

# Nori's fundamental group scheme II

Nguyen Khanh Hung

Institute of Mathematics, Vietnam

August 26, 2021

# Table of contents

Some important definitions and results

Fundamental group scheme as the limit of finite group schemes

Comparison with Grothendieck's group (using Tannaka duality)

Comparison with Grothendieck's group (using Nori's direct construction)

Other properties and some recent generalizations

# Table of contents

## Some important definitions and results

Fundamental group scheme as the limit of finite group schemes

Comparison with Grothendieck's group (using Tannaka duality)

Comparison with Grothendieck's group (using Nori's direct construction)

Other properties and some recent generalizations

## Some important definitions and results

Let  $k$  be a field,  $X$  be a scheme over  $k$ ,  $G$  be a group scheme over  $k$ .

### Definition

A  $G$ -torsor (**principal  $G$ -bundle**) is a scheme  $T$  over  $X$ ,  $T \rightarrow X$  finite, faithfully flat, with a  $G$ -action such that  $G \times_k T \simeq T \times_X T$ .

## Some important definitions and results

Let  $k$  be a field,  $X$  be a scheme over  $k$ ,  $G$  be a group scheme over  $k$ .

### Definition

A  $G$ -torsor (**principal  $G$ -bundle**) is a scheme  $T$  over  $X$ ,  $T \rightarrow X$  finite, faithfully flat, with a  $G$ -action such that  $G \times_k T \simeq T \times_X T$ .

Given an  $\mathcal{O}_X$ -module  $\mathcal{F}$  and a polynomial  $f(x) = a_n x^n + \dots + a_0$  with  $a_i \in \mathbb{N}$ , we define:

$$f(\mathcal{F}) = \bigoplus_{i=0}^n (\mathcal{F}^{\otimes i})^{\oplus a_i}.$$

### Definition

A locally free sheaf  $\mathcal{E}$  is called **finite** if there exist polynomials  $f \neq g$  with non-negative integer coefficients such that  $f(\mathcal{E}) = g(\mathcal{E})$ .

**Remark.** We use the term "locally free sheaf" for '*locally free sheaf of finite rank*'.

## Definition

A locally free sheaf is called **indecomposable** if it is not isomorphic to a direct sum of nonzero locally free sheaves.

## Definition

A locally free sheaf is called **indecomposable** if it is not isomorphic to a direct sum of nonzero locally free sheaves.

For a locally free sheaf  $\mathcal{E}$ , denote by  $I(\mathcal{E})$  the set of isomorphism classes of indecomposable locally free sheaves  $\mathcal{E}'$  for which there exists a locally free  $\mathcal{E}''$  with  $\mathcal{E} \cong \mathcal{E}' \oplus \mathcal{E}''$ .

## Proposition 1

*For  $X$  is proper over  $k$ , the set  $I(\mathcal{E})$  is finite.*

## Definition

A locally free sheaf is called **indecomposable** if it is not isomorphic to a direct sum of nonzero locally free sheaves.

For a locally free sheaf  $\mathcal{E}$ , denote by  $I(\mathcal{E})$  the set of isomorphism classes of indecomposable locally free sheaves  $\mathcal{E}'$  for which there exists a locally free  $\mathcal{E}''$  with  $\mathcal{E} \cong \mathcal{E}' \oplus \mathcal{E}''$ .

## Proposition 1

*For  $X$  proper over  $k$ , the set  $I(\mathcal{E})$  is finite.*

Denote by  $S(\mathcal{E})$  the union of finite sets  $I(\mathcal{E}^{\otimes i})$  for all  $i > 0$ .

## Proposition 2

*$X$  proper over  $k$ . A locally free sheaf  $\mathcal{E}$  is finite iff  $S(\mathcal{E})$  is a finite set.*

## Corollary

*The category of finite sheaves is closed under direct sums, direct summands, tensor products and duals.*



Now the category of finite locally free sheaves on a proper  $X$  is a rigid tensor category with unit  $\mathcal{O}_X$ . If  $X$  has a  $k$ -rational point  $x : \text{Spec } k \rightarrow X$ , the functor

$$\mathbf{FSh}_X \rightarrow \mathbf{FVect}_k, \mathcal{E} \mapsto x^* \mathcal{E}$$

is a faithful tensor functor to the category of finite dimensional  $k$ -vector spaces  $\mathbf{FVect}_k$ .

Now the category of finite locally free sheaves on a proper  $X$  is a rigid tensor category with unit  $\mathcal{O}_X$ . If  $X$  has a  $k$ -rational point  $x : \text{Spec } k \rightarrow X$ , the functor

$$\mathbf{FSh}_X \rightarrow \mathbf{FVect}_k, \mathcal{E} \mapsto x^* \mathcal{E}$$

is a faithful tensor functor to the category of finite dimensional  $k$ -vector spaces  $\mathbf{FVect}_k$ .

### Definition

$C$  is an integral proper normal curve over a field  $k$ ,  $\mathcal{E}$  is a locally free sheaf of rank  $r$  on  $C$ . The **slope** of  $\mathcal{E}$  is defined as

$$\mu(\mathcal{E}) := \frac{d}{r},$$

where  $d$  is the degree of the divisor corresponding to the determinant sheaf  $\det(\mathcal{E})$ .

Now the category of finite locally free sheaves on a proper  $X$  is a rigid tensor category with unit  $\mathcal{O}_X$ . If  $X$  has a  $k$ -rational point  $x : \text{Spec } k \rightarrow X$ , the functor

$$\mathbf{FSh}_X \rightarrow \mathbf{FVect}_k, \mathcal{E} \mapsto x^* \mathcal{E}$$

is a faithful tensor functor to the category of finite dimensional  $k$ -vector spaces  $\mathbf{FVect}_k$ .

### Definition

$C$  is an integral proper normal curve over a field  $k$ ,  $\mathcal{E}$  is a locally free sheaf of rank  $r$  on  $C$ . The **slope** of  $\mathcal{E}$  is defined as

$$\mu(\mathcal{E}) := \frac{d}{r},$$

where  $d$  is the degree of the divisor corresponding to the determinant sheaf  $\det(\mathcal{E})$ .

### Definition

$\mathcal{E}$  is **semistable** if  $\mu(\mathcal{E}') \leq \mu(\mathcal{E})$  for all nonzero subbundles  $\mathcal{E}'$  of  $\mathcal{E}$ . Equivalently,  $\mathcal{E}$  is **semistable** if  $\mu(\mathcal{E}'') \geq \mu(\mathcal{E})$  for all nonzero quotient bundles  $\mathcal{E}''$  of  $\mathcal{E}$ .

### Proposition 3

*A finite locally free sheaf  $\mathcal{E}$  on an integral proper normal curve is semistable of slope 0.*

### Proposition 3

*A finite locally free sheaf  $\mathcal{E}$  on an integral proper normal curve is semistable of slope 0.*

For higher dimension:

### Definition

Let  $X$  be a proper integral scheme over a field  $k$ . A locally free sheaf  $\mathcal{E}$  on  $X$  is **semistable of slope 0** if for all integral closed subschemes  $C$  of dimension 1 with normalization  $\tilde{C} \rightarrow C$  the pullback of  $\mathcal{E}$  via the composition  $\tilde{C} \rightarrow C \rightarrow X$  is a semistable sheaf of slope 0 on the proper normal curve  $\tilde{C}$ .

### Proposition 3

*A finite locally free sheaf  $\mathcal{E}$  on an integral proper normal curve is semistable of slope 0.*

For higher dimension:

### Definition

Let  $X$  be a proper integral scheme over a field  $k$ . A locally free sheaf  $\mathcal{E}$  on  $X$  is **semistable of slope 0** if for all integral closed subschemes  $C$  of dimension 1 with normalization  $\tilde{C} \rightarrow C$  the pullback of  $\mathcal{E}$  via the composition  $\tilde{C} \rightarrow C \rightarrow X$  is a semistable sheaf of slope 0 on the proper normal curve  $\tilde{C}$ .

### Definition

A locally free sheaf  $\mathcal{E}$  on  $X$  is called **essentially finite** if it is semistable of slope 0 and is a subquotient of a finite locally free sheaf.

## Definition of fundamental group scheme

## Definition of fundamental group scheme

### Proposition 4

*Assume moreover that  $X$  has a  $k$ -rational point  $x : \text{Spec } k \rightarrow X$ . Then the full subcategory  $\text{EF}_X$  of the category of locally free sheaves spanned by essentially finite sheaves, together with the usual tensor product of sheaves and the functor  $\mathcal{E} \mapsto x^* \mathcal{E}$ , is a neutral Tannakian category.*



## Definition of fundamental group scheme

### Proposition 4

*Assume moreover that  $X$  has a  $k$ -rational point  $x : \operatorname{Spec} k \rightarrow X$ . Then the full subcategory  $\operatorname{EF}_X$  of the category of locally free sheaves spanned by essentially finite sheaves, together with the usual tensor product of sheaves and the functor  $\mathcal{E} \mapsto x^* \mathcal{E}$ , is a neutral Tannakian category.*

### Definition (Fundamental group scheme)

$X$  is an integral proper scheme over a field  $k$  and  $x : \operatorname{Spec} k \rightarrow X$  is a  $k$ -rational point of  $X$ . The **fundamental group scheme** of  $X$  with base point  $x$  is the affine  $k$ -group scheme whose representation category is equivalent to the neutral Tannakian category  $\operatorname{EF}_X$  with fiber functor  $x^*$ . We denote it by  $\pi_1^N(X, x)$ .

