

# Mumford-Tate groups II

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# Outline

- 1 Deligne torus and Hodge structures
- 2 Mumford-Tate groups
- 3  $\mathbb{Q}$ -Hodge structures of CM type and the Serre group

# Notations

Let  $k$  be a field and  $L/k$  be a field extension.

- $X_F := X \times_k F$  for any  $k$ -variety  $X$ .
- $V_F := V \otimes_k F$  for any  $k$ -vector space  $V$ .
- $\text{Rep}_k(G)$  the category of finite dimensional representation of  $G$  over  $k$ .

# Deligne torus

Let  $L/k$  be a finite field extension and  $X$  a  $L$ -variety. The functor

$$\mathrm{Res}_{L/k} X : (k\text{-schemes})^{\mathrm{op}} \rightarrow \mathbf{Set}, S \mapsto X(S \times_k L)$$

is represented by a  $k$ -variety called *Weil restriction* (or restriction of scalars) of  $X$  and denoted by  $(X)_{L/k}$ .

## Definition

*Deligne torus* is defined as  $\mathbb{S} := (\mathbb{G}_{m,\mathbb{C}})_{\mathbb{C}/\mathbb{R}}$ .

Facts:

- $\mathbb{S} = \mathrm{Spec}(\mathbb{R}[x, y][[(x^2 + y^2)^{-1}]])$ . For any commutative  $\mathbb{R}$ -algebra  $A$ ,  $\mathbb{S}(A) = (A \otimes_{\mathbb{R}} \mathbb{C})^*$ . In particular,  $\mathbb{S}(\mathbb{R}) = \mathbb{C}^\times$  and  $\mathbb{S}_{\mathbb{C}} \cong \mathbb{G}_m^2$ .
- There are homomorphisms

$$\begin{array}{ccc} \mathbb{G}_{m,\mathbb{R}} & \xrightarrow{\omega} & \mathbb{S} \xrightarrow{\mathrm{Nr}} \mathbb{G}_{m,\mathbb{R}} \\ \mathbb{R}^\times & \xrightarrow{a \mapsto a^{-1}} & \mathbb{C}^\times \xrightarrow{z \mapsto z\bar{z}} \mathbb{R}^\times \end{array}$$

$\omega$  : weight cocharacter,  $\mathrm{Nr}$  : norm character.

- $\mathbb{S} = \mathbb{S}^1 \cdot \mathbb{G}_{m,\mathbb{R}}$  where  $\mathbb{S}^1 := \ker(\mathrm{Nr})$  is the *topological torus*.

# Real Hodge structures

## Definition

Let  $V$  be a real vector space.

- A ( $\mathbb{R}$ -) Hodge structure on  $V$  is a homomorphism  $h: \mathbb{S} \rightarrow \mathrm{GL}(V)$ .
- $V$  is called pure of weight  $m$  if  $h(a) = a^{-m} \cdot \mathrm{id} \forall a \in \mathbb{R}^\times$ .
- A morphism of  $\mathbb{R}$ -Hodge structures is a  $\mathbb{R}$ -linear morphism  $f: V \rightarrow W$  which is  $\mathbb{S}$ -equivariant.

The category of  $\mathbb{R}$ -Hodge structures is denoted by  $\mathbb{R}\mathrm{HS}$ .

- Such  $h$  determines a decomposition  $V \otimes \mathbb{C} = \bigoplus V^{p,q}$  where  $V^{p,q}$  is the subspace on which  $h(z)$  acts as  $z^{-p} \cdot \bar{z}^{-q}$ . Therefore,  $V^{p,q} = \overline{V^{q,p}}$ .
- If  $f: V \rightarrow W$  is a morphism of HS, then  $f_{\mathbb{C}}(V^{p,q}) \subset W^{p,q}$ .
- $\mathbb{R}\mathrm{HS}$  is a neutral Tannakian category whose Tannakian group is  $\mathbb{S}$ .

