# The Main Theorem

# Nguyễn Quang Khải

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Workshop on Tannakian Categories

The Main Theorem

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# The Main Theorem

- 2 Construction of the k-coalgebra B
- 3 Construction of the k-algebra B
- 5 A criterion to be a rigid tensor category

#### Theorem 1.1

Let  $(C, \otimes)$  be a rigid abelian tensor category such that  $\operatorname{End}(1) = k$  and let  $\omega : C \to \operatorname{Vec}_k$  be an exact faithful k-linear tensor functor. Then,

- the functor <u>Aut</u><sup>⊗</sup>(ω) of k-algebras is represented by an affine group scheme G;
- the functor  $C \to \operatorname{Rep}_k(G)$  defined by  $\omega$  is an equivalence of tensor categories.

• The k-linear abelian structure on C implies that C is equivalent to the category of B-comodules of finite dimension for some k-coalgebra B.

- The *k*-linear abelian structure on *C* implies that *C* is equivalent to the category of *B*-comodules of finite dimension for some *k*-coalgebra *B*.
- The tensor structure on C induces a commutative k-algebra structure on B, and hence B is a k-bialgebra.

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- The tensor structure on C induces a commutative k-algebra structure on B, and hence B is a k-bialgebra.
- The rigidity of C gives us a coinverse map on B, therefore B is a Hopf algebra over k, and  $G := \operatorname{Spec}(B)$  is the affine group scheme we need.

# Relation between modules and comodules

Let (A,m,e) be a  $k-{\rm algebra}$  of finite dimension. The  $k-{\rm algebra}$  maps  $m:A\otimes A\to A \text{ and } e:k\to A$ 

induces a k-coalgebra structure on  $A^{\vee}$  with the comultiplication map

$$A^{\vee} \xrightarrow{m^{\vee}} (A \otimes A)^{\vee} \cong A^{\vee} \otimes A^{\vee}$$

and the coidentity map

$$k \cong k^{\vee} \xrightarrow{e^{\vee}} A^{\vee}.$$

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Further, the bijections

 $\operatorname{Hom}_{k}(V, A^{\vee} \otimes_{k} V) \cong \operatorname{Hom}_{k}(V, \operatorname{Hom}(A, V)) \cong \operatorname{Hom}_{k}(V \otimes_{k} A, V)$  $(\rho: V \to A^{\vee} \otimes V) \mapsto (\nu: V \otimes A \xrightarrow{\rho \otimes \operatorname{id}} A^{\vee} \otimes V \otimes A \xrightarrow{(\operatorname{ev} \otimes \operatorname{id}) \circ t} k \otimes V \cong V)$ 

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determine a one-to-one correspondence between the left (resp. right)  $A^{\vee}$ comodule structures on a finite dimensional vector space V and the right (resp. left) A-module structures on V.

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Let B be a  $k-{\rm coalgebra}$  . The bijection

$$\operatorname{Hom}(V, V \otimes B) \cong \operatorname{Hom}(V^{\vee}, B \otimes V^{\vee})$$

defines a one-to-one correspondence between the right B-comodule structure  $\rho$  on V and the left B-comodule structure  $\rho'$  on  $V^{\vee}$ .

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defines a one-to-one correspondence between the right B-comodule structure  $\rho$  on V and the left B-comodule structure  $\rho'$  on  $V^{\vee}$ . When B is a Hopf algebra with the coinverse S, for any  $(V, \rho) \in \text{Comod}_B$  we define  $\rho^{\vee}$  to be the composite

$$V^{\vee} \xrightarrow{\rho'} B \otimes V^{\vee} \xrightarrow{t} V^{\vee} \otimes B \xrightarrow{\mathrm{id} \otimes S} V^{\vee} \otimes B.$$

Then  $(V^{\vee}, \rho^{\vee}) \in \operatorname{Comod}_B$  and it is the dual of  $(V, \rho)$ .

For every finite-dimensional comodule  $(V, \rho)$  over a k-coalgebra B, let  $B_V$  be the smallest subspace of B such that  $\rho(V) \subset V \otimes B_V$ , it is a finite-dimensional sub-coalgebra of B. Then

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#### Proposition 1.2

Every finite-dimensional  $B_V$ -comodule (considered as a *B*-comodule) *W* is isomorphic to a quotient of a sub-comodule of  $V^n$  for some *n*.

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- Let  $A = B_V^{\vee}$ . Then V is a finite-dimensional faithful left A-module.
- If  $e_1, ..., e_n$  span V as a k-vector space, then  $a \mapsto (ae_1, ..., ae_n) : A \to V^n$  is injective.
- The proposition follows by writing W as a quotient of  $A^m$  for some m.

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## Remark

Let B be a coalgebra over a field k.

- The finite-dimensional right comodules over *B* form an abelian category Comod<sub>*B*</sub> and the forgetful functor to Vec<sub>k</sub> is exact and faithful.
- A bialgebra structure on *B* provides Comod<sub>*B*</sub> with a tensor structure; the forgetful functor preserves tensor products.
- A Hopf algebra structure on *B* provides Comod<sub>*B*</sub> with a rigid tensor structure and the forgetful functor preserves duals.

## Proposition 2.1

Let C be a k-linear abelian category, and let  $\omega : C \to \operatorname{Vec}_k$  be an exact faithful k-linear functor. Then there exists a k-coalgebra B such that C is equivalent to the category of B-comodules of finite dimension over k, and this equivalence carries  $\omega$  into the forgetful functor.

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ω(id<sub>X</sub>) = 0 if and only if id<sub>X</sub> = 0, and so ω(X) = 0 if and only if X = 0. It follows that, if ω(u) is a monomorphism (resp. an epimorphism, resp. an isomorphism), then so also is u. Further, if X ⊂ Y and ω(X) = ω(Y), then X = Y. Thus, all objects of C are both Artinian and Noetherian, and hence of finite length.