Interpretation of isomorphism  $\text{Rep}_{\pi_1^N(X,x)} \simeq \text{EF}_X$

## Interpretation of isomorphism $\text{Rep}_{\pi_1^N(X,x)} \simeq \text{EF}_X$

- ▶ Here we consider  $G$  an affine  $k$ -group scheme;  $f : T \rightarrow X$  a  $G$ -torsor. We have an isomorphism of  $\mathbf{QCoh}_X$  with the category of  $G$ -sheaves on  $T$ :

$$\mathcal{F} \mapsto f^* \mathcal{F}.$$

Every representation  $V$  of  $G$  corresponds to a  $G$ -sheaf on  $T$ . Taking  $G$ -invariants, we obtain a sheaf on  $X$ , denoted by  $F(T)V$ , and hence a functor

$$F(T) : \text{Rep}_G \rightarrow \mathbf{QCoh}_X.$$

## Interpretation of isomorphism $\text{Rep}_{\pi_1^N(X,x)} \simeq \text{EF}_X$

- ▶ Here we consider  $G$  an affine  $k$ -group scheme;  $f : T \rightarrow X$  a  $G$ -torsor. We have an isomorphism of  $\mathbf{QCoh}_X$  with the category of  $G$ -sheaves on  $T$ :

$$\mathcal{F} \mapsto f^* \mathcal{F}.$$

Every representation  $V$  of  $G$  corresponds to a  $G$ -sheaf on  $T$ . Taking  $G$ -invariants, we obtain a sheaf on  $X$ , denoted by  $F(T)V$ , and hence a functor

$$F(T) : \text{Rep}_G \rightarrow \mathbf{QCoh}_X.$$

- ▶  $F(P)$  has the properties:
  1. A  $k$ -linear exact tensor functor,
  2. If  $\text{rank } V = n$ ,  $F(T)V$  is locally free of rank  $n$ ; in particular,  $F(T)$  is faithful.
- ▶ Every functor  $F : \text{Rep}_G \rightarrow \mathbf{QCoh}_X$  satisfying above conditions has the form  $F = F(T)$ ,  $T$  is some  $G$ -torsor.
- ▶ When  $G$  is finite,  $F(T) : \text{Rep}_G \rightarrow \text{EF}_X \hookrightarrow \mathbf{QCoh}_X$ .

# Table of contents

Some important definitions and results

**Fundamental group scheme as the limit of finite group schemes**

Comparison with Grothendieck's group (using Tannaka duality)

Comparison with Grothendieck's group (using Nori's direct construction)

Other properties and some recent generalizations

## Fundamental group scheme as the limit of finite group schemes

### Proposition 5

*The group scheme  $\pi_1^N(X, x)$  is an inverse limit of finite  $k$ -group schemes.*

# Fundamental group scheme as the limit of finite group schemes

## Proposition 5

*The group scheme  $\pi_1^N(X, x)$  is an inverse limit of finite  $k$ -group schemes.*

### Proof.

- ▶ For  $\mathcal{C}$  is an abelian  $k$ -linear category with finite direct sums and  $\text{Ob}\mathcal{C}$  is a set;  $S$  is a subset of objects of  $\mathcal{C}$ , denote by  $\bar{S}$  the set:

$$W \in \bar{S} \iff W \in \text{Ob}\mathcal{C} : \exists P_i \in S, 1 \leq i \leq t \text{ and } U, V \in \text{Ob}\mathcal{C}$$

$$\text{such that } U \subseteq V \subseteq \bigoplus_{i=1}^t P_i \text{ and } W \cong V/U$$

Denote by  $\mathcal{C}(S)$  the full subcategory of  $\mathcal{C}$  with  $\text{Ob}\mathcal{C}(S) = \bar{S}$ .  $S$  is called to **generate**  $\mathcal{C}$  if  $\text{Ob}\mathcal{C} = \bar{S}$ .

- $A$  is a finite set of finite locally free sheaves on  $X$ . Denote:

$$A^* = \{F^* : F \in A\}$$

$$A_1 = A \cup A^*$$

$$A_2 = \{F_1 \otimes \dots \otimes F_m : F_i \in S_1\}.$$

Let  $\text{Ob } \text{EF}_X(A) = \overline{A_2}$ .  $\text{EF}_X$  is closed under tensor products and duals, therefore,  $\text{EF}_X(A)$  is the full Tannakian subcategory of  $\text{EF}_X$ , denoted by  $\langle A \rangle_{\otimes}$ .



- ▶  $A$  is a finite set of finite locally free sheaves on  $X$ . Denote:

$$A^* = \{F^* : F \in A\}$$

$$A_1 = A \cup A^*$$

$$A_2 = \{F_1 \otimes \dots \otimes F_m : F_i \in S_1\}.$$

Let  $\text{Ob } \text{EF}_X(A) = \overline{A_2}$ .  $\text{EF}_X$  is closed under tensor products and duals, therefore,  $\text{EF}_X(A)$  is the full Tannakian subcategory of  $\text{EF}_X$ , denoted by  $\langle A \rangle_{\otimes}$ .

- ▶ There exists a group scheme  $\pi(X, A, x)$  such that there is an equivalence of categories:

$$\langle A \rangle_{\otimes} \simeq \text{Rep}_{\pi(X, A, x)}$$

Let  $\mathcal{E}$  be the direct sum of all elements of  $A$  and its duals. Then  $\mathcal{E}$  is a finite locally free sheaf and  $S(\mathcal{E})$  is finite. Note that  $S(\mathcal{E})$  generates the category  $\langle A \rangle_{\otimes}$ , by Tannaka duality,  $\pi(X, A, x)$  is a finite group scheme.

- ▶  $A$  is a finite set of finite locally free sheaves on  $X$ . Denote:

$$A^* = \{F^* : F \in A\}$$

$$A_1 = A \cup A^*$$

$$A_2 = \{F_1 \otimes \dots \otimes F_m : F_i \in S_1\}.$$

Let  $\text{Ob } \text{EF}_X(A) = \overline{A_2}$ .  $\text{EF}_X$  is closed under tensor products and duals, therefore,  $\text{EF}_X(A)$  is the full Tannakian subcategory of  $\text{EF}_X$ , denoted by  $\langle A \rangle_{\otimes}$ .

- ▶ There exists a group scheme  $\pi(X, A, x)$  such that there is an equivalence of categories:

$$\langle A \rangle_{\otimes} \simeq \text{Rep}_{\pi(X, A, x)}$$

Let  $\mathcal{E}$  be the direct sum of all elements of  $A$  and its duals. Then  $\mathcal{E}$  is a finite locally free sheaf and  $S(\mathcal{E})$  is finite. Note that  $S(\mathcal{E})$  generates the category  $\langle A \rangle_{\otimes}$ , by Tannaka duality,  $\pi(X, A, x)$  is a finite group scheme.

- ▶ Note that  $\text{EF}_X$  is the direct limit of the full Tannakian subcategories  $\langle A \rangle_{\otimes}$ , therefore the fundamental group scheme  $\pi_1^N(X, x)$  is the inverse limit of finite  $k$ -group schemes  $\pi(X, A, x)$ . □

## Remarks

## Remarks

- ▶ If  $A$  is a subset of  $B$ , there is a functor  $\langle A \rangle_{\otimes} \rightarrow \langle B \rangle_{\otimes}$ , which determines a natural surjective homomorphism

$$\rho_A^B : \pi(X, B, x) \rightarrow \pi(X, A, x).$$

## Remarks

- ▶ If  $A$  is a subset of  $B$ , there is a functor  $\langle A \rangle_{\otimes} \rightarrow \langle B \rangle_{\otimes}$ , which determines a natural surjective homomorphism

$$\rho_A^B : \pi(X, B, x) \rightarrow \pi(X, A, x).$$

- ▶ When  $\text{char } k = 0$ , every essentially finite locally free sheaf is finite. Indeed, by Cartier's theorem, because  $\text{char } k = 0$ , every finite group scheme over  $k$  is étale. On the other hand, for a finite étale group scheme  $G$  the regular representation  $k[G]$  is semisimple, hence  $\text{Rep}_G$  is a semisimple category. Applying this to the category  $\langle A \rangle_{\otimes}$  which is equivalent to  $\text{Rep}_G$ ,  $G$  finite, we see that each object is the direct sum of object in  $S(\mathcal{E})$ , and therefore a finite locally free sheaf. Recall  $\mathcal{E}$  is the direct sum of all elements in  $A$  and its duals.

