C}$ are disks $\forall i = 1, \dots, n$. Suppose $\mathfrak{U} < \mathfrak{U}^*$, define L^2 -norms on $C^0(\mathfrak{U}, \mathcal{O})$, $C^1(\mathfrak{U}, \mathcal{O})$ as follow:

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- i. For $\eta = (f_i) \in C^0(\mathfrak{U}, \mathcal{O})$ let:

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The set of cochains having finite norm is a vector subspace $C_{L^2}^q(\mathfrak{U}, \mathcal{O}) \subset C^q(\mathfrak{U}, \mathcal{O})$ for $q = 0, 1$. Moreover, they are Hilbert spaces.

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Proposition

If $\mathfrak{B} \ll \mathfrak{U}$ (i.e. $V_i \in U_i, i = 1, 2, \dots, n$) then for any cochain $\xi \in C^q(\mathfrak{U}, \mathcal{O})$ one has $\|\xi\|_{L^2(\mathfrak{B})} < \infty$. Moreover, for any $\varepsilon > 0$ there exists a closed vector subspace $A \subset Z_{L^2}^1(\mathfrak{U}, \mathcal{O})$ of finite codimension such that:

$$\|\xi\|_{L^2(\mathfrak{B})} \leq \varepsilon \|\xi\|_{L^2(\mathfrak{U})}, \forall \xi \in A.$$

Theorem

Let X be a Riemann surface and \mathfrak{U}^* be a finite family of charts on X such that $z_i(U_i^*)$ are disks. Further suppose that one has $\mathfrak{W} \ll \mathfrak{B} \ll \mathfrak{U} \ll \mathfrak{U}^*$. Then there exists a constant $C > 0$ such that $\forall \xi \in Z_{L^2}^1(\mathfrak{B}, \mathcal{O}), \exists \zeta \in Z_{L^2}^1(\mathfrak{U}, \mathcal{O}), \eta \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$ satisfying:

$$\zeta = \xi + \delta\eta \text{ on } \mathfrak{W}$$

and

$$\max(\|\zeta\|_{L^2(\mathfrak{U})}, \|\eta\|_{L^2(\mathfrak{W})}) \leq C \|\xi\|_{L^2(\mathfrak{B})}.$$

Proof.

- i. Suppose $\xi = (f_{ij}) \in Z_{L^2}^1(\mathfrak{B}, \mathcal{O})$ is given. Since $H^1(|\mathfrak{B}|, \mathcal{E}) = 0$, there exists a cochain $(g_i) \in C^0(\mathfrak{B}, \mathcal{E})$ such that $f_{ij} = g_j - g_i$ on $V_i \cap V_j$.

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Since $\bar{\partial}g_i = \bar{\partial}g_j$ on $V_i \cap V_j$, $\exists \omega \in \mathcal{E}^{0,1}(|\mathfrak{B}|)$ with $\omega|_{V_i} = \bar{\partial}g_i$.

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 By Dolbeault's lemma, $\exists h_i \in \mathcal{E}(U_i^*)$ such that $\bar{\partial}h_i = \psi\omega$ on U_i^* . Let $F_{ij} := h_j - h_i$ on $U_i^* \cap U_j^*$, one has $F_{ij} \in \mathcal{O}(U_i^* \cap U_j^*) \forall i, j$.

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 Set $\zeta := (F_{ij})|_{\mathfrak{U}}$. Since $\mathfrak{U} \ll \mathfrak{U}^*$, one has $\zeta \in Z_{L^2}^1(\mathfrak{U}, \mathcal{O})$.

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On each $W_i \in \mathfrak{W}_i$, one has $\bar{\partial}h_i = \psi\omega = \omega = \bar{\partial}g_i$ and thus $h_i - g_i$ is holomorphic on W_i . Since $h_i - g_i$ is bounded on W_i , one has $\eta := (h_i - g_i)|_{\mathfrak{W}} \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$.