# Rational Hodge structures

## Definition

- A  $\mathbb{Q}$ -Hodge structure  $(V, h)$  is a  $\mathbb{Q}$ -vector space  $V$  together with a Hodge structure  $h$  on  $V_{\mathbb{R}}$  such that  $\omega_h = h \circ \omega: \mathbb{G}_{m, \mathbb{R}} \rightarrow \mathrm{GL}_{V, \mathbb{R}}$  is defined over  $\mathbb{Q}$ .
- A morphism of  $\mathbb{Q}$ -Hodge structures is a  $\mathbb{Q}$ -linear morphism  $f: V \rightarrow W$  such that  $f_{\mathbb{R}}: V_{\mathbb{R}} \rightarrow W_{\mathbb{R}}$  is  $\mathbb{S}$ -equivariant.

The category of  $\mathbb{Q}$ -Hodge structures is denoted by  $\mathbb{Q}\text{-HS}$ .

## Definition

- An element  $x$  is called to be purely of type  $(p, q)$  if  $x \in V \cap V^{p, q}$ .
- $x \in V$  is called a  $(p, p)$ -Hodge class if  $x$  is purely of type  $(p, p)$  for some  $p \in \mathbb{Z}$ .

A morphism of  $\mathbb{Q}$ -HS  $f: V \rightarrow W$  is the same as a  $(0, 0)$ -Hodge class in the  $\mathbb{Q}$ -HS  $\mathrm{Hom}(V, W)$ .

# Tate object

## Definition

- *Tate-Hodge structure*  $\mathbb{Q}(r)$ :  $= (2\pi i)^r \mathbb{Q} \subset \mathbb{C}$  of pure type  $(-r, -r) \in \mathbb{Z}^2$  where  $h(z)$  acts as multiplication by  $(z.\bar{z})^r$ .
- *Tate twist*  $V(r)$ :  $= V \otimes \mathbb{Q}(r)$  for any  $\mathbb{Q}$ -HS  $V$ . One has  $V(r)^{p,q} = V^{p+r,q+r}$ .

Why Tate object?:

A morphism  $f: V \rightarrow W$  such that  $f_{\mathbb{C}}(V^{p,q}) \subset W^{p+r,q+r}$  for a  $r \in \mathbb{Z}$  is not a morphism of  $\mathbb{Q}$ -HS in our sense, unless  $r = 0$ . Such  $f$  is called a 'morphism of HS of degree  $r$ '. With Tate twist,  $(2\pi i)^r \cdot f: V \rightarrow W(r)$  is a morphism of HS with our definition.

## Example

Let  $X$  and  $Y$  be two compact Kähler manifolds and let  $f: X \rightarrow Y$  be a holomorphic map. Then

- $f^*: H^n(Y, \mathbb{Q}) \rightarrow H^n(X, \mathbb{Q})$  is a morphism of Hodge structures.
- The Gysin morphism  $f_*: H^n(X, \mathbb{Q}) \rightarrow H^{n-2r}(Y, \mathbb{Q})$  is a 'morphism of Hodge structures of degree  $r$ ', where  $r = \dim(Y) - \dim(X)$ .

# Polarizable Hodge structures

## Definition

A *polarization* of a  $\mathbb{Q}$ -Hodge structure  $(V, h)$  of weight  $m \in \mathbb{Z}$  is a morphism of Hodge structure  $\psi: V \otimes V \rightarrow \mathbb{Q}(-m)$  such that

$$(x, y) \mapsto (2\pi i)^m \psi_{\mathbb{R}}(x, Cy) : V_{\mathbb{R}} \times V_{\mathbb{R}} \rightarrow \mathbb{R}$$

is symmetric and positive definite. Here  $C := h(i)$  is the Weil operator.

Facts:

- The orthogonal complement of a sub-HS w.r.t. a polarization gives a complementary HS. Hence, the category of polarized  $\mathbb{Q}$ -HS is *semisimple*.
- The category  $\mathbb{Q}$ HS is *not* semisimple. The category of complex tori up to isogeny is equivalent to the category of *effective* weight 1  $\mathbb{Q}$ -HSs. There are morphisms of complex tori  $T \twoheadrightarrow T$  which do not split up to isogeny.