# Table of contents

Some important definitions and results

Fundamental group scheme as the limit of finite group schemes

**Comparison with Grothendieck's group (using Tannaka duality)**

Comparison with Grothendieck's group (using Nori's direct construction)

Other properties and some recent generalizations

## Comparison with Grothendieck's group (using Tannaka duality)

## Comparison with Grothendieck's group (using Tannaka duality)

### Definition

Let  $\mathbf{FTors}(X, x)$  be the category in which:

- ▶ Objects:  $(T, G, t)$ , where  $G$  is a finite group scheme over  $k$ ,  $T$  is a  $G$ -torsor over  $X$ ,  $t$  is a  $k$ -point in the fiber of  $T$  above  $x$ .
- ▶ Morphisms:  $(T, G, t) \rightarrow (T', G', t')$  in this category is a pair of morphisms  $\phi : G \rightarrow G', \psi : T \rightarrow T'$  such that
  - $\psi$  is compatible with the  $G$ -action on  $T$  and  $G'$ -action on  $T'$ :

$$\begin{array}{ccc} G \times T & \longrightarrow & T \\ \downarrow (\phi, \psi) & & \downarrow \psi \\ G' \times T' & \longrightarrow & T' \end{array}$$

- $\psi(t) = t'$ .



# Comparison with Grothendieck's group (using Tannaka duality)

## Definition

Let  $\mathbf{FTors}(X, x)$  be the category in which:

- ▶ Objects:  $(T, G, t)$ , where  $G$  is a finite group scheme over  $k$ ,  $T$  is a  $G$ -torsor over  $X$ ,  $t$  is a  $k$ -point in the fiber of  $T$  above  $x$ .
- ▶ Morphisms:  $(T, G, t) \rightarrow (T', G', t')$  in this category is a pair of morphisms  $\phi : G \rightarrow G', \psi : T \rightarrow T'$  such that
  - $\psi$  is compatible with the  $G$ -action on  $T$  and  $G'$ -action on  $T'$ :

$$\begin{array}{ccc} G \times T & \longrightarrow & T \\ \downarrow (\phi, \psi) & & \downarrow \psi \\ G' \times T' & \longrightarrow & T' \end{array}$$

- $\psi(t) = t'$ .

## Theorem 1

*There is an equivalence of categories between  $\mathbf{FTors}(X, x)$  and the category of finite group schemes  $G$  over  $k$  equipped with a  $k$ -group scheme homomorphism*

$$\pi_1^{\mathbf{N}}(X, x) \rightarrow G.$$

We need the special case of theorem stating the equivalence of torsors and (nonneutral) fiber functors (Theorem 3.2, Deligne-Milne 2012):

We need the special case of theorem stating the equivalence of torsors and (nonneutral) fiber functors (**Theorem 3.2, Deligne-Milne 2012**):

### Lemma

Let  $G$  be a finite  $k$ -group scheme. Consider the neutral Tannakian category  $\text{Rep}_G$  with the fiber functor  $\omega : \text{Rep}_G \rightarrow \mathbf{FVect}_k$ .

Given a non-neutral fiber functor  $\eta : \text{Rep}_G \rightarrow \mathbf{LFSH}_X$ ,  $\mathbf{LFSH}_X$  is the category of locally free sheaves on  $X$ . Then the functor of  $\mathbf{Sch}_X$ :

$$\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega) : Y \mapsto \text{Hom}^{\otimes}(\eta \otimes_{\mathcal{O}_X} \mathcal{O}_Y, \omega \otimes_k \mathcal{O}_Y)$$

is representable by a  $G$ -torsor over  $X$ .

We need the special case of theorem stating the equivalence of torsors and (nonneutral) fiber functors (**Theorem 3.2, Deligne-Milne 2012**):

### Lemma

Let  $G$  be a finite  $k$ -group scheme. Consider the neutral Tannakian category  $\text{Rep}_G$  with the fiber functor  $\omega : \text{Rep}_G \rightarrow \mathbf{FVect}_k$ .

Given a non-neutral fiber functor  $\eta : \text{Rep}_G \rightarrow \mathbf{LFSH}_X$ ,  $\mathbf{LFSH}_X$  is the category of locally free sheaves on  $X$ . Then the functor of  $\mathbf{Sch}_X$ :

$$\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega) : Y \mapsto \text{Hom}^{\otimes}(\eta \otimes_{\mathcal{O}_X} \mathcal{O}_Y, \omega \otimes_k \mathcal{O}_Y)$$

is representable by a  $G$ -torsor over  $X$ .

**Remark.** Denote the coherent sheaf  $\mathcal{G} := \eta(P)^* \otimes_{\text{End}(P)} \omega(P)$ ,  $P$  is the regular representation of  $G$ . Then  $\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega)$  is represented by  $\text{Spec } \mathcal{G}$ , the scheme associated to the sheaf  $\mathcal{G}$ , moreover  $\text{Spec } \mathcal{G} \rightarrow X$  is an affine morphism.

# Proof of the theorem

## Proof of the theorem

- ▶ A  $G$ -torsor  $T$  over  $X$  corresponds to a finite locally free sheaf:

$$\mathcal{E}_T := f_* \mathcal{O}_T, f : T \rightarrow X \text{ the structure morphism.}$$

**Remark.**  $\mathcal{E}_T^{\otimes 2} \cong \mathcal{E}_T^{\oplus n}$ , where  $n$  is the order of  $G(\bar{k})$ ,  $\bar{k}$  is an algebraic closure of  $k$ . Hence  $\mathcal{E}$  is finite.

## Proof of the theorem

- ▶ A  $G$ -torsor  $T$  over  $X$  corresponds to a finite locally free sheaf:

$$\mathcal{E}_T := f_* \mathcal{O}_T, f : T \rightarrow X \text{ the structure morphism.}$$

**Remark.**  $\mathcal{E}_T^{\otimes 2} \cong \mathcal{E}_T^{\oplus n}$ , where  $n$  is the order of  $G(\bar{k})$ ,  $\bar{k}$  is an algebraic closure of  $k$ . Hence  $\mathcal{E}$  is finite.

- ▶ The full Tannakian subcategory  $\langle \mathcal{E}_T \rangle_{\otimes}$  is equivalent to the category  $\text{Rep}_G$  for some finite group scheme  $G$ . Consider the fiber functor on  $\text{Rep}_G$ :

$$\text{Rep}_G \xrightarrow{\sim} \langle \mathcal{E}_T \rangle_{\otimes} \xrightarrow{x^*} \mathbf{FVect}_k,$$

where  $x^*$  is considered as the restriction of the fiber functor  $\mathcal{E} \rightarrow x^* \mathcal{E}$  of  $\text{EF}_X$ . The inclusion functor  $\langle \mathcal{E}_T \rangle_{\otimes} \rightarrow \text{EF}_X$  corresponds to a group scheme homomorphism  $\pi_1^{\text{N}}(X, x) \rightarrow G$ .

## Proof of the theorem

Conversely,



## Proof of the theorem

Conversely,

- ▶ A homomorphism  $\phi : \pi_1^N(X, x) \rightarrow G$  induces a tensor functor  $\phi^* : \text{Rep}_G \rightarrow \text{EF}_X$ . Consider the non-neutral fiber functor and the neutral fiber functor:

$$\eta : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \rightarrow \mathbf{LFS}h_X,$$

$$\omega : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \xrightarrow{x^*} \mathbf{FVect}_k,$$

where  $\mathbf{LFS}h_X$  is the category of locally free sheaves on  $X$ .

## Proof of the theorem

Conversely,

- ▶ A homomorphism  $\phi : \pi_1^N(X, x) \rightarrow G$  induces a tensor functor  $\phi^* : \text{Rep}_G \rightarrow \text{EF}_X$ . Consider the non-neutral fiber functor and the neutral fiber functor:

$$\eta : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \rightarrow \mathbf{LFS}h_X,$$

$$\omega : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \xrightarrow{x^*} \mathbf{FVect}_k,$$

where  $\mathbf{LFS}h_X$  is the category of locally free sheaves on  $X$ .

- ▶ Applying the lemma we obtain a  $G$ -torsor  $T_\phi$  over  $X$ . However we only obtain  $X$  when applying the lemma to the forgetful fiber functor

$$F : \text{Rep}_G \rightarrow \mathbf{FVect}_k.$$

Hence we have to prove there exists an isomorphism  $\omega \cong F$ .

## Proof of the theorem

Conversely,

- ▶ A homomorphism  $\phi : \pi_1^N(X, x) \rightarrow G$  induces a tensor functor  $\phi^* : \text{Rep}_G \rightarrow \text{EF}_X$ . Consider the non-neutral fiber functor and the neutral fiber functor:

$$\eta : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \rightarrow \mathbf{LFS}h_X,$$

$$\omega : \text{Rep}_G \xrightarrow{\phi^*} \text{EF}_X \xrightarrow{x^*} \mathbf{FVect}_k,$$

where  $\mathbf{LFS}h_X$  is the category of locally free sheaves on  $X$ .

- ▶ Applying the lemma we obtain a  $G$ -torsor  $T_\phi$  over  $X$ . However we only obtain  $X$  when applying the lemma to the forgetful fiber functor

$$F : \text{Rep}_G \rightarrow \mathbf{FVect}_k.$$

Hence we have to prove there exists an isomorphism  $\omega \cong F$ .

- ▶ We will prove that this existence is equivalent to the existence of a  $k$ -point in the fiber of  $T$  above  $x$ .