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On each $W_i \in \mathfrak{W}_i$, one has $\bar{\partial}h_i = \psi\omega = \omega = \bar{\partial}g_i$ and thus $h_i - g_i$ is holomorphic on W_i . Since $h_i - g_i$ is bounded on W_i , one has $\eta := (h_i - g_i)|_{\mathfrak{W}} \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$.

Note that $F_{ij} - f_{ij} = (h_j - g_j) - (h_i - g_i)$ on $W_i \cap W_j$ and thus

$$\zeta - \xi = \delta\eta \text{ on } \mathfrak{W}.$$



Proof.

ii. Consider the Hilbert space:

$$H := Z_{L^2}^1(\mathfrak{A}, \mathcal{O}) \times Z_{L^2}^1(\mathfrak{B}, \mathcal{O}) \times C_{L^2}^0(\mathfrak{W}, \mathcal{O})$$

with the norm

$$\|(\zeta, \xi, \eta)\|_H := \left(\|\zeta\|_{L^2(\mathfrak{A})} + \|\xi\|_{L^2(\mathfrak{B})} + \|\eta\|_{L^2(\mathfrak{W})} \right)^{1/2}$$

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Let $L \subset H$ be the subspace

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Since L is closed in H , it is also Hilbert. Consider:

$$\pi : L \rightarrow Z_{L^2}^1(\mathfrak{B}, \mathcal{O}), (\zeta, \xi, \eta) \mapsto \xi$$

Proof.

ii. Consider the Hilbert space:

$$H := Z_{L^2}^1(\mathfrak{A}, \mathcal{O}) \times Z_{L^2}^1(\mathfrak{B}, \mathcal{O}) \times C_{L^2}^0(\mathfrak{W}, \mathcal{O})$$

with the norm

$$\|(\zeta, \xi, \eta)\|_H := \left(\|\zeta\|_{L^2(\mathfrak{A})} + \|\xi\|_{L^2(\mathfrak{B})} + \|\eta\|_{L^2(\mathfrak{W})} \right)^{1/2}$$

Let $L \subset H$ be the subspace

$$L := \{(\zeta, \xi, \eta) \in H : \zeta = \xi + \delta\eta\}$$

Since L is closed in H , it is also Hilbert. Consider:

$$\pi : L \rightarrow Z_{L^2}^1(\mathfrak{B}, \mathcal{O}), (\zeta, \xi, \eta) \mapsto \xi$$

π is open. Thus exists a constant $C > 0$ s.t. $\forall \xi \in Z_{L^2}^1(\mathfrak{B}, \mathcal{O}), \exists x = (\zeta, \xi, \eta) \in L$ with $\pi(x) = \xi$ and $\|x\|_H \leq C \|\xi\|_{L^2(\mathfrak{B})}$ (*). The proof is complete.



Lemma

Suppose $f : X \rightarrow Y$ is an open linear map between Banach spaces. Then there exists a constant $C > 0$ such that for every $y \in Y$, $\exists x \in X$ with $f(x) = y$ and $\|x\|_X \leq C \|y\|_Y$

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Let $y \in Y$ arbitrarily. One has $\frac{y}{\|y\|_Y} \in f(\{\|x\|_X \leq C\})$, then:

$$\frac{y}{\|y\|_Y} = f(x') \text{ for some } \|x'\|_X \leq C.$$

Let $x = \|y\|_Y x'$, then $f(x) = y$ and $\|x\|_X = \|y\|_Y \|x'\|_X \leq C \|y\|_Y$. □

Theorem

Let X be a Riemann surface and \mathfrak{U}^* be a finite family of charts on X such that $z_i(U_i^*)$ are disks. Further suppose that one has $\mathfrak{W} \ll \mathfrak{B} \ll \mathfrak{U} \ll \mathfrak{U}^*$. Then there exists a constant $C > 0$ such that $\forall \xi \in Z_{L^2}^1(\mathfrak{B}, \mathcal{O}), \exists \zeta \in Z_{L^2}^1(\mathfrak{U}, \mathcal{O}), \eta \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$ satisfying:

$$\zeta = \xi + \delta\eta \text{ on } \mathfrak{W}$$

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Under the same assumptions as in the previous theorem, there exists a finite codimensional vector subspace $S \subset Z_{L^2}^1(\mathfrak{U}, \mathcal{O})$ with the following property:

$\forall \xi \in Z^1(\mathfrak{U}, \mathcal{O})$ there exist elements $\sigma \in S$ and $\eta \in C^0(\mathfrak{W}, \mathcal{O})$ s.t.:

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Remark The natural restriction mapping

$$H^1(\mathfrak{U}, \mathcal{O}) \rightarrow H^1(\mathfrak{W}, \mathcal{O})$$

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Let $\zeta_0 = \xi_0 + \sigma_0$ with $\xi_0 \in A, \sigma_0 \in S$ be the orthogonal decomposition. By Pythagoras one has $\|\xi_0\|_{L^2(\mathfrak{U})} \leq \|\zeta_0\|_{L^2(\mathfrak{U})} \leq CM$. By the definition of A ,

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Analogously, $\exists \zeta_1 \in Z_{L^2}^1(\mathfrak{U}, \mathcal{O})$ and $\eta_1 \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$ such that:

$$\zeta_1 = \xi + \delta\eta_1 \text{ on } \mathfrak{W} \text{ and } \|\zeta_1\|_{L^2(\mathfrak{U})}, \|\eta_1\|_{L^2(\mathfrak{W})} \leq \frac{CM}{2}.$$

Proof.

By induction, we construct elements $\zeta_k \in Z_{L^2}^1(\mathfrak{X}, \mathcal{O})$, $\eta_k \in C_{L^2}^0(\mathfrak{W}, \mathcal{O})$, $\xi_k \in A$, $\sigma_k \in S$ such that:

$$\begin{cases} \zeta_k = \xi_{k-1} + \delta\eta_k \text{ on } \mathfrak{W} \\ \zeta_k = \xi_k + \sigma_k \\ \|\zeta_k\|_{L^2(\mathfrak{X})}, \|\eta_k\|_{L^2(\mathfrak{W})} \leq \frac{CM}{2^k}. \end{cases}$$

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Then

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Let $m \rightarrow \infty$ one has:

$$\sigma = \xi + \delta\eta \text{ on } \mathfrak{W}.$$



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For every open covering $\mathfrak{U} = (U_i)_{i \in I}$ of X , one has $\mathfrak{U} \cap Y := (U_i \cap Y)_{i \in I}$ is an open covering of Y and the natural restriction mapping $Z^1(\mathfrak{U}, \mathcal{F}) \rightarrow Z^1(\mathfrak{U} \cap Y, \mathcal{F})$ induces a homomorphism $H^1(\mathfrak{U}, \mathcal{F}) \rightarrow H^1(\mathfrak{U} \cap Y, \mathcal{F})$.

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Remark If $Y' \subset Y \subset X$ are open subsets, then the homomorphism $H^1(X, \mathcal{F}) \rightarrow H^1(Y', \mathcal{F})$ is the composition of $H^1(X, \mathcal{F}) \rightarrow H^1(Y, \mathcal{F})$ and $H^1(Y, \mathcal{F}) \rightarrow H^1(Y', \mathcal{F})$.

Theorem

Suppose X is a Riemann surface and $Y_1 \Subset Y_2 \subset X$ are open subsets. Then the restriction homomorphism

$$H^1(Y_2, \mathcal{O}) \rightarrow H^1(Y_1, \mathcal{O})$$

has a finite dimensional image.

Proof.

There exists a finite family of charts $\mathfrak{U}^* = (U_i^*, z_i)_{1 \leq i \leq n}$ on X and $\mathfrak{W} \ll \mathfrak{B} \ll \mathfrak{U} \ll \mathfrak{U}^*$ such that:

- i. $Y_1 \subset \bigcup_{i=1}^n W_i =: Y' \Subset Y'' := \bigcup_{i=1}^n U_i \subset Y_2$,
- ii. all $z_i(U_i^*)$, $z_i(U_i)$ and $z_i(W_i)$ are disks in \mathbb{C} .