# Examples

- Riemann's Theorem: The functor  $A \mapsto H^1(A, \mathbb{Q})$  is an equivalence between the category of complex abelian varieties, up to isogeny, and the category of polarizable  $\mathbb{Q}$ -Hodge structures of type  $(-1, 0), (0, -1)$ .
- Hodge Decomposition: If  $X$  is a smooth complex projective variety, then  $H^m(X, \mathbb{Q})$  is a polarizable  $\mathbb{Q}$ -Hodge structure of weight  $m$ . The  $(p, q)$ -component of  $H^m(X, \mathbb{Q})$  is isomorphic to  $H^q(X, \Omega_X^p)$  where  $\Omega_X^p$  is the sheaf of differential forms of degree  $p$  on  $X$ .
- Hodge Conjecture: Rational Hodge classes in  $H^{2p}(X, \mathbb{Q})$  are *algebraic*.

# Mumford-Tate groups

## Definition

Let  $(V, h)$  be a  $\mathbb{Q}$ -Hodge structure.

- The *Mumford-Tate group*  $MT(V)$  is the smallest algebraic subgroup  $G$  of  $GL(V)$  (over  $\mathbb{Q}$ ) such that  $G_{\mathbb{R}} \supset h(\mathbb{S})$ .
- The *Hodge group* (or the *special Mumford-Tate group*)  $Hg(V)$  is the smallest algebraic subgroup  $G^1$  of  $GL(V)$  (over  $\mathbb{Q}$ ) such that  $G_{\mathbb{R}}^1 \supset h(\mathbb{S}^1)$ .

Fact:

- $MT(V)$  and  $Hg(V)$  are connected.
- Let  $\mu: \mathbb{G}_m \rightarrow \underline{GL(V)}_{\mathbb{C}}$  such that  $\mu(z)v = z^{-p}v$  for  $v \in V^{p,q}$ . Since  $h(z) = \mu(z) \cdot \bar{\mu}(z)$ ,  $MT(V)$  is the smallest algebraic subgroup  $G$  of  $GL(V)$  (over  $\mathbb{Q}$ ) such that  $G_{\mathbb{C}} \supset \mu(\mathbb{G}_m)$ .
- $Hg(V) \subset MT(V)$  is a subgroup and  $MT(V) = Hg(V) \cdot \omega_h(\mathbb{G}_m)$ .
- MT groups are interesting from Galois representation and motives perspectives (e.g., Mumford-Tate conjecture).

## Proposition

Let  $G$  be a connected algebraic group over  $\mathbb{Q}$  and  $h: \mathbb{S} \rightarrow G_{\mathbb{R}}$ . The pair  $(G, h)$  is the Mumford-Tate group of a  $\mathbb{Q}$ -Hodge structure iff the following conditions hold:

- (a)  $\omega_h(\mathbb{G}_m) \subset Z(G)$  where  $Z(G)$  is the centre of  $G$ ,
- (b)  $\omega_h: \mathbb{G}_{m, \mathbb{R}} \rightarrow G_{\mathbb{R}}$  is defined over  $\mathbb{Q}$ , and
- (c)  $h$  generates  $G$  (i.e., if  $H \subset G$  is any subgroup such that  $h(\mathbb{S}) \subset H_{\mathbb{R}}$ , then  $H = G$ ).

## Proof.

( $\Rightarrow$ ): If  $(G, h)$  is the Mumford-Tate group of a  $\mathbb{Q}$ -Hodge structure  $(V, h)$ , then (b), (c) are obvious. To show (a), let  $Z(\omega_h)$  denote the centralizer of  $\omega_h$  in  $G$ . For any  $a \in \mathbb{R}^{\times}$ ,  $\omega_h(a): V_{\mathbb{R}} \rightarrow V_{\mathbb{R}}$  is a morphism of  $\mathbb{R}$ -Hodge structures, hence it commutes with the action of  $h(\mathbb{S})$ . This implies  $h(\mathbb{S}) \subset Z(\omega_h)_{\mathbb{R}}$ . As  $h$  generates  $G$ ,  $Z(\omega_h) = G$ .