Existence of the isomorphism  $\omega \cong F \Leftrightarrow$  existence of a  $k$ -point in the fiber of  $T$  above  $x$ :

Existence of the isomorphism  $\omega \cong F \Leftrightarrow$  existence of a  $k$ -point in the fiber of  $T$  above  $x$ :

- ▶ " $\Rightarrow$ ": Recall  $\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega)$  is represented by  $\text{Spec } \mathcal{G}$ ,  $\mathcal{G}$  is the coherent sheaf  $\eta(P)^* \otimes_{\text{End}(P)} \omega(P)$ ,  $P$  is the regular representation of  $G$ . Consider the fiber functor

$$x^* : \mathbf{Sh}_X \rightarrow \mathbf{Vect}_k, \mathcal{F} \mapsto x^* \mathcal{F}.$$

We can pick a point of  $\text{Spec}(x^* \mathcal{G})$ , which is a  $k$ -point of the scheme  $\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega)$ . Therefore we obtain a  $k$ -point of the scheme  $\underline{\mathbf{Hom}}^{\otimes}(\eta, F) \cong T$  above  $x$  from the isomorphism  $\omega \cong F$ .

Existence of the isomorphism  $\omega \cong F \Leftrightarrow$  existence of a  $k$ -point in the fiber of  $T$  above  $x$ :

- ▶ " $\Rightarrow$ ": Recall  $\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega)$  is represented by  $\mathrm{Spec} \mathcal{G}$ ,  $\mathcal{G}$  is the coherent sheaf  $\eta(P)^* \otimes_{\mathrm{End}(P)} \omega(P)$ ,  $P$  is the regular representation of  $G$ . Consider the fiber functor

$$x^* : \mathbf{Sh}_X \rightarrow \mathbf{Vect}_k, \mathcal{F} \mapsto x^* \mathcal{F}.$$

We can pick a point of  $\mathrm{Spec}(x^* \mathcal{G})$ , which is a  $k$ -point of the scheme  $\underline{\mathbf{Hom}}^{\otimes}(\eta, \omega)$ . Therefore we obtain a  $k$ -point of the scheme  $\underline{\mathbf{Hom}}^{\otimes}(\eta, F) \cong T$  above  $x$  from the isomorphism  $\omega \cong F$ .

- ▶ " $\Leftarrow$ ": From a  $k$ -point in the fiber of  $T$  above  $x$ , we obtain a trivialization of the  $G$ -torsor  $T_x$  over  $k$  and hence we have the isomorphism  $\omega \cong F$ .

# Comparison theorem

## Comparison theorem

### Theorem 2

*Assume  $k$  is algebraically closed and fix a  $k$ -valued geometric point  $x = \bar{x}$  of  $X$ . The Grothendieck's geometric fundamental group  $\pi_1(X, \bar{x})$  is canonically isomorphic to the group of  $k$ -points of the inverse limit of quotients of  $\pi_1^N(X, x)$  that are finite etale  $k$ -group schemes.*



## Comparison theorem

### Theorem 2

*Assume  $k$  is algebraically closed and fix a  $k$ -valued geometric point  $x = \bar{x}$  of  $X$ . The Grothendieck's geometric fundamental group  $\pi_1(X, \bar{x})$  is canonically isomorphic to the group of  $k$ -points of the inverse limit of quotients of  $\pi_1^N(X, x)$  that are finite etale  $k$ -group schemes.*

### Proof.

- ▶ We have an equivalence: a torsor under finite etale  $k$ -group scheme  $G$   
 $\iff$  a finite etale Galois cover with Galois group  $G(k)$ .

# Comparison theorem

## Theorem 2

Assume  $k$  is algebraically closed and fix a  $k$ -valued geometric point  $x = \bar{x}$  of  $X$ . The Grothendieck's geometric fundamental group  $\pi_1(X, \bar{x})$  is canonically isomorphic to the group of  $k$ -points of the inverse limit of quotients of  $\pi_1^N(X, x)$  that are finite etale  $k$ -group schemes.

### Proof.

- ▶ We have an equivalence: a torsor under finite etale  $k$ -group scheme  $G$   
 $\iff$  a finite etale Galois cover with Galois group  $G(k)$ .

## Lemma

- ▶ (*Szamuely, Prop 5.2.9*)  $X$  connected scheme,  $f : P \rightarrow X$  affine surjective. Then  $f$  is a finite etale cover iff  $\exists \psi : Q \rightarrow X$  finite, locally free, surjective such that  $P \times_X Q \rightarrow Q$  is a trivial cover.

## Comparison theorem

### Theorem 2

Assume  $k$  is algebraically closed and fix a  $k$ -valued geometric point  $x = \bar{x}$  of  $X$ . The Grothendieck's geometric fundamental group  $\pi_1(X, \bar{x})$  is canonically isomorphic to the group of  $k$ -points of the inverse limit of quotients of  $\pi_1^N(X, x)$  that are finite etale  $k$ -group schemes.

### Proof.

- ▶ We have an equivalence: a torsor under finite etale  $k$ -group scheme  $G$   
 $\iff$  a finite etale Galois cover with Galois group  $G(k)$ .

### Lemma

- ▶ (*Szamuely, Prop 5.2.9*)  $X$  connected scheme,  $f : P \rightarrow X$  affine surjective. Then  $f$  is a finite etale cover iff  $\exists \psi : Q \rightarrow X$  finite, locally free, surjective such that  $P \times_X Q \rightarrow Q$  is a trivial cover.
- ▶ (*Szamuely, Prop 5.3.13*)  $X$  connected scheme,  $G \rightarrow X$  a finite etale group scheme,  $T$  is an  $X$ -scheme equipped a  $G$ -action. Then  $T$  is a  $G$ -torsor iff  $\exists U \rightarrow X$  finite, locally free, surjective such that  $T \times_X U \rightarrow U$  is isomorphic to the trivial torsor  $U \times_X G \rightarrow U$ .

- (Szamuely, Prop 5.4.6) From the construction of  $\pi_1(X, \bar{x})$ , finite etale Galois covers of  $X$  forms an inverse system  $(P_\alpha, \phi_{\alpha\beta})$ , where
- $P_\alpha \rightarrow X$  is a finite etale cover,
  - A point  $p_\alpha \in \text{Fib}_X(P_\alpha)$ ,
  - Morphisms  $\phi_{\alpha\beta} : P_\beta \rightarrow P_\alpha$  such that  $\phi_{\alpha\beta}(p_\beta) = p_\alpha$ .

Hence  $\pi_1(X, \bar{x})$  is the fundamental group of this inverse system.

- (Szamuely, Prop 5.4.6) From the construction of  $\pi_1(X, \bar{x})$ , finite etale Galois covers of  $X$  forms an inverse system  $(P_\alpha, \phi_{\alpha\beta})$ , where
- $P_\alpha \rightarrow X$  is a finite etale cover,
  - A point  $p_\alpha \in \text{Fib}_X(P_\alpha)$ ,
  - Morphisms  $\phi_{\alpha\beta} : P_\beta \rightarrow P_\alpha$  such that  $\phi_{\alpha\beta}(p_\beta) = p_\alpha$ .

Hence  $\pi_1(X, \bar{x})$  is the fundamental group of this inverse system.

- We proved: a  $G_\alpha$ -torsor  $P_\alpha$  determines an object of  $\text{EF}_X$ ; an equivalence of categories:

$$\langle P_\alpha \rangle_\otimes \simeq \text{Rep}_{G_\alpha}, \text{ where } \langle P_\alpha \rangle_\otimes \subset \text{EF}_X \text{ full Tannakian subcategory.}$$

Denote

$F_\alpha : \text{Rep}_{G_\alpha} \rightarrow \mathbf{Vect}_k$  the neutral fiber functor given by the forgetful functor,

$\eta_\alpha : \text{Rep}_{G_\alpha} \xrightarrow{\sim} \langle P_\alpha \rangle_\otimes \rightarrow \text{EF}_X \rightarrow LF_X$  the non-neutral fiber functor.

- (Szamuely, Prop 5.4.6) From the construction of  $\pi_1(X, \bar{x})$ , finite etale Galois covers of  $X$  forms an inverse system  $(P_\alpha, \phi_{\alpha\beta})$ , where
- $P_\alpha \rightarrow X$  is a finite etale cover,
  - A point  $p_\alpha \in \text{Fib}_X(P_\alpha)$ ,
  - Morphisms  $\phi_{\alpha\beta} : P_\beta \rightarrow P_\alpha$  such that  $\phi_{\alpha\beta}(p_\beta) = p_\alpha$ .

Hence  $\pi_1(X, \bar{x})$  is the fundamental group of this inverse system.

- We proved: a  $G_\alpha$ -torsor  $P_\alpha$  determines an object of  $\text{EF}_X$ ; an equivalence of categories:

$$\langle P_\alpha \rangle_\otimes \simeq \text{Rep}_{G_\alpha}, \text{ where } \langle P_\alpha \rangle_\otimes \subset \text{EF}_X \text{ full Tannakian subcategory.}$$

Denote

$F_\alpha : \text{Rep}_{G_\alpha} \rightarrow \mathbf{Vect}_k$  the neutral fiber functor given by the forgetful functor,

$\eta_\alpha : \text{Rep}_{G_\alpha} \xrightarrow{\sim} \langle P_\alpha \rangle_\otimes \rightarrow \text{EF}_X \rightarrow LF_X$  the non-neutral fiber functor.