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Applying the previous theorem one has the restriction mapping $H^1(\mathfrak{U}, \mathcal{O}) \rightarrow H^1(\mathfrak{W}, \mathcal{O})$ has a finite dimensional image.

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Note that the restriction mapping $H^1(Y_2, \mathcal{O}) \rightarrow H^1(Y_1, \mathcal{O})$ can be factorized as follows:

$$H^1(Y_2, \mathcal{O}) \rightarrow H^1(Y'', \mathcal{O}) \rightarrow H^1(Y', \mathcal{O}) \rightarrow H^1(Y_1, \mathcal{O}),$$

the proof is complete. □

Theorem

Suppose X is a Riemann surface and $Y_1 \Subset Y_2 \subset X$ are open subsets. Then the restriction homomorphism

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Corollary

If X is a compact Riemann surface then $\dim H^1(X, \mathcal{O}) < \infty$.

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If X is a compact Riemann surface then $\dim H^1(X, \mathcal{O}) < \infty$.

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If X is a compact Riemann surface then $g := \dim H^1(X, \mathcal{O})$ is called the **genus** of X .

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If X is a compact Riemann surface then $g := \dim H^1(X, \mathcal{O})$ is called the **genus** of X .

Remark The Riemann sphere \mathbb{P}^1 has genus zero.

Theorem

Suppose X is a Riemann surface and $Y \Subset X$. Then for every point $a \in Y$ there exists a meromorphic function $f \in \mathcal{M}(Y)$ which has a pole at a and holomorphic on $Y \setminus \{a\}$.

Proof.

Let $k := \dim \operatorname{Im}(H^1(X, \mathcal{O}) \rightarrow H^1(Y, \mathcal{O})) < \infty$.

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Then $\mathfrak{U} = (U_1, U_2)$ is an open covering of X .

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For each $j = 1, \dots, k+1$, we observe that the function z^{-j} is holomorphic on $U_1 \setminus \{a\} = U_1 \cap U_2$ and hence represents a cocycle $\zeta_j \in Z^1(\mathfrak{U}, \mathcal{O})$.

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Note that $\dim \operatorname{Im}(H^1(\mathfrak{U}, \mathcal{O}) \rightarrow H^1(\mathfrak{U} \cap Y, \mathcal{O})) \leq k$ then the cocycles

$$\zeta_j|_Y \in Z^1(\mathfrak{U} \cap Y, \mathcal{O}), j = 1, \dots, k+1$$

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are linearly dependent modulo the coboundaries. Then there exists complex numbers c_1, \dots, c_{k+1} not all zero and a cochain $\eta = (f_1, f_2) \in C^0(\mathfrak{U} \cap Y, \mathcal{O})$ such that:

$$\begin{aligned} c_1 \zeta_1 + \dots + c_{k+1} \zeta_{k+1} &= \delta \eta \text{ with respect to } \mathfrak{U} \cap Y, \\ \Rightarrow \sum_{j=1}^{k+1} c_j z^{-j} &= f_2 - f_1 \text{ on } U_1 \cap U_2 \cap Y. \\ \Rightarrow f_1 + \sum_{j=1}^{k+1} c_j z^{-j} &= f_2 \text{ on } U_1 \cap U_2 \cap Y. \end{aligned}$$

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For each $j = 1, \dots, k+1$, we observe that the function z^{-j} is holomorphic on $U_1 \setminus \{a\} = U_1 \cap U_2$ and hence represents a cocycle $\zeta_j \in Z^1(\mathfrak{U}, \mathcal{O})$.