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- $\omega(\operatorname{id}_X) = 0$  if and only if  $\operatorname{id}_X = 0$ , and so  $\omega(X) = 0$  if and only if X = 0. It follows that, if  $\omega(u)$  is a monomorphism (resp. an epimorphism, resp. an isomorphism), then so also is u. Further, if  $X \subset Y$  and  $\omega(X) = \omega(Y)$ , then X = Y. Thus, all objects of C are both Artinian and Noetherian, and hence of finite length.
- For objects X, Y of C, Hom(X, Y) has finite dimension over k since it is a subspace of Hom(ω(X), ω(Y)).

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## Definition 2.2

Let X be an object of C, and let S be a subset of  $\omega(X)$ . The subobject of X generated by S is the intersection of the subobjects Y of X such that  $S \subset \omega(Y)$ . This subobject exists, and it is the smallest subobject of X with this property.

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#### Definition 2.3

An object Y is monogenic if it is generated by a single element, i.e., there exists a  $y \in \omega(Y)$  such that if  $Y' \subset Y$  and  $y \in \omega(Y')$  then Y' = Y.

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For X in C, let  $\langle X \rangle$  denote the full subcategory of C whose objects are the quotients of subobjects of direct sums of copies of X.

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We assume that  $C = \langle X \rangle$  for some X.

## Lemma 2.4

For every monogenic object (Y, y) of C,

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- There are maps  $Y \leftarrow Y_1 \hookrightarrow X^m$ .
- Take  $y_1 \in \omega(Y_1)$  whose image  $y \in \omega(Y)$ , and let Z be the subobject of  $Y_1$  generated by  $y_1$ .
- The image of Z in Y contains y and so equals Y. Hence it suffices to prove the lemma for Y → X<sup>m</sup>.

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- The image of Z in Y contains y and so equals Y. Hence it suffices to prove the lemma for Y → X<sup>m</sup>.
- It suffices show that  $Y \hookrightarrow X^{m'}$  for some  $m' \leq \dim_k \omega(X)$ .
- Suppose that  $m > \dim_k \omega(X)$ . Since  $y \in \omega(Y) \subset \omega(X)^m$ ,  $y = (y_1, ..., y_m) \in \omega(X)^m$ .

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• As  $m > \dim_k \omega(X)$ , there exist  $a_i \in k$ , not all zero, such that  $\sum_{i=1}^m a_i y_i = 0$ . We assume that  $a_1 \neq 0$  and let  $\begin{bmatrix} 0 & 1 & 0 & \dots & 0 \end{bmatrix}$ 

$$A = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \in \operatorname{Mat}((m-1) \times m, k).$$

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- As  $m > \dim_k \omega(X)$ , there exist  $a_i \in k$ , not all zero, such that  $\sum a_i y_i = 0.$  We assume that  $a_1 \neq 0$  and let i=1 $A = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \in \operatorname{Mat}((m-1) \times m, k).$ •  $(a_1, ..., a_m)$  and A induce epimorphisms The kernel N of  $(a_1, ..., a_m)$  is isomorphic to  $X^{m-1}$  via  $N \hookrightarrow X^m \twoheadrightarrow X^{m-1}$ • Since  $y \in \omega(N)$ , we have  $Y \subset N \cong X^{m-1}$ .
- Keep doing until  $Y \subset X^{m'}$  with  $m' \leq \dim_k \omega(X),$  and so

 $\dim_k \omega(Y) \le m' \dim_k \omega(X) \le (\dim_k \omega(X))^2.$ 

# The existence of projective generators

## Corrolary 2.5

There exists a monogenic (P, p) for which  $\dim_k \omega(P)$  is maximal.

## Lemma 2.6

- (a) The pair (P,p) represents the functor  $\omega$ ., i.e.,  $\omega(\_) \cong \operatorname{Hom}(P,\_)$ .
- (b) The object P is a projective generator for  $C = \langle X \rangle$ , i.e., the functor  $\operatorname{Hom}(P, \_)$  is exact and faithful.

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  - For each  $Y \in C$ , we define a map  $\operatorname{Hom}(P,Y) \to \omega(Y)$  which sends f to  $\omega(f)(p)$ .
  - For every  $y \in \omega(Y)$ , we need to show that there exists a unique morphism  $f: P \to Y$  such that  $\omega(f)(p) = y$ .

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  - For each  $Y\in C$  , we define a map  ${\rm Hom}(P,Y)\to \omega(Y)$  which sends f to  $\omega(f)(p).$
  - For every  $y \in \omega(Y)$ , we need to show that there exists a unique morphism  $f: P \to Y$  such that  $\omega(f)(p) = y$ .
  - Let Q be the smallest subobject of  $P \times Y$  such that  $\omega(Q)$  contains (p, y). Thus, the projection map  $\operatorname{pr}_1 : Q \to P$  is an epimorphism since  $p \in \omega(\operatorname{pr}_1(Q))$ , and so  $\dim_k \omega(Q) \ge \dim_k \omega(P)$ .

- Since  $\dim_k \omega(P)$  is maximal,  $\dim_k \omega(Q) = \dim_k \omega(P)$ , and so  $\operatorname{pr}_1 : Q \xrightarrow{\sim} P$ . The composition map  $P \xrightarrow{\operatorname{pr}_1^{-1}} Q \xrightarrow{\operatorname{pr}_2} X$  is the desired map.
- To prove the uniqueness, let E be the equalizer of two f's. It is a subobject of P and  $\omega(E)$  is also the equalizer of two  $\omega(f)$ 's. Therefore  $p \in \omega(E)$ , and then E = P.

$$0 \longrightarrow E \longrightarrow P \xrightarrow{\longrightarrow} Y$$

$$0 \longrightarrow \omega(E) \longrightarrow \omega(P) \Longrightarrow \omega(Y)$$

### Lemma 2.7

For every  $Y \in C$ , there exists an exact sequence  $P^s \to P^r \to Y \to 0$ .