( $\Leftarrow$ ): If  $(G, h)$  satisfies these conditions, then for any faithful representation  $\rho: G \rightarrow \mathrm{GL}(V)$ , the pair  $(V, h \circ \rho)$  is a  $\mathbb{Q}$ -Hodge structure, and  $(G, h)$  is its Mumford-Tate group. □

Let  $(V, h)$  be a  $\mathbb{Q}$ -HS. For any pair of multi-indices  $d, e \in \mathbb{N}^n$ , we define the tensor space

$$T^{d,e}V := \bigoplus_{i=1}^n V^{\otimes d_i} \otimes (V^\vee)^{\otimes e_i}.$$

This space inherits a natural Hodge structure from  $V$ . The group  $\text{MT}(V) \subset \text{GL}(V)$  acts naturally on  $T^{d,e}V$ .

### Proposition

1. For any pair  $(d, e)$  and  $W \subset T^{d,e}V$  a  $\mathbb{Q}$ -subspace,  $W$  is a sub-Hodge structure if and only if  $W$  is stable under the action of  $\text{MT}(V)$  on  $T^{d,e}V$ .
2. An element  $v \in T^{d,e}V$  is a  $(0,0)$ -Hodge class if and only if  $v$  is an invariant under  $\text{MT}(V)$ .

### Corollary

$$\text{Hom}_{\mathbb{Q}\text{-HS}}(V) = \text{End}_{\mathbb{Q}}(V)^{\text{MT}(V)}$$

## Proposition

If  $(V, h)$  is polarizable  $\mathbb{Q}$ -HS, then  $\text{MT}(V)$  is a reductive group.

## Proposition

Let  $G \subset \text{GL}(V)$  be a subgroup of elements that fix every  $(0,0)$ -Hodge class in every tensor space  $T^{d,e}V$ . Then  $G = \text{MT}(V)$ .

## Proof.

By Proposition 12,  $\text{MT}(V) \subset G$ . The converse is a general fact about reductive group and includes 3 steps:

1.  $\text{MT}(V)$  is the stabilizer of a one dimensional subspace  $L \subset T = T^{d,e}V$  for some  $d, e$  (Chevalley's theorem).
2. Since  $\text{MT}(V)$  is reductive,  $T = L \oplus L'$  as representation and  $\text{MT}(V)$  is the stabilizer of a generator of  $L \otimes L^\vee$  in  $T \otimes T^\vee$ .
3. Such generator is a  $(0,0)$ -Hodge class, hence  $G \subset \text{MT}(V)$  by Proposition 12.



## Lemma

- Suppose  $V$  is of weight  $m$ . If  $m = 0$  then  $\text{MT}(V) \subset \text{SL}(V)$ . If  $m \neq 0$ , then  $\mathbb{G}_m \cdot \text{id} \subset \text{MT}(V)$ .
- If  $V_1$  and  $V_2$  are two  $\mathbb{Q}$ -Hodge structures then  $\text{MT}(V_1 \oplus V_2) \subset \text{MT}(V_1) \times \text{MT}(V_2)$  as subgroups of  $\text{GL}(V_1 \oplus V_2)$  and the projection to either factor is surjective.
- For  $V_1, \dots, V_n \in \mathbb{Q}\text{HS}$  and positive integers  $n_1, \dots, n_r$  we have

$$\text{MT}(V_1^{n_1} \oplus \dots \oplus V_r^{n_r}) = \text{MT}(V_1 \oplus \dots \oplus V_r).$$

## Proof.

These properties follows from the definition of MT groups. E.g., since  $h(z)$  acts as multiplication by  $z^{-p}\bar{z}^{-q}$  on  $V^{p,q}$ ,  $\det(h(z)) = \text{Nr}(z)^{-m \dim(V)/2}$  for any  $z \in \mathbb{S}$ . □

## Example

$$\text{MT}(\mathbb{Q}(n)) = \begin{cases} 1, & \text{if } n = 0 \\ \mathbb{G}_m, & \text{otherwise.} \end{cases}$$

