Lecture 6: Recovering an affine group scheme from its representations

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$$\Delta(a_{ij}) = \sum_l a_{il} \otimes a_{lj}$$

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Let $U := Span\{v_j, a_{i,j} \forall i, j\}, \Delta(U) \subset U \otimes A$.

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- G: an affine group scheme over k,
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- $\omega := \omega^{\mathsf{G}}$: $\operatorname{Rep}_k \mathsf{G} \longrightarrow \operatorname{Vec}_k$ is forgetful funtor
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For $R \in Alg_k$, we define $\underline{Aut}^{\otimes}(\omega)(R)$ to be the collection of tensor preserving automorphisms of the functor

$$\omega^R : \operatorname{Rep}_k G \longrightarrow \operatorname{Mod}_R, X \mapsto X \otimes R.$$

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More explicit, we have the following definition.

Definition

An element of $\underline{Aut}^{\otimes}(\omega)(R)$ is a family (λ_X) $(X \in Ob(\operatorname{Rep}_k G)$, where (λ_X) is an *R*-linear automorphism of $X \otimes R$ subject to

• $\lambda_{\mathbb{I}}$ is the identity map on $R \cong k \otimes R$;

$$2 \lambda_{X \otimes Y} = \lambda_X \otimes \lambda_Y;$$

So For all G-equivariant α : X → Y, the following diagram commutes:

$$\begin{array}{c} X \otimes R \xrightarrow{\lambda_X} X \otimes R \\ a \otimes id \\ Y \otimes R \xrightarrow{\lambda_Y} Y \otimes R. \end{array}$$

Every $g \in G(R)$ defines an element of $\underline{Aut}^{\otimes}(\omega)(R)$. Indeed, for $X \in \operatorname{Rep}_k G$ we write g_X for the *R*-automorphism:

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defined by the representation $G \longrightarrow GL(X)$. Then three conditions are all satisfied. Thus we have a natural map from G to $\underline{Aut}^{\otimes}(\omega)$. We can state one half of the principle of Tannakian duality.

Theorem: (Proposition 2.8, [Deligne-Milne 82])

The natural map $G \longrightarrow \underline{Aut}^{\otimes}(\omega)$ is an isomorphism of functors of *k*-algebras.

Proof:

 X ∈ Rep_kG, C_X := full subcategory Rep_kG consists: Objects ≅ subquotient of P(X, X[∨])(or subquotient of directed sum of objects form T^{a,b}(X) := X^{⊗a} ⊗ X^{∨⊗b}.)

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- The map

$$\frac{\operatorname{Aut}^{\otimes}(\omega|\mathcal{C}_X)(R)\longrightarrow\operatorname{GL}(X\otimes R)}{\lambda\mapsto\lambda_X}$$

identifies $\underline{Aut}^{\otimes}(\omega|\mathcal{C}_X)$ with subgroup of GL_X .

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• Let $G_X :=$ image of G in GL_X , G_X is closed algebraic subgroup of GL_X .

• It is easy to see that

$G_X(R) \subset \operatorname{\underline{Aut}}^{\otimes}(\omega|\mathcal{C}_X)(R) \subset \operatorname{GL}(X \otimes R)$

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 If V ∈ Ob(C_X) and t is fixed by G_X ie t ∈ V^{G_X}, then the map multiplication by t, α : k → V is a G-equivarant:



 $\Rightarrow \lambda_V(t \otimes 1) = (\alpha \otimes \mathsf{id})\lambda_{\mathbb{I}}(1) = t \otimes 1$

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<u>Conclusion</u>: <u>Aut</u>^{\otimes}($\omega|C_X$) is subgroup of GL_X fixing all tensors in $\operatorname{Rep}_k(G_X)$ fixed by G_X :

$$\Rightarrow G_X = \underline{\operatorname{Aut}}^{\otimes}(\omega|\mathcal{C}_X)$$

as algebraic groups. This is inferred from:

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<u>Claim</u>: if $G \longrightarrow GL_X$ is a faithful representation of algebraic group G, H is subgroup G and $H \subset H'$:= subgroup of G fixing all tensors occurring in subquotient of $T^{a,b}(X) := X^{\otimes a} \otimes X^{\vee \otimes b}$ that are fixed by H,then H = H'. (Corollary of Chevalley's theorem, Remark 3.2 in [Deligne-Milne 82]) <u>Claim</u>: if $G \longrightarrow GL_X$ is a faithful representation of algebraic group G, H is subgroup G and $H \subset H'$:= subgroup of G fixing all tensors occurring in subquotient of $T^{a,b}(X) := X^{\otimes a} \otimes X^{\vee \otimes b}$ that are fixed by H,then H = H'. (Corollary of Chevalley's theorem, Remark 3.2 in [Deligne-Milne 82])