By the above lemma,  $\underline{\mathbf{Hom}}^\otimes(\eta_\alpha, F_\alpha)$  is represented by a  $G_\alpha$ -torsor over  $X$  and we have an isomorphism of  $P_\alpha$  and this torsor. Fix points  $p_\alpha$  as above, the functors  $\underline{\mathbf{Hom}}^\otimes(\eta_\alpha, F_\alpha)$  forms an inverse system.

- ▶ (Szamuely, Prop 5.4.6) From the construction of  $\pi_1(X, \bar{x})$ , finite etale Galois covers of  $X$  forms an inverse system  $(P_\alpha, \phi_{\alpha\beta})$ , where
- $P_\alpha \rightarrow X$  is a finite etale cover,
  - A point  $p_\alpha \in \text{Fib}_X(P_\alpha)$ ,
  - Morphisms  $\phi_{\alpha\beta} : P_\beta \rightarrow P_\alpha$  such that  $\phi_{\alpha\beta}(p_\beta) = p_\alpha$ .

Hence  $\pi_1(X, \bar{x})$  is the fundamental group of this inverse system.

- ▶ We proved: a  $G_\alpha$ -torsor  $P_\alpha$  determines an object of  $\text{EF}_X$ ; an equivalence of categories:

$$\langle P_\alpha \rangle_{\otimes} \simeq \text{Rep}_{G_\alpha}, \text{ where } \langle P_\alpha \rangle_{\otimes} \subset \text{EF}_X \text{ full Tannakian subcategory.}$$

Denote

$F_\alpha : \text{Rep}_{G_\alpha} \rightarrow \mathbf{Vect}_k$  the neutral fiber functor given by the forgetful functor,

$\eta_\alpha : \text{Rep}_{G_\alpha} \xrightarrow{\sim} \langle P_\alpha \rangle_{\otimes} \rightarrow \text{EF}_X \rightarrow \text{LF}_X$  the non-neutral fiber functor.

By the above lemma,  $\underline{\mathbf{Hom}}^{\otimes}(\eta_\alpha, F_\alpha)$  is represented by a  $G_\alpha$ -torsor over  $X$  and we have an isomorphism of  $P_\alpha$  and this torsor. Fix points  $p_\alpha$  as above, the functors  $\underline{\mathbf{Hom}}^{\otimes}(\eta_\alpha, F_\alpha)$  forms an inverse system.

- ▶ Passing to automorphisms, we have an inverse system of the  $G_\alpha$  whose  $\varprojlim G_\alpha$  is an affine  $k$ -group scheme, and  $(\varprojlim G_\alpha)(k) = \pi_1(X, \bar{x})$ . But  $\varprojlim G_\alpha = \pi_1^N(X, x)$ . □

## Corollary

*$k$  is algebraically closed of characteristic 0, there is a canonical isomorphism*

$$\pi_1^N(X, x)(k) \xrightarrow{\sim} \pi_1(X, \bar{x})$$

*for each  $k$ -geometric point  $x = \bar{x}$  of  $X$ .*



## Corollary

*$k$  is algebraically closed of characteristic 0, there is a canonical isomorphism*

$$\pi_1^N(X, x)(k) \xrightarrow{\sim} \pi_1(X, \bar{x})$$

*for each  $k$ -geometric point  $x = \bar{x}$  of  $X$ .*

**Proof.** This corollary follows from

- ▶ The comparison theorem,
- ▶ Nori's fundamental group scheme is an inverse limit of finite  $k$ -group schemes,
- ▶ In characteristic 0, all finite group schemes are etale. □

# Table of contents

Some important definitions and results

Fundamental group scheme as the limit of finite group schemes

Comparison with Grothendieck's group (using Tannaka duality)

Comparison with Grothendieck's group (using Nori's direct construction)

Other properties and some recent generalizations

## Comparison with Grothendieck's group (using Nori's direct construction)

In this section, we always assume  $\text{char } k = p > 0$ .

## Comparison with Grothendieck's group (using Nori's direct construction)

In this section, we always assume  $\text{char } k = p > 0$ .

### Recall

Let  $\mathbf{FTors}(X, x)$  be the category in which:

- ▶ *Objects:*  $(T, G, t)$ , where  $G$  is a finite group scheme over  $k$ ,  $T$  is a  $G$ -torsor over  $X$ ,  $t$  is a  $k$ -point in the fiber of  $T$  above  $x$ .
- ▶ *Morphisms:*  $(T, G, t) \rightarrow (T', G', t')$  in this category is a pair of morphisms  $\rho : G \rightarrow G'$ ,  $f : T \rightarrow T'$  such that
  - $f$  is compatible with the  $G$ -action on  $T$  and  $G'$ -action on  $T'$ .
  - $f(t) = t'$ .

## Comparison with Grothendieck's group (using Nori's direct construction)

In this section, we always assume  $\text{char } k = p > 0$ .

### Recall

Let  $\mathbf{FTors}(X, x)$  be the category in which:

- ▶ *Objects:*  $(T, G, t)$ , where  $G$  is a finite group scheme over  $k$ ,  $T$  is a  $G$ -torsor over  $X$ ,  $t$  is a  $k$ -point in the fiber of  $T$  above  $x$ .
- ▶ *Morphisms:*  $(T, G, t) \rightarrow (T', G', t')$  in this category is a pair of morphisms  $\rho : G \rightarrow G'$ ,  $f : T \rightarrow T'$  such that
  - $f$  is compatible with the  $G$ -action on  $T$  and  $G'$ -action on  $T'$ .
  - $f(t) = t'$ .

We denote by  $\mathbf{PTors}(X, x)$  the category of triples as above except that we allow  $G$  to be a profinite group scheme.

## Comparison with Grothendieck's group (using Nori's direct construction)

In this section, we always assume  $\text{char } k = p > 0$ .

### Recall

Let  $\mathbf{FTors}(X, x)$  be the category in which:

- ▶ **Objects:**  $(T, G, t)$ , where  $G$  is a finite group scheme over  $k$ ,  $T$  is a  $G$ -torsor over  $X$ ,  $t$  is a  $k$ -point in the fiber of  $T$  above  $x$ .
- ▶ **Morphisms:**  $(T, G, t) \rightarrow (T', G', t')$  in this category is a pair of morphisms  $\rho : G \rightarrow G'$ ,  $f : T \rightarrow T'$  such that
  - $f$  is compatible with the  $G$ -action on  $T$  and  $G'$ -action on  $T'$ .
  - $f(t) = t'$ .

We denote by  $\mathbf{PTors}(X, x)$  the category of triples as above except that we allow  $G$  to be a profinite group scheme.

### Definition

A profinite group  $\pi_1^{\mathbf{N}}(X, x)$  is a **fundamental group scheme** of  $X$  if there exists a triple  $(\tilde{T}, \pi_1^{\mathbf{N}}(X, x), \tilde{t})$  in  $\mathbf{PTors}(X, x)$  such that for every object  $(T, G, t)$  there is a unique morphism

$$(\tilde{T}, \pi_1^{\mathbf{N}}(X, x), \tilde{t}) \rightarrow (T, G, t).$$

We call  $\tilde{T}$  the **universal torsor** of  $X$ .

# Existence of fundamental group schemes

## Existence of fundamental group schemes

### Definition

$\mathbf{FTors}(X, x)$  is called to be **closed under finite products** if

$$(T_1 \times_T T_2, G_1 \times_G G_2, t_1 \times t_2) = (T^\times, G^\times, t^\times)$$

is an object of  $\mathbf{FTors}(X, x)$  for every pair of morphisms:

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2.$$



# Existence of fundamental group schemes

## Definition

$\mathbf{FTors}(X, x)$  is called to be **closed under finite products** if

$$(T_1 \times_T T_2, G_1 \times_G G_2, t_1 \times t_2) = (T^\times, G^\times, t^\times)$$

is an object of  $\mathbf{FTors}(X, x)$  for every pair of morphisms:

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2.$$

## Proposition 6

# Existence of fundamental group schemes

## Definition

$\mathbf{FTors}(X, x)$  is called to be **closed under finite products** if

$$(T_1 \times_T T_2, G_1 \times_G G_2, t_1 \times t_2) = (T^\times, G^\times, t^\times)$$

is an object of  $\mathbf{FTors}(X, x)$  for every pair of morphisms:

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2.$$

## Proposition 6

1.  *$X$  has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.*

# Existence of fundamental group schemes

## Definition

$\mathbf{FTors}(X, x)$  is called to be **closed under finite products** if

$$(T_1 \times_T T_2, G_1 \times_G G_2, t_1 \times t_2) = (T^\times, G^\times, t^\times)$$

is an object of  $\mathbf{FTors}(X, x)$  for every pair of morphisms:

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2.$$

## Proposition 6

1.  $X$  has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.
2. If  $X$  is connected and reduced, it has a fundamental group scheme.

## Important result

### Proposition 7

*With notations as above,  $T^\times$  is a torsor over a closed subscheme  $Y$  of  $X$  such that  $x \in Y$ .*

## Important result

### Proposition 7

*With notations as above,  $T^\times$  is a torsor over a closed subscheme  $Y$  of  $X$  such that  $x \in Y$ .*

**Proof.** We prove the following claims:

1.  $G^\times \times T^\times \simeq T^\times \times_X T^\times$ .