• For  $Y \neq 0$ , there exists a nonzero morphism  $\phi_1 : P \to Y$  since  $\operatorname{Hom}(P,Y) \cong \omega(Y) \neq 0$ . If  $\phi_1$  is not an epimorphism, there exists a nonzero morphism  $P \to Y/\operatorname{im}(\phi)$ .

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- Since P is projective, this morphism lifts to a morphism  $\phi_2: P \to Y$ .
- The image of  $\phi_1 \oplus \phi_2 : P \oplus P \to Y$  is then strictly larger than  $\operatorname{im}(\phi_1)$ .

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- Since P is projective, this morphism lifts to a morphism  $\phi_2: P \to Y$ .
- The image of  $\phi_1 \oplus \phi_2 : P \oplus P \to Y$  is then strictly larger than  $im(\phi_1)$ .
- Keep continuing the procedure we get an epimorphism  $\phi: P^r \twoheadrightarrow Y$ .
- It follows that there exists an epimorhism  $P^s\twoheadrightarrow \ker(\phi),$  and then we obtain an exact sequence

$$P^s \to P^r \to Y \to 0.$$

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Let  $A = \operatorname{End}(P)$  and let  $h^P : C = \langle X \rangle \to \operatorname{Vec}_k$  be the functor  $Y \mapsto \operatorname{Hom}(P, Y).$ 

Thus A is a k-algebra of finite dimension over k and  $h^P(Y)$  is a right A-module via the composition maps  $P \to P \to Y$  for every  $Y \in C$ .

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#### Lemma 2.8

- The functor  $h^P$  factors through  $Mod_A$ , the category of right A-modules of finite dimension over k.
- The functor h<sup>P</sup> is an equivalence from C to Mod<sub>A</sub>. Its composite with the forgetful functor is isomorphic to ω.

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- The functor h<sup>P</sup> is an equivalence from C to Mod<sub>A</sub>. Its composite with the forgetful functor is isomorphic to ω.

For Y, Z ∈ C, f : Y → Z, a ∈ A = End(P), u : P → Y we have (h<sup>P</sup>(f) ∘ a)(u) = f ∘ (u ∘ a) = (f ∘ u) ∘ a = (a ∘ h<sup>P</sup>(f))(u).
Thus, h<sup>P</sup>(Hom(Y,Z)) ⊂ Hom<sub>A</sub>(h<sup>P</sup>(Y), h<sup>P</sup>(Z)).
It remains to prove that h<sup>P</sup> is essentially surjective and full.
• Let  $M \in Mod_A$ , and choose a finite presentation for M,

$$A^s \xrightarrow{\psi} A^r \xrightarrow{\phi} M \to 0.$$

• Here  $\psi$  is defined by multiplication with an  $s \times r$  matrix of elements in  $A = \operatorname{End}(P)$ . This matrix induces a morphism  $\overline{\psi} : P^s \to P^r$  satisfying  $h^P(\overline{\psi}) = \psi$ , and so

$$h^P(\operatorname{coker}(\overline{\psi})) \cong \operatorname{coker}(\psi) = M,$$

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- i.e.,  $h^P$  is essential surjective.
- Let  $Y, Z \in C$ , we have

$$\operatorname{Hom}(P^m, Z) \cong h^P(Z)^m \cong \operatorname{Hom}_A(h^P(P^m), h^P(Z)).$$

There is an exact sequence

$$P^m \to P^n \to Y \to 0,$$

and then we have the follwing commutative diagram with exact rows

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Let  $B = A^{\vee}$ . Using the Yoneda lemma, we obtain

 $A = \operatorname{End}(P) \cong \operatorname{End}(h^P) \cong \operatorname{End}(\omega), \text{ and so } B \cong \operatorname{End}(\omega)^{\vee}.$ 

We note that via the isomorphim  $h^P \cong \omega$ , the right A-module  $h^P(Y)$  corresponds to the natural left  $\operatorname{End}(\omega)$ -module  $\omega(Y)$  for every  $Y \in C = \langle X \rangle$ . Together with Lemma 2.8, we obtain

 $(C, \omega) \cong (_{\operatorname{End}(\omega)}\operatorname{Mod}, \operatorname{forget}) \cong (\operatorname{Comod}_{\operatorname{End}(\omega)^{\vee}}, \operatorname{forget}).$ 

# Proof in the general case

• For each object  $X \in C$ , let  $A_X = \operatorname{End}(\omega | \langle X \rangle)$ , and let  $B_X = A_X^{\vee}$ .

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$$(\langle X \rangle, \omega | \langle X \rangle) \cong (\text{Comod}_{B_X}, \text{forget}).$$

• Define a partial ordering on the set of isomorphism classes of objects in *C* by the rule:

$$[X] \leq [Y] \text{ if } \langle X \rangle \subset \langle Y \rangle \,.$$

Since  $[X], [Y] \leq [X \oplus Y]$ , we get a directed set. Further, if  $[X] \leq [Y]$ , then restriction defines a k-algebra homomorphism  $A_Y \to A_X$ . On passing to the dual, we get a k-coalgebra homomorphism  $B_X \to B_Y$ .

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• Passing to the direct limit over the isomorphism classes, we obtain Proposition 2.1 with  $B = \lim_{\longrightarrow [X]} B_X$ .