• If $X' = X \oplus Y$, then $\mathcal{C}_X \subset \mathcal{C}_{X'}$:

$$\begin{array}{ccc} G_{X'} & \xrightarrow{\cong} & \underline{\operatorname{Aut}}^{\otimes}(\omega | \mathcal{C}_{X'}) \\ & & & \downarrow \\ G_X & \xrightarrow{\cong} & \underline{\operatorname{Aut}}^{\otimes}(\omega | \mathcal{C}_X). \end{array}$$

Passing to the inverse limit over diagrams:

$$\Rightarrow \varprojlim G_X \cong \varprojlim \underline{\operatorname{Aut}}^{\otimes}(\omega | \mathcal{C}_X)$$

• $G \cong \underline{Aut}^{\otimes}(\omega)$ as *k*-functors.

Let $f: G \longrightarrow G'$ be a homomorphism. For every $X \in \operatorname{Rep}_k G'$, the compositon $G \xrightarrow{f} G' \longrightarrow \operatorname{GL}_X$ defines $X \in \operatorname{Rep}_k G$. So f induces a tensor functor: $\omega^f : \operatorname{Rep}_k G' \longrightarrow \operatorname{Rep}_k G$ such that $\omega^{G'} = \omega^G \circ \omega^f$.

Corollary: (Corollary 2.9, [Deligne-Milne 82])

Let G, G' be affine k-groups schemes and let $F : \operatorname{Rep}_k G' \longrightarrow \operatorname{Rep}_k G$ be a tensor functor such that $\omega^{G'} = \omega^G \circ F$. Then there exists a unique homomorphism $f : G \longrightarrow G'$ such that $F = \omega^f$. Let $f: G \longrightarrow G'$ be a homomorphism. For every $X \in \operatorname{Rep}_k G'$, the compositon $G \xrightarrow{f} G' \longrightarrow \operatorname{GL}_X$ defines $X \in \operatorname{Rep}_k G$. So f induces a tensor functor: $\omega^f : \operatorname{Rep}_k G' \longrightarrow \operatorname{Rep}_k G$ such that $\omega^{G'} = \omega^G \circ \omega^f$.

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Proof:

• For every $R \in Alg_k$, F define a homomorphism

$$F^*(R): G \cong \underline{\operatorname{Aut}}^{\otimes}(\omega^G)(R) \longrightarrow G' \cong \underline{\operatorname{Aut}}^{\otimes}(\omega^{G'})(R)$$
$$(\lambda_X) \mapsto (\lambda_{F(X)})$$

- Above theorem and Yoneda lemma allow us to identify: $F^* \equiv a$ homomorphism $G \longrightarrow G'$.
- $F \mapsto F^*, f \mapsto \omega^f$ are inverse maps.

6.3 Setting for the main theorem

The main theorem: (Theorem 2.11, [Deligne-Milne 82])

$$\begin{cases} (\mathcal{C}, \otimes) : a \text{ rigid abenlian } k\text{-linear tensor category} \\ k = \operatorname{End}(\mathbb{I}) \\ \omega : \mathcal{C} \longrightarrow \operatorname{Vec}_k : exact \text{ faithful } k\text{-linear functor} \\ \Rightarrow \begin{cases} i \end{pmatrix} G := \underline{\operatorname{Aut}}^{\otimes}(\omega^G) : \text{ is an affine group scheme} \\ ii \end{pmatrix} \mathcal{C} \cong \operatorname{Rep}_k G \end{cases}$$

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The first ideas to prove the main theorem:

- Construct the coalgebra A of G without using tensor structure on $\mathcal C$
- For a finite dimension algebras $A, A^{\vee} := \operatorname{Hom}_{k}(A, k)$:

$$\operatorname{Hom}_k(V\otimes A,V)\cong\operatorname{Hom}_k(V,V\otimes A^{\vee})$$

 \Rightarrow *A*-module structures $\stackrel{1-1}{\leftrightarrow}$ *A*^{\vee}-comodule structures

[Tannakian categories-Deligne-Milne 2012, p.21]

Let \mathcal{C} be a *k*-linear abenlian category. Then there exists a functor $\otimes' : Vec_k \times \mathcal{C} \longrightarrow \mathcal{C}$ such that:

- Hom_{\mathcal{C}} $(V \otimes' X, T) \cong V \otimes' Hom_{\mathcal{C}}(X, T);$
- 3 For any k-linear functor $F : \mathcal{C} \longrightarrow \mathcal{C}'$, $F(V \otimes' X) \cong V \otimes' F(X)$.