## Important result

### Proposition 7

*With notations as above,  $T^\times$  is a torsor over a closed subscheme  $Y$  of  $X$  such that  $x \in Y$ .*

**Proof.** We prove the following claims:

1.  $G^\times \times T^\times \simeq T^\times \times_X T^\times$ .
  - ▶ Denote  $\overline{T} = T_1 \times_X T_2$ ,  $\overline{T}$  is a  $\overline{G} = G_1 \times G_2$ -torsor and we have a map  $T^\times \rightarrow \overline{T}$  equivariant with respect to  $G^\times \rightarrow \overline{G}$ .

## Important result

### Proposition 7

With notations as above,  $T^\times$  is a torsor over a closed subscheme  $Y$  of  $X$  such that  $x \in Y$ .

**Proof.** We prove the following claims:

1.  $G^\times \times T^\times \simeq T^\times \times_X T^\times$ .

- ▶ Denote  $\bar{T} = T_1 \times_X T_2$ ,  $\bar{T}$  is a  $\bar{G} = G_1 \times G_2$ -torsor and we have a map  $T^\times \rightarrow \bar{T}$  equivariant with respect to  $G^\times \rightarrow \bar{G}$ .
- ▶ Let  $p_i$  be the composition:

$$p_i : \bar{T} \rightarrow T_i \rightarrow T.$$

By Yoneda lemma, there exists a unique morphism  $z : \bar{T} \rightarrow G$  such that  $p_1 = z \cdot p_2$ .

## Important result

### Proposition 7

With notations as above,  $T^\times$  is a torsor over a closed subscheme  $Y$  of  $X$  such that  $x \in Y$ .

**Proof.** We prove the following claims:

- $G^\times \times T^\times \simeq T^\times \times_X T^\times$ .
  - Denote  $\bar{T} = T_1 \times_X T_2$ ,  $\bar{T}$  is a  $\bar{G} = G_1 \times G_2$ -torsor and we have a map  $T^\times \rightarrow \bar{T}$  equivariant with respect to  $G^\times \rightarrow \bar{G}$ .
  - Let  $p_i$  be the composition:

$$p_i : \bar{T} \rightarrow T_i \rightarrow T.$$

By Yoneda lemma, there exists a unique morphism  $z : \bar{T} \rightarrow G$  such that  $p_1 = z \cdot p_2$ .

- Hence if  $\varepsilon : \text{Spec } k \rightarrow G$  is the identity, we have  $T^\times \rightarrow \bar{T}$  is the closed subscheme  $z^{-1}(\varepsilon)$ . Hence the isomorphism  $\bar{G} \times \bar{T} \xrightarrow{\sim} \bar{T} \times_X \bar{T}$  identifies closed subschemes  $G^\times \times T^\times$  and  $T^\times \times_X T^\times$ .

$$\begin{array}{ccc} \bar{G} \times \bar{T} & \xrightarrow{\sim} & \bar{T} \times_X \bar{T} \\ \downarrow \text{id}_{\bar{G}} \times z & & \downarrow z \times z \\ \bar{G} \times G & \xrightarrow{h} & G \times G \end{array}$$



2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

- $q : T \rightarrow Y$  is a **categorical quotient** if for every  $T \rightarrow Z$  is  $G$ -invariant, there exists a unique morphism  $Z \rightarrow Y$  such that

$$\begin{array}{ccc} T & \xrightarrow{q} & Y \\ & \searrow & \uparrow \\ & & Z \end{array}$$

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

- $q : T \rightarrow Y$  is a **categorical quotient** if for every  $T \rightarrow Z$  is  $G$ -invariant, there exists a unique morphism  $Z \rightarrow Y$  such that

$$\begin{array}{ccc} T & \xrightarrow{q} & Y \\ & \searrow & \uparrow \\ & & Z \end{array}$$

- Denote  $G \backslash T$  the space of orbits with the quotient topology. Taking the  $G$ -invariants of  $\mathcal{O}_T$  we obtain the structure sheaf  $\mathcal{O}_{G \backslash T}^G$  of  $G \backslash T$ ; the canonical projection  $T \rightarrow G \backslash T$  is a morphism of ringed spaces.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

- ▶  $q : T \rightarrow Y$  is a **categorical quotient** if for every  $T \rightarrow Z$  is  $G$ -invariant, there exists a unique morphism  $Z \rightarrow Y$  such that

$$\begin{array}{ccc} T & \xrightarrow{q} & Y \\ & \searrow & \uparrow \\ & & Z \end{array}$$

- ▶ Denote  $G \backslash T$  the space of orbits with the quotient topology. Taking the  $G$ -invariants of  $\mathcal{O}_T$  we obtain the structure sheaf  $\mathcal{O}_{G \backslash T}^G$  of  $G \backslash T$ ; the canonical projection  $T \rightarrow G \backslash T$  is a morphism of ringed spaces.
- ▶ The  $G$ -action is called **free** if  $G \times T \rightarrow T \times T$ ,  $(g, t) \mapsto (g, gt)$  is a closed immersion.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

- ▶  $q : T \rightarrow Y$  is a **categorical quotient** if for every  $T \rightarrow Z$  is  $G$ -invariant, there exists a unique morphism  $Z \rightarrow Y$  such that

$$\begin{array}{ccc} T & \xrightarrow{q} & Y \\ & \searrow & \uparrow \\ & & Z \end{array}$$

- ▶ Denote  $G \backslash T$  the space of orbits with the quotient topology. Taking the  $G$ -invariants of  $\mathcal{O}_T$  we obtain the structure sheaf  $\mathcal{O}_{G \backslash T}^G$  of  $G \backslash T$ ; the canonical projection  $T \rightarrow G \backslash T$  is a morphism of ringed spaces.
- ▶ The  $G$ -action is called **free** if  $G \times T \rightarrow T \times T, (g, t) \mapsto (g, gt)$  is a closed immersion.

## Proposition 8

*$G$  is an affine group scheme.  $T$  is a scheme equipped a  $G$ -action. Suppose the orbit of any point is contained in an affine open set of  $T$ .*

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.

## Definition

Let  $G$  be a group scheme acting on the left on  $T$ .

- ▶  $q : T \rightarrow Y$  is a **categorical quotient** if for every  $T \rightarrow Z$  is  $G$ -invariant, there exists a unique morphism  $Z \rightarrow Y$  such that

$$\begin{array}{ccc} T & \xrightarrow{q} & Y \\ & \searrow & \uparrow \\ & & Z \end{array}$$

- ▶ Denote  $G \backslash T$  the space of orbits with the quotient topology. Taking the  $G$ -invariants of  $\mathcal{O}_T$  we obtain the structure sheaf  $\mathcal{O}_{G \backslash T}^G$  of  $G \backslash T$ ; the canonical projection  $T \rightarrow G \backslash T$  is a morphism of ringed spaces.
- ▶ The  $G$ -action is called **free** if  $G \times T \rightarrow T \times T, (g, t) \mapsto (g, gt)$  is a closed immersion.

## Proposition 8

$G$  is an affine group scheme.  $T$  is a scheme equipped a  $G$ -action. Suppose the orbit of any point is contained in an affine open set of  $T$ .

- ▶ If  $Y = G \backslash T$  is a scheme, hence  $T \rightarrow Y$  is a categorical quotient.
- ▶ If the action is free then  $q$  is flat and  $q : T \rightarrow Y$  is a  $G$ -torsor.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.



2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.
- ▶  $T^\times \rightarrow X$  is  $G^\times$ -invariant and affine. Indeed, for  $U \subset X$  affine, its inverse image in  $T^\times$  is open, affine and  $G^\times$ -invariant. Hence the orbit of any point is contained in an affine open subset of  $T^\times$ .

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.
- ▶  $T^\times \rightarrow X$  is  $G^\times$ -invariant and affine. Indeed, for  $U \subset X$  affine, its inverse image in  $T^\times$  is open, affine and  $G^\times$ -invariant. Hence the orbit of any point is contained in an affine open subset of  $T^\times$ .
  - ▶  $T^\times \times_X T^\times \rightarrow T^\times \times T^\times$  is a closed immersion and  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is an isomorphism. Since  $T^\times \rightarrow X$  is  $G^\times$ -invariant and  $T^\times \rightarrow Y$  is a categorical quotient, we obtain a morphism  $Y \rightarrow X$ . Hence the action is free.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.
- ▶  $T^\times \rightarrow X$  is  $G^\times$ -invariant and affine. Indeed, for  $U \subset X$  affine, its inverse image in  $T^\times$  is open, affine and  $G^\times$ -invariant. Hence the orbit of any point is contained in an affine open subset of  $T^\times$ .
  - ▶  $T^\times \times_X T^\times \rightarrow T^\times \times T^\times$  is a closed immersion and  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is an isomorphism. Since  $T^\times \rightarrow X$  is  $G^\times$ -invariant and  $T^\times \rightarrow Y$  is a categorical quotient, we obtain a morphism  $Y \rightarrow X$ . Hence the action is free.
3.  $Y \rightarrow X$  is a closed immersion.