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Let  $(C, \otimes)$  be an abelian tensor category such that  $\operatorname{End}(\mathbb{1}) = k$  and let  $\omega : C \to \operatorname{Vec}_k$  be an exact faithful k-linear tensor functor, and let  $B = \varinjlim_X B_X$ . Then B has a unique structure of a commutative k-bialgebra such that the equivalence of categories in Proposition 2.1 is compatible with tensor structures. Let B be a k-coalgebra, and let  $\omega : \text{Comod}_B \to \text{Vec}_k$  be the forgetful functor. For an arbitrary k-vector space V, denote by  $\omega \otimes V$  the functor  $M \mapsto \omega(M) \otimes V$  from  $\text{Comod}_B \to \text{Vec}_k$ .

Let B be a k-coalgebra, and let  $\omega : \text{Comod}_B \to \text{Vec}_k$  be the forgetful functor. For an arbitrary k-vector space V, denote by  $\omega \otimes V$  the functor  $M \mapsto \omega(M) \otimes V$  from  $\text{Comod}_B \to \text{Vec}_k$ .

#### Lemma 3.2

The underlying k-vector space of B represents the functor  $V \mapsto \operatorname{Hom}_k(\omega, \omega \otimes V)$  on  $\operatorname{Vec}_k$ , i.e.,

 $\operatorname{Hom}_k(B,V) \cong \operatorname{Hom}_k(\omega, \omega \otimes V)$  that is functorial in V.

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We define a map

$$\Psi_V : \operatorname{Hom}_k(B, V) \to \operatorname{Hom}(\omega, \omega \otimes V)$$
$$\phi \mapsto (\Phi_M : M \xrightarrow{\rho_M} M \otimes B \xrightarrow{\operatorname{id} \otimes \phi} M \otimes V)_M$$

whence a natural transformation  $\Psi : \operatorname{Hom}_k(B, \_) \to \operatorname{Hom}(\omega, \omega \otimes \_)$ .

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$$\Xi_V : \operatorname{Hom}(\omega, \omega \otimes V) \to \operatorname{Hom}_k(B, V)$$
$$\Phi \mapsto (\phi : B \xrightarrow{\Phi_B} B \otimes V \xrightarrow{\epsilon \otimes \operatorname{id}_V} k \otimes V \cong V),$$

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and hence a natural transformation  $\Xi$ : Hom $(\omega, \omega \otimes \_) \rightarrow$  Hom $_k(B, \_)$ . •  $\Xi \circ \Psi = \text{id since for each } \phi \in \text{Hom}_k(B, V)$ ,  $(\Xi \circ \Psi)(\phi) : B \rightarrow V$  is

the composition of the horizontal maps

$$B \xrightarrow{\Delta} B \otimes B \xrightarrow{\operatorname{id} \otimes \phi} B \otimes V \xrightarrow{\epsilon \otimes \operatorname{id}_V} k \otimes V \xrightarrow{\sim} V$$

$$\stackrel{\epsilon \otimes \operatorname{id}_B}{\xrightarrow{\operatorname{id}_B}} k \otimes B \xrightarrow{\sim} B$$

•  $\Psi \circ \Xi = \text{id. Indeed, fix } (N, \rho) \in \text{Comod}_B \text{ and } \Phi \in \text{Hom}(\omega, \omega \otimes V).$ We need to show that  $\Phi_N : N \to N \otimes V$  equals the composition map

$$N \xrightarrow{\rho} N \otimes B \xrightarrow{\operatorname{id} \otimes \Phi_B} N \otimes B \otimes V \xrightarrow{\operatorname{id} \otimes \epsilon \otimes \operatorname{id}} N \otimes k \otimes V \xrightarrow{\sim} N \otimes V.$$

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• We note that the map  $\operatorname{id}_N \otimes \Delta : N \otimes B \to N \otimes B \otimes B$  defines a right *B*-comodule structure on  $N \otimes B$  and  $\rho : N \to N \otimes B$  is a morphism of *B*-comodules.

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- As  $\Phi$  is a morphism of functors, we have a commutative diagram

where the composites of the maps in the horizontal lines are identity maps by the comodule axioms.

- It remains to show that  $\Phi_{N\otimes B} = \mathrm{id}_N \otimes \Phi_B$ .
- Since the k−comodule structure on N⊗B comes from the k−coalgebra structure on B, we can write the B−comodule N⊗B as a finite direct sum of copies of B. The Lemma 3.2 then follows from the fact that Φ commutes with direct sums.

Example 3.3

In Lemma 3.2, let B is a k-coalgebra, and let V = k.

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  - If B is finite over k, we obtain the isomorphism in the Proposition 2.1 for (C = Comod<sub>B</sub>, ω = forget)

$$B \cong B^{\vee\vee} \cong \operatorname{End}(\omega)^{\vee}.$$

• In the general case, for each  $(X, \rho) \in C$ , recall that  $B_X$  denotes the smallest subspace of B such that  $\rho(X) \subset X \otimes B_X$ . Then we have  $\langle X \rangle = \text{Comod}_{B_X}$ , and hence

$$\operatorname{End}(\omega|\langle X\rangle) \cong B_X^{\vee}.$$

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$$\operatorname{End}(\omega|\langle X\rangle) \cong B_X^{\vee}.$$

Since  $B = \bigcup_X B_X$ , by passing to the limit we obtain

$$B \cong \lim_{\longrightarrow} B_X \cong \lim_{\longrightarrow} \operatorname{End}(\omega | \langle X \rangle)^{\vee}.$$

Let  $u: B \to B'$  be a homomorphism of k-coalgebras. A coaction  $V \to V \otimes B$  on V defines a coaction  $V \to V \otimes B \xrightarrow{id \otimes u} V \otimes B'$  on V. Thus, u defines a functor

 $F: (\operatorname{Comod}_B, \omega_B = \operatorname{forget}) \to (\operatorname{Comod}_{B'}, \omega_{B'} = \operatorname{forget})$ 

such that  $\omega_{B'} \circ F = \omega_B$ .