# Tannakian formulation

## Proposition

The functor

$$\text{Rep}(\text{MT}(V)) \rightarrow \mathbb{Q}\text{HS}, (\rho: \text{MT}(V) \rightarrow \text{GL}(W)) \mapsto (\rho \circ h: \mathbb{S} \rightarrow \text{GL}(W))$$

is fully faithful. The image of this functor is the full subcategory  $\langle V, h \rangle^{\otimes} \subset \mathbb{Q}\text{HS}$  whose objects are the  $\mathbb{Q}$ -HS that are isomorphic to a subquotient of some  $T^{d,e}V$ . In other words,  $\langle V, h \rangle^{\otimes}$  is a Tannakian category whose Tannakian group is  $\text{MT}(V)$ .

## Proof.

- The second statement follows from the fact that every representation of  $\text{MT}(V)$  is isomorphic to a subquotient of  $T^{d,e}V$  for some pair  $d, e$ .
- The first statement follows from Proposition 12 and the fact that a morphism of HS  $W_1 \rightarrow W_2$  is the same as a  $(0, 0)$ -Hodge class in  $\text{Hom}(W_1, W_2)$ .



# MT group of an Elliptic curve

Let  $E$  be an elliptic curve and  $V =: H^1(E, \mathbb{Q})$ .

Let  $D := \text{End}(X) \otimes \mathbb{Q}$ .

One has

$$D \cong \text{End}(V, h) := \text{Hom}_{\mathbb{Q}\text{-Hs}}(V, V) \cong \text{End}(V)^{\text{MT}(V)}$$

where the first isomorphism follows from Riemann theorem and the last one follows from Proposition 12.

Two possibilities (Albert classification):

- $D \cong \mathbb{Q}$ . The only connected reductive subgroups of  $\text{GL}(V) = \text{GL}_2$  containing  $\mathbb{G}_m \cdot \text{id}$  are  $\mathbb{G}_m \cdot \text{id}$ ,  $\text{GL}_2$  or maximal tori of  $\text{GL}_2$ . Since  $\mathbb{Q} \cong \text{End}(\mathbb{Q}^2)^{\text{MT}(V)}$ , one has  $\text{MT}(V) = \text{GL}(V)$ .
- $D \cong \mathbb{Q}(\tau)$  is an imaginary quadratic field (hence CM field). In this case  $E$  has complex multiplication and  $E = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$ . Now  $V$  is free module of rank 1 on  $D$ . Since  $\text{MT}(V)$  has to consist of  $D$ -linear automorphism of  $V$ , one has  $\text{MT}(V) \subset T_D$ , where  $T_D$  is the algebraic torus whose set of points over any ring  $\mathbb{R}$  is  $(R \otimes_{\mathbb{Q}} A)^*$  (in other word,  $T_D$  is the Weil restriction  $(\mathbb{G}_{m,D})_{D/\mathbb{Q}}$ ). Then  $\text{MT}(V) = \mathbb{G}_m \cdot \text{id}$  or  $T_D$ . Since  $\mathbb{Q}(\tau) = \text{End}(\mathbb{Q}^2)^{\text{MT}(V)}$ , one has  $\text{MT}(V) = T_D$ .



# Torus

Let  $k$  be a field,  $k^s$  a separable closure of  $k$ .

## Definition

Let  $T$  be a linear algebraic group over  $k$ .  $T$  is called an algebraic torus if  $T_{k^s} \cong \mathbb{G}_{m,k^s}^r$  for some  $r \in \mathbb{Z}_{>0}$  ( $r$  is called the rank or dimension of  $T$ ).

If  $T_L \cong \mathbb{G}_{m,L}^r$  for a field extension  $L/k$ , then  $T$  is said to be split by  $L$ .

## Example

- Deligne torus  $\mathbb{S}$  is a torus of rank 2 on  $\mathbb{R}$ .
- If  $[L : \mathbb{Q}] = d$ , then  $(\mathbb{G}_{m,L})_{L/\mathbb{Q}}$  is a  $d$ -dimensional torus on  $\mathbb{Q}$ .
- Character group  $X^*(T) := \text{Hom}(T_{k^s}, \mathbb{G}_{m,k^s})$ .
- Cocharacter group  $X_*(T) := \text{Hom}(\mathbb{G}_{m,k^s}, T_{k^s})$ .
- $X^*(T)$  and  $X_*(T)$  are free abelian group of rank  $r$  equipped with a continuous action of  $\text{Gal}(k^s/k)$ .
- Perfect pairing  $\langle \cdot, \cdot \rangle : X^*(T) \times X_*(T) \rightarrow \text{End}(\mathbb{G}_{m,k^s}) = \mathbb{Z}$ .