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.
- ▶  $T^\times \rightarrow X$  is  $G^\times$ -invariant and affine. Indeed, for  $U \subset X$  affine, its inverse image in  $T^\times$  is open, affine and  $G^\times$ -invariant. Hence the orbit of any point is contained in an affine open subset of  $T^\times$ .
  - ▶  $T^\times \times_X T^\times \rightarrow T^\times \times T^\times$  is a closed immersion and  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is an isomorphism. Since  $T^\times \rightarrow X$  is  $G^\times$ -invariant and  $T^\times \rightarrow Y$  is a categorical quotient, we obtain a morphism  $Y \rightarrow X$ . Hence the action is free.
3.  $Y \rightarrow X$  is a closed immersion.
- ▶  $T^\times \rightarrow X$  is finite, hence  $Y \rightarrow X$  is finite.

## Lemma

*The finite morphism  $Y \rightarrow X$  is a closed immersion iff  $\Delta : Y \rightarrow Y \times_X Y$  is an isomorphism.*

2. There exists a scheme  $Y$  and a morphism  $T^\times \rightarrow Y$  making  $T^\times$  a  $G^\times$ -torsor.
- ▶  $T^\times \rightarrow X$  is  $G^\times$ -invariant and affine. Indeed, for  $U \subset X$  affine, its inverse image in  $T^\times$  is open, affine and  $G^\times$ -invariant. Hence the orbit of any point is contained in an affine open subset of  $T^\times$ .
  - ▶  $T^\times \times_X T^\times \rightarrow T^\times \times T^\times$  is a closed immersion and  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is an isomorphism. Since  $T^\times \rightarrow X$  is  $G^\times$ -invariant and  $T^\times \rightarrow Y$  is a categorical quotient, we obtain a morphism  $Y \rightarrow X$ . Hence the action is free.
3.  $Y \rightarrow X$  is a closed immersion.
- ▶  $T^\times \rightarrow X$  is finite, hence  $Y \rightarrow X$  is finite.

### Lemma

*The finite morphism  $Y \rightarrow X$  is a closed immersion iff  $\Delta : Y \rightarrow Y \times_X Y$  is an isomorphism.*

It suffices to check that  $\Delta$  is an isomorphism. Consider the commutative diagram:

$$\begin{array}{ccc}
 G^\times \times T^\times & \xrightarrow{\sim} & T^\times \times_X T^\times \\
 \downarrow & & \downarrow \\
 Y & \xrightarrow{\Delta} & Y \times_X Y
 \end{array}$$

3.  $Y \rightarrow X$  is a closed immersion.

3.  $Y \rightarrow X$  is a closed immersion.

- ▶  $T^\times \times_X T^\times \rightarrow Y \times_X Y$  is a  $G^\times \times G^\times$ -torsor. Consider the action of  $G^\times \times G^\times$  on  $G^\times \times T^\times$ :

$$(g_1, g_2) \times (g, t) \mapsto (g_2 g g_1^{-1}, g_1 t).$$

This action makes  $G^\times \times T^\times$  a torsor over  $Y$ :  $G^\times \times T^\times \rightarrow Y$  is faithfully flat and affine because  $T^\times \rightarrow Y$  is faithfully flat and affine; there is an isomorphism:

$$(G^\times \times G^\times) \times (G^\times \times T^\times) \simeq (G^\times \times T^\times) \times_Y (G^\times \times T^\times).$$

3.  $Y \rightarrow X$  is a closed immersion.

- ▶  $T^\times \times_X T^\times \rightarrow Y \times_X Y$  is a  $G^\times \times G^\times$ -torsor. Consider the action of  $G^\times \times G^\times$  on  $G^\times \times T^\times$ :

$$(g_1, g_2) \times (g, t) \mapsto (g_2 g g_1^{-1}, g_1 t).$$

This action makes  $G^\times \times T^\times$  a torsor over  $Y$ :  $G^\times \times T^\times \rightarrow Y$  is faithfully flat and affine because  $T^\times \rightarrow Y$  is faithfully flat and affine; there is an isomorphism:

$$(G^\times \times G^\times) \times (G^\times \times T^\times) \simeq (G^\times \times T^\times) \times_Y (G^\times \times T^\times).$$

- ▶  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is  $G^\times \times G^\times$ -equivariant, hence  $\Delta : Y \rightarrow Y \times_X Y$  is an isomorphism.



3.  $Y \rightarrow X$  is a closed immersion.

- ▶  $T^\times \times_X T^\times \rightarrow Y \times_X Y$  is a  $G^\times \times G^\times$ -torsor. Consider the action of  $G^\times \times G^\times$  on  $G^\times \times T^\times$ :

$$(g_1, g_2) \times (g, t) \mapsto (g_2 g g_1^{-1}, g_1 t).$$

This action makes  $G^\times \times T^\times$  a torsor over  $Y$ :  $G^\times \times T^\times \rightarrow Y$  is faithfully flat and affine because  $T^\times \rightarrow Y$  is faithfully flat and affine; there is an isomorphism:

$$(G^\times \times G^\times) \times (G^\times \times T^\times) \simeq (G^\times \times T^\times) \times_Y (G^\times \times T^\times).$$

- ▶  $G^\times \times T^\times \rightarrow T^\times \times_X T^\times$  is  $G^\times \times G^\times$ -equivariant, hence  $\Delta : Y \rightarrow Y \times_X Y$  is an isomorphism.

4.  $t \in Y$  because  $t_1 \times t_2$  is a point of  $T^\times$  over  $x$ .

## Proof of the first statement

### Proposition 9

*$X$  has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.*

## Proof of the first statement

### Proposition 9

*$X$  has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.*

#### **Proof.**

- ▶ " $\Rightarrow$ " Suppose  $(\tilde{T}, \pi_1^N(X, x), \tilde{t})$  is an initial object of  $\mathbf{PTors}(X, x)$ . Recall the pair of morphisms

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2$$

and  $T^\times = T_1 \times_T T_2$  the torsor over a closed subscheme  $Y \rightarrow X$ .

## Proof of the first statement

### Proposition 9

*X has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.*

#### Proof.

- " $\Rightarrow$ " Suppose  $(\tilde{T}, \pi_1^N(X, x), \tilde{t})$  is an initial object of  $\mathbf{PTors}(X, x)$ . Recall the pair of morphisms

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2$$

and  $T^\times = T_1 \times_T T_2$  the torsor over a closed subscheme  $Y \rightarrow X$ . By definition, there exists

$$(r_i, s_i) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T_i, G_i, t_i), i = 1, 2,$$

by uniqueness,

$$(f_1 \circ r_1, \rho_1 \circ s_1) = (f_2 \circ r_2, \rho_2 \circ s_2) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T, G, t).$$

## Proof of the first statement

### Proposition 9

*X has a fundamental group scheme iff  $\mathbf{FTors}(X, x)$  is closed under finite products.*

#### Proof.

- " $\Rightarrow$ " Suppose  $(\tilde{T}, \pi_1^N(X, x), \tilde{t})$  is an initial object of  $\mathbf{PTors}(X, x)$ . Recall the pair of morphisms

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2$$

and  $T^\times = T_1 \times_T T_2$  the torsor over a closed subscheme  $Y \rightarrow X$ . By definition, there exists

$$(r_i, s_i) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T_i, G_i, t_i), i = 1, 2,$$

by uniqueness,

$$(f_1 \circ r_1, \rho_1 \circ s_1) = (f_2 \circ r_2, \rho_2 \circ s_2) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T, G, t).$$

Recall  $\bar{T} = T_1 \times_X T_2$ , the morphism  $\tilde{T} \rightarrow \bar{T}$  factors through  $T^\times \hookrightarrow \bar{T}$ , hence  $Y = X$  and  $T^\times$  is a torsor over  $X$ .

- ▶ " $\Leftarrow$ " Suppose  $\mathbf{FTors}(X, x)$  is closed under finite products.

- "⇐" Suppose  $\mathbf{FTors}(X, x)$  is closed under finite products.  $\mathbf{FTors}(X, x)$  is **cofiltered**:
- $\mathbf{FTors}(X, x)$  is nonempty,  $X \rightarrow X$  is a torsor.
  - If  $T_1, T_2$  are finite torsors,  $T_1 \times_X T_2$  is finite torsor and we have morphisms  $T_1 \times_X T_2 \rightarrow T_1, T_1 \times_X T_2 \rightarrow T_2$ .
  - For two morphisms of torsors  $f, g : T' \rightarrow T$ , two compositions  $f \circ p_1, g \circ p_2 : T' \times_T T' \rightarrow T' \rightarrow T$  are equal.

- "⇐" Suppose  $\mathbf{FTors}(X, x)$  is closed under finite products.  $\mathbf{FTors}(X, x)$  is **cofiltered**:
- $\mathbf{FTors}(X, x)$  is nonempty,  $X \rightarrow X$  is a torsor.
  - If  $T_1, T_2$  are finite torsors,  $T_1 \times_X T_2$  is finite torsor and we have morphisms  $T_1 \times_X T_2 \rightarrow T_1, T_1 \times_X T_2 \rightarrow T_2$ .
  - For two morphisms of torsors  $f, g : T' \rightarrow T$ , two compositions  $f \circ p_1, g \circ p_2 : T' \times_T T' \rightarrow T' \rightarrow T$  are equal.