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#### Lemma 3.4

Every functor  $F : \text{Comod}_B \to \text{Comod}_{B'}$  satisfying  $\omega_{B'} \circ F = \omega_B$  arises, as above, from a unique homomorphism of k-coalgebra  $B \to B'$ .

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For each  $X \in \text{Comod}_B$ , we have a k-algebra homomorphism

 $\operatorname{End}(\omega_{B'}|\langle FX\rangle) \to \operatorname{End}(\omega_B|\langle X\rangle),$ 

and hence a k-coalgebra homomorphism

 $\operatorname{End}(\omega_B|\langle X\rangle)^{\vee} \to \operatorname{End}(\omega_{B'}|\langle FX\rangle)^{\vee}.$ 

Passing to the limit, we obtain

$$\lim_{\substack{\longrightarrow\\ [X]}} \operatorname{End}(\omega_B | \langle X \rangle)^{\vee} \to \lim_{\substack{\longrightarrow\\ [X]}} \operatorname{End}(\omega_{B'} | \langle FX \rangle)^{\vee}.$$

Passing to the limit, we obtain

$$\lim_{\stackrel{\longrightarrow}{[X]}} \operatorname{End}(\omega_B | \langle X \rangle)^{\vee} \to \lim_{\stackrel{\longrightarrow}{[X]}} \operatorname{End}(\omega_{B'} | \langle FX \rangle)^{\vee}.$$

Further, we have a natural homomorphism

$$\lim_{\substack{\longrightarrow\\[X]}} \operatorname{End}(\omega_{B'}|\langle FX\rangle)^{\vee} \to \lim_{\substack{\longrightarrow\\[Y]}} \operatorname{End}(\omega_{B'}|\langle Y\rangle)^{\vee}.$$

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$$\lim_{\stackrel{\longrightarrow}{|X|}} \operatorname{End}(\omega_{B'}|\langle FX\rangle)^{\vee} \to \lim_{\stackrel{\longrightarrow}{|Y|}} \operatorname{End}(\omega_{B'}|\langle Y\rangle)^{\vee}.$$

Thus, we have a homomorphism

$$\lim_{\substack{\longrightarrow\\[X]}} \operatorname{End}(\omega_B | \langle X \rangle)^{\vee} \to \lim_{\substack{\longrightarrow\\[Y]}} \operatorname{End}(\omega_{B'} | \langle Y \rangle)^{\vee},$$

and then a homomorphism  $u:B\to B'.$  The uniqueness of u follows from the following lemma.

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#### Lemma 3.5

Let  $(B, \Delta, \epsilon)$  be a k-coalgebra, and let V be a vector space over k. Let u and u' be k-linear maps  $B \to V$  such that

 $(\mathrm{id}_M \otimes u) \circ \rho = (\mathrm{id}_M \otimes u') \circ \rho : M \to M \otimes B \to M \otimes V$ 

for all B-comodules  $(M, \rho)$ . Then u = u'.

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• For each  $(M, \rho)$ , we have

 $(\mathrm{id}_M \otimes u|_{B_M}) \circ \rho = (\mathrm{id}_M \otimes u'|_{B_M}) \circ \rho : M \to M \otimes B_M \to M \otimes V.$ 

We observe that if  $u|_{B_M} = u'|_{B_M}$  for all  $(M, \rho)$ , then u = u' since  $B = \bigcup_M B_M$ . Thus, it suffices to assume that B is finite over k.

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We observe that if  $u|_{B_M} = u'|_{B_M}$  for all  $(M, \rho)$ , then u = u' since  $B = \bigcup_M B_M$ . Thus, it suffices to assume that B is finite over k. • Take duals, we obtain, for any  $B^{\vee}$ -modules  $(M^{\vee}, \rho^{\vee})$ ,

$$V^{\vee} \otimes M^{\vee} \longleftrightarrow (V \otimes M)^{\vee} \xrightarrow{\longrightarrow} B^{\vee} \otimes M^{\vee} \xrightarrow{\rho^{\vee}} M^{\vee},$$
  
i.e.,  $\rho^{\vee} \circ (u^{\vee} \otimes \operatorname{id}_{M^{\vee}}) = \rho'^{\vee} \circ (u'^{\vee} \otimes \operatorname{id}_{M^{\vee}}).$ 

Take 
$$(M^{\vee}, \rho^{\vee}) = (B^{\vee}, \Delta^{\vee})$$
, we obtain  
 $\Delta^{\vee} \circ (u^{\vee} \otimes \mathrm{id}_{B^{\vee}})(v \otimes 1_{B^{\vee}}) = u^{\vee}(v).1_{B^{\vee}} = u^{\vee}(v),$ 

and

$$\Delta^{\vee} \circ (u'^{\vee} \otimes \mathrm{id}_{B^{\vee}})(v^{\otimes} \mathbf{1}_{B^{\vee}}) = u'^{\vee}(v).\mathbf{1}_{B^{\vee}} = u'^{\vee}(v)$$

for all  $v \in V^{\vee}$ . Thus  $u^{\vee} = u'^{\vee}$ , and hence u = u'.

Let B be a  $k-{\rm coalgebra},$  then  $B\otimes B$  is again a  $k-{\rm coalgebra}.$  A coalgebra homomorphism  $m:B\otimes B\to B$  defines a functor

 $\phi^m:\operatorname{Comod}_B\times\operatorname{Comod}_B\to\operatorname{Comod}_B$ 

sending (V, W) to  $V \otimes W$  with the coaction

 $V \otimes W \xrightarrow{\rho_V \otimes \rho_W} V \otimes B \otimes W \otimes B \xrightarrow{(\mathrm{id} \otimes m) \circ t} V \otimes W \otimes B.$ 

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The map  $m \to \phi^m$  defines a one-to-one correspondence between the set of k-coalgebra homomorphisms  $m : B \otimes B \to B$  and the set of k-bilinear functors  $\phi : \text{Comod}_B \times \text{Comod}_B \to \text{Comod}_B$  such that  $\phi(V, W) = V \otimes W$ as k-vector spaces.