Main properties:

- Let  $\mathcal{A}$  be the category of free abelian group of finite rank with a continuous action of  $\text{Gal}(k^s/k)$ . Then the functors

$$X^*(-): (\text{algebraic tori on } k)^{op} \rightarrow \mathcal{A}$$

and

$$X_*(-): (\text{algebraic tori on } k) \rightarrow \mathcal{A}$$

are equivalence of categories.

- There is an equivalence between  $\text{Rep}_k(T)$  and the category of finite dimensional  $k$ -vector space  $V$  with  $X^*(T)$ -grading  $V_{k^s} = \bigoplus_{\chi \in X^*(T)} V_{k^s}(\chi)$  such that  $\sigma V_{k^s}(\chi) = V_{k^s}(\sigma\chi)$  for all  $\sigma \in \text{Gal}(k^s/k)$ .

Over  $k = k^s$ , one has  $T = \mathbb{G}_m^r$  and

$$\text{Rep}_k(T) = \left\{ V = \bigoplus_{(n_1, \dots, n_r) \in \mathbb{Z}^r} V^{n_1, \dots, n_r} \right\}$$

# HS of CM-type

## Definition

A CM field is a number field that admits a non-trivial involution  $i_E$  such that  $\rho \circ i_E = i \circ \rho$  for any  $\rho: E \rightarrow \mathbb{C}$ .

Equivalently, a CM field is a totally imaginary quadratic extension of a totally real field.

## Example

- $\mathbb{Q}(\sqrt{a})$  with  $a \in \mathbb{Z}_{<0}$  is a CM field.
- $\mathbb{Q}(\zeta_n)$  where  $\zeta_n$  is a primitive  $n$ -th root of unity is a CM field.

## Definition

A polarizable  $\mathbb{Q}$ -Hodge structure  $(V, h)$  is called to be of CM-type if its Mumford-Tate group is a torus.

Equivalent condition: The endomorphism algebra  $\text{End}(V, h)$  contains a commutative semisimple  $\mathbb{Q}$ -algebra  $F$  such that  $V$  is free of rank 1 as an  $F$ -module. When  $(V, h)$  is simple, it is of CM-type iff  $\text{End}(V, h)$  is a CM-field.

# Examples

## Example

Let  $E$  be an elliptic curve with complex multiplication, then  $V := H^1(E, \mathbb{Q})$  is a Hodge structure of CM-type.

## Example

Let  $A$  be a complex abelian variety of dimension  $g$  and  $V := H_1(A, \mathbb{Q})$ .

The choice of a polarization  $\lambda: A \rightarrow A^t$  yields a polarization  $\psi: V \otimes V \rightarrow \mathbb{Q}(1)$ .

$D := \text{End}(A) \otimes \mathbb{Q}$  is finite dimensional semisimple  $\mathbb{Q}$ -algebra.

$A$  is said to be of CM-type if there is a commutative semisimple sub-algebra  $F \subset D$  with  $\dim_{\mathbb{Q}} F = 2g$ .

If  $A$  is simple, then this is equivalent to the condition that  $D$  is a CM field of degree  $2g$  over  $\mathbb{Q}$ .

$A$  is of CM-type if and only if  $\text{MT}(V)$  is a torus [4, (5.3)].

# Torus as MT group

## Proposition

Let  $T$  be a torus over  $\mathbb{Q}$  and  $\mu: \mathbb{G}_m \rightarrow T_{\mathbb{C}}$  be a cocharacter. The pair  $(T, \mu)$  is the Mumford-Tate group of a polarized rational Hodge structure if and only if

- (a)  $T$  is split by a CM field.
- (b)  $\omega = \mu + i \cdot \mu$  of  $\mu$  is defined over  $\mathbb{Q}$ .
- (c)  $\mu$  generates  $T$ .