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The construction of the functor \otimes' :

- Vec^s: the full subcategory of Vec_k whose objects are vector spaces kⁿ (a skeleton of the category Vec_k)
- A skeleton of Vec_k ⇒ ∃ a unique functor Vec_k → Vec^s such that γ is an equivalence of categories and γ ∘ i = id_{Veck} (where Vec^s → Vec_k: the inclusion functor).

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- First, we define $k^n \otimes X := X^{\oplus n}$. Finally, for any $V \in \operatorname{Vec}_k$, define $V \otimes' X := \gamma(V) \otimes' X$.

The transporter of a vector subspace to a subobject in C

We define $\underline{Hom}(V, X) := V^{\vee} \otimes' X$. If $W \subset V$ as vector subspace and $Y \subset X$ as subobject then **the transporter** of W to Y is

$$(Y: W) := \operatorname{ker}(\operatorname{\underline{Hom}}(V, X) \longrightarrow \operatorname{\underline{Hom}}(W, X/Y))$$

<u>Explain</u>: We want to define a subspace of $\underline{Hom}(V, X)$ consisting of all maps from W to Y as a subspace of all maps mapping W into Y such that the composition $W \longrightarrow X \longrightarrow X/Y$ is zero.

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The k-linear functor and the transporter

For any k-linear functor $F : \mathcal{C} \longrightarrow \mathcal{C}'$, we have

$$F(\underline{\operatorname{Hom}}(V,X)) = \underline{\operatorname{Hom}}(V,FX)$$

and if F is exact then F(Y : W) = (FY : W) and both equal:

$$\{g \in (FY)^{\vee} \otimes V = \operatorname{Hom}_k(FY, W) : g(W) \subset FY)\}$$

6.4 Discussing on Hai's a simple proof of injective lemma

Let k be a field, and A, B are k-algebra.

- mod_fA, mod_fB: the categories of k-finite modules over A, B respectively.
- $f : A \longrightarrow B$ is a homomorphism of algebras over k and B is k-finite.
- $\omega : \operatorname{mod}_{f}B \longrightarrow \operatorname{mod}_{f}A$: is induced by f and is a faithfully exact funtor.

[Hai] Phùng Hô Hai, On an injective lemma in the proof of Tannakian duality, Journal of Algebra and Its applications, 2015.

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Lemma 1: ([Hai], Lemma 1.1 and Remark 1.3)

Let $f : A \longrightarrow B$ be a homomorphism of algebras over k and assume that B is k-finite. Then f is surjective iff $\text{mod}_f B$ is a full category of $\text{mod}_f A$ closed under taking submodules.

[Hai] Phùng Hô Hai, *On an injective lemma in the proof of Tannakian duality*, Journal of Algebra and Its applications, 2015.

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We rephrase "*closed under taking submodules*" with diagram:

$$\omega : \operatorname{mod}_{f} B \longrightarrow \operatorname{mod}_{f} A$$
$$X \mapsto \omega(X) \supset Y$$
$$\exists X' \subset X, X' \dashrightarrow \omega(X') = Y$$

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<u>*Proof*</u> : We only need to prove the converse statement:

For any X ∈ mod_fB and any submodule Y, we consider them as are A-modules by mean of f ⇒ Y is stable under the action of B (by the assumption).

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<u>*Proof*</u> : We only need to prove the converse statement:

- For any X ∈ mod_fB and any submodule Y, we consider them as are A-modules by mean of f ⇒ Y is stable under the action of B (by the assumption).
- If f is not surjective then $\operatorname{im} f$ is a strict subalgebra of B and is also B-submodule of B. So $\operatorname{im} f \subset B \in \operatorname{mod}_f B$. On the other hand, $\operatorname{im} f$ contains the unit of $B \Rightarrow \operatorname{im} f$ is not stable under the action of B. This a contradiction.

Let C, D be coalgebras over k.

- $comod_f C$: the category of k-finite comodules over C
- $comod_f D$: the category of k-finite comodules over D.

[Hai] Phùng Hô Hai, *On an injective lemma in the proof of Tannakian duality*, Journal of Algebra and Its applications, 2015.

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- $comod_f C$: the category of k-finite comodules over C
- $comod_f D$: the category of k-finite comodules over D.

We have a duality statement of Lemma 1:

Lemma 2: ([Hai], Lemma 1.2 and Remark 1.3)

Let $f : C \longrightarrow D$ be a homomorphism of coalgebras over k and assume that C is k-finite. Then f is injective iff $comod_f C$ is a full category of $comod_f D$ closed under taking subcomodules.

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.<u>Conclusion</u>: Since each coalgebra is the union of its subcoalgebras, we have "the injective lemma" for any homomorphism between coalgebras.

[Hai] Phùng Hô Hai, *On an injective lemma in the proof of Tannakian duality*, Journal of Algebra and Its applications, 2015.

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