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(a) m is associative iff the canonical isomorphisms of vector spaces  $u \otimes (v \otimes w) \mapsto (u \otimes v) \otimes w : U \otimes (V \otimes W) \rightarrow (U \otimes V) \otimes W$ are isomorphisms of *B*-comodules for all *B*-comodules *U*, *V*, *W*.

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(a) m is associative iff the canonical isomorphisms of vector spaces u ⊗ (v ⊗ w) ↦ (u ⊗ v) ⊗ w : U ⊗ (V ⊗ W) → (U ⊗ V) ⊗ W are isomorphisms of B-comodules for all B-comodules U, V, W.
(b) m is commutative iff the canonical isomorphisms of vector spaces v ⊗ w : V ⊗ W → W ⊗ V

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(c) There is an identity map  $e : k \to B$  iff there is an *B*-comodule *U* with underlying vector space *k* st the canonical isomorphisms  $k \otimes V \cong V \cong V \otimes k$  are isomorphisms of *B*-comodules for all *B*-comodules *V*.

The pair  $(\operatorname{Comod}_B \times \operatorname{Comod}_B, \omega \otimes \omega)$ , with  $(\omega \otimes \omega)(X, Y) := \omega(X) \otimes_k \omega(Y)$ , satisfies the conditions of Proposition 2.1, i.e.,  $\operatorname{Comod}_B \times \operatorname{Comod}_B$  is a k-linear abelian category and  $\omega \otimes \omega : C \to \operatorname{Vec}_k$  is an exact faithful k-linear functor.
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We note that for all  $X, Y \in \text{Comod}_B$ , we have

 $\operatorname{End}(\omega \otimes \omega | \langle (X, Y) \rangle) \cong \operatorname{End}(\omega | \langle X \rangle) \otimes \operatorname{End}(\omega | \langle Y \rangle).$ 

Thus,

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and hence we have an equivalence

 $(\operatorname{Comod}_B \times \operatorname{Comod}_B, \omega_B \otimes \omega_B) \cong (\operatorname{Comod}_{B \otimes B}, \omega_{B \otimes B}).$ 

Therefore the bijection  $\{m : B \otimes B \to B\} \xleftarrow{1-1} \{\phi : \operatorname{Comod}_{B \otimes B} \to \operatorname{Comod}_B\} \xleftarrow{1-1} \{\phi : \operatorname{Comod}_B \times \operatorname{Comod}_B \to \operatorname{Comod}_B\}$  follows from Lemma 3.4.

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Now we check the part (a). Suppose that m is associative, i.e., the following diagram commutes



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The B-comodule structure on  $U \otimes (V \otimes W)$  is the composition of maps



Similarly, we have a commutative diagram for B-comodule structure on  $(U \otimes V) \otimes W$ , and from these above diagrams, we obtain the B-comodule isomorphism  $U \otimes (V \otimes W) \cong (U \otimes V) \otimes W$ . For the converse, apply Lemm 3.5. Similarly, we have a commutative diagram for B-comodule structure on  $(U \otimes V) \otimes W$ , and from these above diagrams, we obtain the B-comodule isomorphism  $U \otimes (V \otimes W) \cong (U \otimes V) \otimes W$ . For the converse, apply Lemm 3.5.

#### Proof of Proposition

Proposition 2.1 give us the equivalence  $(C, \omega) \cong (\text{Comod}_B, \text{forget})$ . Thus, the tensor structure on C induces a tensor structure on  $\text{Comod}_B$  such that the forgetful functor is a tensor functor. By Proposition 3.6, this tensor structure corresponds to coalgebra homomorphisms (m, e) such that m is commutative and associative and e is an identity, and then B is a commutative k-bialgebra.

# IV. Construction of the affine group scheme G

We note that if B is a  $k-{\rm bialgebra},\,{\rm Comod}_B$  is a tensor category by defining  $B-{\rm comodule}$  structure on  $M\otimes N$  via

 $M \otimes N \xrightarrow{\rho_M \otimes \rho_N} M \otimes B \otimes N \otimes B \cong M \otimes N \otimes B \otimes B \xrightarrow{\mathrm{id} \otimes m} M \otimes N \otimes B.$ 

In this case, when V = R is a commutative k-algebra, we consider  $\omega \otimes R$  as a tensor functor from  $\text{Comod}_B \to \text{Mod}_R$ .

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#### Definition 4.1

We define functors  $\underline{\operatorname{End}}(\omega)$  (resp.  $\underline{\operatorname{End}}^{\otimes}(\omega)$ ) on the category of commutative k-algebras by sending R to  $\operatorname{End}(\omega \otimes R)$  (resp.  $\operatorname{End}^{\otimes}(\omega \otimes R)$ ).

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#### Corrolary 4.2

Let B be a k-bialgera, then  $\operatorname{Hom}_k(B,R) \cong \operatorname{End}(\omega \otimes R), \\ \operatorname{Hom}_{k-alg}(B,R) \cong \operatorname{End}(\omega \otimes R).$ 

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The Main Theorem

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(i) We have

 $\operatorname{Hom}_k(B,R) \cong \operatorname{Hom}_R(B \otimes_k R, R), (\varphi : B \to R) \mapsto (\varphi \otimes \operatorname{id}_R)$ 

#### and

$$\operatorname{Hom}_{k}(\omega, \omega \otimes R) \cong \operatorname{Hom}_{R}(\omega \otimes R, \omega \otimes R)$$
$$(\nu(X) : X \to X \otimes R)_{X} \mapsto (\nu(X) \otimes R : X \otimes R \to X \otimes R)_{X}.$$

Together with Lemma 3.2, we obtain  $\operatorname{Hom}_k(B, R) \cong \operatorname{End}(\omega \otimes R)$ .