Note that $\dim \operatorname{Im}(H^1(\mathfrak{U}, \mathcal{O}) \rightarrow H^1(\mathfrak{U} \cap Y, \mathcal{O})) \leq k$ then the cocycles

$$\zeta_j|_Y \in Z^1(\mathfrak{U} \cap Y, \mathcal{O}), j = 1, \dots, k+1$$

are linearly dependent modulo the coboundaries. Then there exists complex numbers c_1, \dots, c_{k+1} not all zero and a cochain $\eta = (f_1, f_2) \in C^0(\mathfrak{U} \cap Y, \mathcal{O})$ such that:

$$\begin{aligned} c_1 \zeta_1 + \dots + c_{k+1} \zeta_{k+1} &= \delta \eta \text{ with respect to } \mathfrak{U} \cap Y, \\ \Rightarrow \sum_{j=1}^{k+1} c_j z^{-j} &= f_2 - f_1 \text{ on } U_1 \cap U_2 \cap Y. \\ \Rightarrow f_1 + \sum_{j=1}^{k+1} c_j z^{-j} &= f_2 \text{ on } U_1 \cap U_2 \cap Y. \end{aligned}$$

Hence there exists a function $f \in \mathcal{M}(Y)$ such that $f = f_1 + \sum_{j=1}^{k+1} c_j z^{-j}$ on $U_1 \cap Y$ and $f = f_2$ on $U_2 \cap Y = Y \setminus \{a\}$. This is the desired function. \square

Corollary

Suppose X is a compact Riemann surface and a_1, a_2, \dots, a_n are distinct points on X . Then there exists a meromorphic function $f \in \mathcal{M}(X)$ such that:

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$$g_k := \frac{g - g(a_k)}{g - g(a_k) + \lambda_k} \in \mathcal{M}(X)$$

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$$f := \prod_{k=2}^n g_k \in \mathcal{M}(X),$$

Then $f(a_1) = 1, f(a_2) = \dots = f(a_n) = 0$. □

Corollary

Suppose X is a compact Riemann surface and a_1, \dots, a_n are distinct points on X . Given any complex numbers c_1, \dots, c_n . Then there exists a meromorphic function $f \in \mathcal{M}(X)$ such that:

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This is our desired function. □

Some Results For Non-compact Case



Non-compact Case

Theorem

Let X be a non-compact Riemann surface and $Y \Subset X$ be an open subset. Then there exists a holomorphic function $f : Y \rightarrow \mathbb{C}$ which is not constant on any connected component of Y .

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Proof.

Choose a domain Y_1 such that $Y \Subset Y_1 \Subset X$ and a point $a \in Y_1 \setminus Y$. Apply the previous theorem to Y_1 and the point a . □

Theorem

Suppose X is a non-compact Riemann surface and $Y \Subset Y' \Subset X$ are open subsets.
Then

$$\text{Im}(H^1(Y', \mathcal{O}) \rightarrow H^1(Y, \mathcal{O})) = 0.$$

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Let f be a holomorphic function on Y' which is not constant on any connected component of Y' . Since $H^1(Y', \mathcal{O})$ is a $\mathcal{O}(Y')$ -module, then $f\xi_k \in H^1(Y', \mathcal{O})$ are defined.

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By the choice of ξ_k , there exist constants $c_{kp} \in \mathbb{C}$ such that

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$$F := \det(f\delta_{kp} - c_{kp})_{1 \leq k, p \leq n}$$

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An arbitrarily $\zeta \in H^1(Y', \mathcal{O})$ can be represented by a cocycle $(f_{ij}) \in Z^1(\mathcal{U}, \mathcal{O})$. By the argument above, there exists $(g_{ij}) \in Z^1(\mathcal{U}, \mathcal{O})$ such that $f_{ij} = Fg_{ij}$. Let $\xi \in H^1(Y', \mathcal{O})$ be the cohomology class of (g_{ij}) . Then $\zeta = F\xi$ and hence $\zeta|_Y = F\xi|_Y = 0$. \square

Corollary

Suppose X is a non-compact Riemann surface and $Y \Subset Y' \subset X$ are open subsets. Then for every differential form $\omega \in \mathcal{E}^{0,1}(Y')$ there exists a function $f \in \mathcal{E}(Y)$ such that $\bar{\partial}f = \omega|_Y$.

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Hence there exists a function $f \in \mathcal{E}(Y)$ such that

$$f = f_i - g_i \text{ on } U_i \cap Y, \text{ for every } i \in I.$$

Easily check that $\bar{\partial}f = \omega|_Y$. □

THANK YOU FOR LISTENING