Let  $\Gamma = \text{Gal}(\mathbb{Q}^{\text{al}}/\mathbb{Q})$ . In terms of cocharacter group  $X_*(T)$  of  $T$ , (a) says the action of  $i$  on  $X_*(T)$  commutes with the action of  $\Gamma$ , (b) says  $\mu + i\mu$  is fixed by  $\Gamma$ , and (c) says  $X_*(T) = \Gamma\mu$ .

Idea of the proof:

- If  $(V, h)$  is a non-trivial simple Hodge structure of CM-type, then  $E := \text{End}(V, h)$  is a CM field and  $V$  is 1-dimensional vector space over  $E$ .
- $\text{MT}(V) \subset T_E := (\mathbb{G}_{m,E})_{E/\mathbb{Q}}$ .

# Serre group

For a CM-field  $E \subset \mathbb{C}$  let  $S^E$  be the quotient of  $(\mathbb{G}_{m,E})_{E/\mathbb{Q}}$  with character group

$$X^*(S^E) = \{\lambda \in \mathbb{Z}^{\text{Hom}(E, \mathbb{C})} \mid \lambda(\tau) + \lambda(i\tau) = \text{constant}\}.$$

Define  $\mu^E$  to be the cocharacter of  $S^E$  such that

$$\langle \lambda, \mu^E \rangle = \lambda(\tau_0), \text{ for all } \lambda \in X^*(S^E)$$

where  $\tau_0: E \hookrightarrow \mathbb{C}$  is the given embedding.

Let  $E \subset E' \subset \mathbb{C}$ , the norm map defines a homomorphism  $S^{E'} \rightarrow S^E$  carrying out  $\mu^{E'} \rightarrow \mu^E$ .

## Definition

The pair  $(S, \mu_{\text{can}}) := \varprojlim_E (S^E, \mu^E)$  is called the *Serre group*.

Let  $\mathbb{Q}^{\text{cm}}$  be the union of all CM-subfields of  $\mathbb{Q}^{\text{al}}$ , then  $X^*(S)$  can be identified with the set of all locally constant function  $\lambda: \text{Gal}(\mathbb{Q}^{\text{cm}}/\mathbb{Q}) \rightarrow \mathbb{Z}$  such that  $\lambda(\tau) + \lambda(i\tau) = -m$ .

Let  $E$  be a CM field and  $M := \text{Hom}(E, \mathbb{C})$ . There is a bijection between Hodge structures of CM-type of weight  $n$  with endomorphisms by  $E$ , and function  $\lambda: M \rightarrow \mathbb{Z}$  such that  $\lambda(\tau) + \lambda(i\tau) = n$  [1, Lemma 2].

### Theorem

Let  $(T, \mu)$  be a pair satisfying conditions (a) and (b) in Proposition 21. Then there exists a unique homomorphism  $\rho: S \rightarrow T$  defined over  $\mathbb{Q}$  such that  $\rho \circ \mu_{\text{can}} = \mu$ . Moreover,  $(S, \mu_{\text{can}}) = \varprojlim (T, \mu)$  where the limit is taken over all pairs  $(T, \mu)$  satisfying 21(a)(b)(c).

### Proof.

Work with character groups. □

## Corollary

*The  $\mathbb{Q}$ -Hodge structures of CM-type form a Tannakian category whose Tannakian group is the Serre group.*

## Proof.

It is obvious that Hodge structures of CM-type form a Tannakian category. The pro-algebraic group attached to the forgetful fibre functor is the inverse limit of the Mumford-Tate groups of the Hodge structures of CM type. The statement follows from Theorem 20. □



# Non-example







## Proposition (Green)

A simple algebraic group  $G$  over  $\mathbb{Q}$  is a Mumford-Tate group if and only if  $G(\mathbb{R})$  contains a compact maximal torus.

## Corollary

$SL_n$  is not a MT group when  $n \geq 3$ .

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Thank you for paying attention!