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and

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Together with Lemma 3.2, we obtain  $\operatorname{Hom}_k(B,R) \cong \operatorname{End}(\omega \otimes R)$ .

(ii) A morphism  $\Phi: \omega \otimes R \to \omega \otimes R$  is a morphism of tensor functors if the following diagram is commutative

$$\begin{array}{c} \omega(\_\otimes\_) \otimes R & \xrightarrow{\Phi} & \omega(\_\otimes\_) \otimes R \\ \downarrow^{c} & \downarrow^{c} \\ (\omega(\_) \otimes R) \otimes_{R} (\omega(\_) \otimes R) \xrightarrow{\Phi \otimes_{R} \Phi} (\omega(\_) \otimes R) \otimes_{R} (\omega(\_) \otimes R) \\ \end{array}$$
where  $c_{X,Y} : X \otimes_{k} Y \otimes_{k} R \xrightarrow{\sim} (X \otimes_{k} R) \otimes_{R} (Y \otimes_{k} R).$ 

•  $\Phi \otimes_R \Phi$  can be considered as a endomorphism of

 $\omega_{B\otimes B}\otimes R:\operatorname{Comod}_{B\otimes B}\to\operatorname{Mod}_R$ 

since  $(\text{Comod}_B \times \text{Comod}_B, \omega_B \otimes \omega_B) \cong (\text{Comod}_{B \otimes B}, \omega_{B \otimes B}).$ 

•  $\Phi \otimes_R \Phi$  can be considered as a endomorphism of

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• By Lemma 3.2,  $\Phi$  and  $\Phi \otimes_R \Phi$  correspond to k-linear maps  $\phi: B \to R$ và  $\phi': B \otimes B \to R$ . Therefore for all B-comodules M and N, when we consider  $M \otimes N$  as a B-comodule,  $\Phi_{M \otimes N}$  is the composition of maps

• Similarly, for all  $B \otimes B$ -comodule  $M \otimes N$ ,  $(\Phi \otimes_R \Phi)_{M \otimes N}$  is the composition of maps

 $M\otimes N\otimes R\xrightarrow{\rho_{M\otimes N}\otimes \mathrm{id}_R} M\otimes N\otimes B\otimes B\otimes R\xrightarrow{\mathrm{id}\otimes \phi'\otimes R} M\otimes N\otimes R.$ 

• Similarly, for all  $B \otimes B$ -comodule  $M \otimes N$ ,  $(\Phi \otimes_R \Phi)_{M \otimes N}$  is the composition of maps

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• It follows from Lemma 3.5 that  $\phi' = \phi \circ m : B \otimes B \to R$ .

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- It follows from Lemma 3.5 that  $\phi' = \phi \circ m : B \otimes B \to R$ .
- Since  $\Phi \otimes_R \Phi$  also correspond to  $(\phi \otimes R) \otimes_R (\phi \otimes R)$ , we obtain

 $\phi'\otimes R = (\phi\otimes R)\otimes_R (\phi\otimes R): B\otimes B\otimes R \to R\otimes_R R \cong R.$ 

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• For  $b, c \in B$  and  $r \in R$ , we have  $(\phi \otimes R)(b \otimes r) = \phi(b)r$ ,

• Similarly, for all  $B \otimes B$ -comodule  $M \otimes N$ ,  $(\Phi \otimes_R \Phi)_{M \otimes N}$  is the composition of maps

$$\begin{split} M\otimes N\otimes R & \xrightarrow{\rho_{M\otimes N}\otimes \operatorname{id}_{R}} M\otimes N\otimes B\otimes B\otimes R^{\operatorname{id}\otimes \phi'\otimes R}M\otimes N\otimes R. \\ \bullet \text{ It follows from Lemma 3.5 that } \phi' = \phi\circ m:B\otimes B\to R. \\ \bullet \text{ Since } \Phi\otimes_{R}\Phi \text{ also correspond to } (\phi\otimes R)\otimes_{R}(\phi\otimes R), \text{ we obtain } \\ \phi'\otimes R = (\phi\otimes R)\otimes_{R}(\phi\otimes R):B\otimes B\otimes R\to R\otimes_{R}R\cong R. \\ \bullet \text{ For } b,c\in B \text{ and } r\in R, \text{ we have } (\phi\otimes R)(b\otimes r) = \phi(b)r, \text{so} \\ (\phi'\otimes R)(b\otimes c\otimes r) = (\phi\otimes R)(m(b\otimes c)\otimes r) = \phi(m(b\otimes c))r, \end{split}$$

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• For  $b,c\in B$  and  $r\in R$ , we have  $(\phi\otimes R)(b\otimes r)=\phi(b)r,$ so

 $(\phi'\otimes R)(b\otimes c\otimes r)=(\phi\otimes R)(m(b\otimes c)\otimes r)=\phi(m(b\otimes c))r,$ 

and

$$(\phi' \otimes R)(b \otimes c \otimes r) = \phi(b)\phi(c)r.$$

Thus,  $\phi(b)\phi(c)r = \phi(m(b \otimes c))r$ , and take  $r = 1_R$ , we get  $\phi(b)\phi(c) = \phi(m(b \otimes c))$ . Thus  $\phi$  is k-algebra homomorphism.

Let B be  $\varinjlim \operatorname{End}(\omega | \langle X \rangle)$ . Proposition 3.1 give us an equivalence  $(C, \omega) \cong$ ( $\operatorname{Comod}_B, \omega = \operatorname{forget}$ ) and a commutative k-algebra structure on B. Let B be  $\varinjlim \operatorname{End}(\omega | \langle X \rangle)$ . Proposition 3.1 give us an equivalence  $(C, \omega) \cong (\operatorname{Comod}_B, \omega = \operatorname{forget})$  and a commutative k-algebra structure on B.Let  $G = \operatorname{Spec}(B)$  be the affine monoid scheme corresponding to B. Using Lemma 3.2 we find that, for any commutative k-algebra R,

$$\underline{\operatorname{End}}^{\otimes}(\omega)(R) \cong \operatorname{Hom}_{k-\operatorname{\mathsf{alg}}}(B,R) = G(R).$$

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$$\underline{\operatorname{End}}^{\otimes}(\omega)(R) \cong \operatorname{Hom}_{k-\mathsf{alg}}(B,R) = G(R).$$

Since C is rigid, then  $Comod_B$  is rigid, and we have  $\underline{End}^{\otimes}(\omega) = \underline{Aut}^{\otimes}(\omega)$ . Thus G is an affine group scheme,  $\underline{Aut}(\omega)$  is representable by G and  $\omega$  defines an equivalence of tensor categories

 $(C, \omega) \cong (\text{Comod}_B, \text{forget}) \cong (\text{Rep}_k(G), \text{forget}).$ 

•  $F(X \otimes Y) = F(X) \otimes F(Y)$  for all X, Y;

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- $F(\phi_{X,Y,Z})$  is the usual associativity isomorphism in  $\operatorname{Vec}_k$ ;

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- there exists an identity object U in C such that  $k\to \operatorname{End}(U)$  is an isomorphism and F(U) has dimension 1;

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- there exists an identity object U in C such that  $k \to End(U)$  is an isomorphism and F(U) has dimension 1;
- if F(L) has dimension 1, then there exists an object  $L^{-1}$  in C such that  $L \otimes L^{-1} \cong U$ .

Then  $(C, \otimes, \phi, \psi)$  is a rigid abelian tensor category.

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• Certainly  $(C, \otimes, \phi, \psi)$  is a tensor category, and Proposition 3.1 shows that F defines an equivalence of tensor categories  $C \to \operatorname{Rep}_k(G)$  where G is the affine monoid scheme representing  $\operatorname{End}^{\otimes}(F)$ . Thus, we may assume  $(C, F) = (\operatorname{Rep}_k(G), \operatorname{forget})$ .

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- If X = L has dimension 1, there exists  $L^{-1} \in \operatorname{Rep}_k(G)$  of dimension 1 such that  $L \otimes L^{-1} \cong U$ , and then  $\lambda_L \otimes_R \lambda_{L^{-1}} = \lambda_{L \otimes L^{-1}} = \operatorname{id}$ .

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• If X has dimension d, let  $e_1, ..., e_d$  be a k-basis of X, and then  $\lambda_X(e_j \otimes 1) = \sum_{i=1}^d e_i \otimes r_{ij}$  for some  $r_{ij} \in R$ .
• If X has dimension d, let  $e_1, ..., e_d$  be a k-basis of X, and then  $\lambda_X(e_j \otimes 1) = \sum_{i=1}^d e_i \otimes r_{ij}$  for some  $r_{ij} \in R$ . Consider the 1-dimensional representation  $L := \bigwedge^d X$ , then

$$\lambda_L(e_1 \wedge e_2 \wedge \dots \wedge e_d \otimes 1) = e_1 \wedge e_2 \wedge \dots \wedge e_d \otimes \det(A)$$

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$$\beta_X: X \otimes R \to X \otimes R, (e_j \otimes 1)_{j=1,d} \mapsto (e_j \otimes 1)_{j=1,d} A'$$

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• Since  $\operatorname{Rep}_k(G)$  is rigid, then so is C.

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• Since  $\operatorname{Rep}_k(G)$  is rigid, then so is C.

### Remark

The condition that  $\boldsymbol{U}$  is an identity object is necessary.

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## Example 5.1

Let  $M = \operatorname{Spec}\left(\frac{k[x]}{x(x-1)}\right)$  be an affine sub-monoid of  $\mathbb{G}_m$ , i.e.,  $M(R) = (\{r \in R : r^2 = r\}, \times)$  and let F be the forgetful functor. Then  $\operatorname{Rep}_k(M)$  is a tensor category but it is not rigid.

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$$0_R(r) = \begin{cases} 0 & \text{if } r \neq 1_R, \\ \text{id}_R & \text{if } r = 1_R. \end{cases}$$

Then  $End(U) \cong k$  and  $(L, l) \otimes U \cong U$  for any one-dimensional representation (L, l).

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#### Definition 5.2

A rigid abelian tensor category C with  $\operatorname{End}(\mathbb{1}) = k$  is a neutral Tannakian category over a field k if it admits an exact faithful k-linear tensor functor  $\omega: C \to \operatorname{Vec}_k$ . Any such functor is said to be a fibre functor for C.

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### Definition 5.3

A rigid abelian tensor category C with End(1) = k is a Tannakian category over k if it admits a fibre functor with values in some nonzero k-algebra.

#### Definition 5.2

A rigid abelian tensor category C with  $\operatorname{End}(1) = k$  is a neutral Tannakian category over a field k if it admits an exact faithful k-linear tensor functor  $\omega : C \to \operatorname{Vec}_k$ . Any such functor is said to be a fibre functor for C.

### Definition 5.3

A rigid abelian tensor category C with End(1) = k is a Tannakian category over k if it admits a fibre functor with values in some nonzero k-algebra.

#### Theorem 5.4

Every Tannakian category over an algebraically closed field is neutral.