We can take the inverse limit in  $\mathbf{FTors}(X, x)$ :

$$\tilde{T} = \varprojlim T, \quad \tilde{G} = \varprojlim G, \quad \tilde{t} = \varprojlim t.$$



- "⇐" Suppose  $\mathbf{FTors}(X, x)$  is closed under finite products.  $\mathbf{FTors}(X, x)$  is **cofiltered**:
- $\mathbf{FTors}(X, x)$  is nonempty,  $X \rightarrow X$  is a torsor.
  - If  $T_1, T_2$  are finite torsors,  $T_1 \times_X T_2$  is finite torsor and we have morphisms  $T_1 \times_X T_2 \rightarrow T_1, T_1 \times_X T_2 \rightarrow T_2$ .
  - For two morphisms of torsors  $f, g : T' \rightarrow T$ , two compositions  $f \circ p_1, g \circ p_2 : T' \times_T T' \rightarrow T' \rightarrow T$  are equal.

We can take the inverse limit in  $\mathbf{FTors}(X, x)$ :

$$\tilde{T} = \varprojlim T, \quad \tilde{G} = \varprojlim G, \quad \tilde{t} = \varprojlim t.$$

In details:

- $\mathcal{O} = \varinjlim \mathcal{O}(G)$  is the Hopf algebra which is the union of its finite dimensional Hopf subalgebras. Hence  $\tilde{G} = \text{Spec } \mathcal{O}$  is the inverse limit of finite group schemes.
- For  $\mathcal{E}_T = f_* \mathcal{O}_T$ ,  $f : T \rightarrow X$  morphism, denote  $\mathcal{E} = \varinjlim \mathcal{E}_T$  is a locally free sheaf (of  $\mathcal{O}_X$ -algebras), thus there is a flat affine morphism  $\tilde{f} : \tilde{T} \rightarrow X$  such that  $\tilde{T} = \text{Spec } \mathcal{E}$ .

► "⇐" Suppose  $\mathbf{FTors}(X, x)$  is closed under finite products.  $\mathbf{FTors}(X, x)$  is **cofiltered**:

- $\mathbf{FTors}(X, x)$  is nonempty,  $X \rightarrow X$  is a torsor.
- If  $T_1, T_2$  are finite torsors,  $T_1 \times_X T_2$  is finite torsor and we have morphisms  $T_1 \times_X T_2 \rightarrow T_1, T_1 \times_X T_2 \rightarrow T_2$ .
- For two morphisms of torsors  $f, g : T' \rightarrow T$ , two compositions  $f \circ p_1, g \circ p_2 : T' \times_T T' \rightarrow T' \rightarrow T$  are equal.

We can take the inverse limit in  $\mathbf{FTors}(X, x)$ :

$$\tilde{T} = \varprojlim T, \quad \tilde{G} = \varprojlim G, \quad \tilde{t} = \varprojlim t.$$

In details:

- $\mathcal{O} = \varinjlim \mathcal{O}(G)$  is the Hopf algebra which is the union of its finite dimensional Hopf subalgebras. Hence  $\tilde{G} = \text{Spec } \mathcal{O}$  is the inverse limit of finite group schemes.
- For  $\mathcal{E}_T = f_* \mathcal{O}_T$ ,  $f : T \rightarrow X$  morphism, denote  $\mathcal{E} = \varinjlim \mathcal{E}_T$  is a locally free sheaf (of  $\mathcal{O}_X$ -algebras), thus there is a flat affine morphism  $\tilde{f} : \tilde{T} \rightarrow X$  such that  $\tilde{T} = \text{Spec } \mathcal{E}$ .

From isomorphisms  $G \times T \xrightarrow{\sim} T \times_X T$ , we have isomorphisms of coordinate rings:

$$\mathcal{E}(T) \otimes_{\mathcal{O}_X} \mathcal{E}(T) \xrightarrow{\sim} \mathcal{O}(G) \otimes_k \mathcal{E}(T),$$

taking limits,

$$\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{E} \xrightarrow{\sim} \mathcal{O} \otimes_k \mathcal{E},$$

hence  $\tilde{G} \times \tilde{T} \rightarrow \tilde{T} \times_X \tilde{T}$  is an isomorphism.

## Proof of the second statement

### Proposition 10

*If  $X$  is connected and reduced, it has a fundamental group scheme.*

## Proof of the second statement

### Proposition 10

*If  $X$  is connected and reduced, it has a fundamental group scheme.*

#### **Proof.**

- ▶ We have to show that  $\mathbf{FTors}(X, x)$  is closed under finite products. Consider a pair of morphisms

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2$$

and  $T^\times = T_1 \times_T T_2$  the torsor over a closed subscheme  $Y \rightarrow X$  and we have  $z : \overline{T} = T_1 \times_X T_2 \rightarrow G$  such that  $T^\times = z^{-1}(\varepsilon)$ ,  $\varepsilon : \text{Spec } k \rightarrow G$  is the identity.

## Proof of the second statement

### Proposition 10

*If  $X$  is connected and reduced, it has a fundamental group scheme.*

#### **Proof.**

- ▶ We have to show that  $\mathbf{FTors}(X, x)$  is closed under finite products. Consider a pair of morphisms

$$(f_i, \rho_i) : (T_i, G_i, t_i) \rightarrow (T, G, t), i = 1, 2$$

and  $T^\times = T_1 \times_T T_2$  the torsor over a closed subscheme  $Y \rightarrow X$  and we have  $z : \overline{T} = T_1 \times_X T_2 \rightarrow G$  such that  $T^\times = z^{-1}(\varepsilon)$ ,  $\varepsilon : \text{Spec } k \rightarrow G$  is the identity.

- ▶  $G$  finite, hence the connected component of identity  $G^\circ$  is both open and closed, hence  $z^{-1}(G^\circ)$  is open and closed.

## Lemma

*$T \rightarrow X$  a  $G$ -torsor. If  $G = \text{Spec } A$  is of finite type over  $k$ , then  $T \rightarrow X$  is locally of finite presentation.*

## Lemma

$T \rightarrow X$  a  $G$ -torsor. If  $G = \text{Spec } A$  is of finite type over  $k$ , then  $T \rightarrow X$  is locally of finite presentation.

### Proof of Proposition 10 (cont.)

- ▶  $G$  is finite, therefore  $\pi : \bar{T} \rightarrow X$  is finite, flat and locally of finite presentation, by (EGAIV-2, Theorem 2.4.6),  $\pi(z^{-1}(G^\circ))$  is open and closed. This implies  $\pi(z^{-1}(G^\circ)) = X$  because  $X$  is connected and  $Y$  is nonempty. Since  $G$  is finite,  $\varepsilon = G^\circ$  and

$$Y = \pi(T^\times) = \pi(z^{-1}(\varepsilon)) = \pi(z^{-1}(G^\circ)) = X.$$

## Lemma

$T \rightarrow X$  a  $G$ -torsor. If  $G = \text{Spec } A$  is of finite type over  $k$ , then  $T \rightarrow X$  is locally of finite presentation.

### Proof of Proposition 10 (cont.)

- ▶  $G$  is finite, therefore  $\pi : \bar{T} \rightarrow X$  is finite, flat and locally of finite presentation, by (EGAIV-2, Theorem 2.4.6),  $\pi(z^{-1}(G^\circ))$  is open and closed. This implies  $\pi(z^{-1}(G^\circ)) = X$  because  $X$  is connected and  $Y$  is nonempty. Since  $G$  is finite,  $\varepsilon = G^\circ$  and

$$Y = \pi(T^\times) = \pi(z^{-1}(\varepsilon)) = \pi(z^{-1}(G^\circ)) = X.$$

- ▶  $Y \subset X$  closed subscheme,  $Y = X$  as sets,  $X$  reduced, hence  $Y = X$ .  $\square$



## Definition

A triple  $(T, G, t)$  in  $\mathbf{FTors}(X, x)$  is called **reduced** if for any morphism  $(T', G', t') \rightarrow (T, G, t)$ ,  $G' \rightarrow G$  is surjective.

**Remark.** If  $X$  has a fundamental group scheme,  $(T, G, t)$  is reduced iff  $\pi_1^N(X, x) \rightarrow G$  is surjective.

## Definition

A triple  $(T, G, t)$  in  $\mathbf{FTors}(X, x)$  is called **reduced** if for any morphism  $(T', G', t') \rightarrow (T, G, t)$ ,  $G' \rightarrow G$  is surjective.

**Remark.** If  $X$  has a fundamental group scheme,  $(T, G, t)$  is reduced iff  $\pi_1^N(X, x) \rightarrow G$  is surjective.

## Proposition 11

$X$  is a complete, connected and reduced  $k$ -scheme with a  $k$ -point  $x$ . Let  $(T, G, t)$  be an object of  $\mathbf{FTors}(X, x)$ . TFAE:

1.  $(T, G, t)$  is reduced.
2. The functor  $F(T) : \text{Rep}_G \rightarrow \mathbf{LFS}h_X$  is fully faithful.
3.  $\Gamma(T, \mathcal{O}_T) = k$ .

# Proof of Proposition 11

## Proof of Proposition 11

2  $\Rightarrow$  3 Let  $f : T \rightarrow X$  be a torsor. Then

$\Gamma(T, \mathcal{O}_T) = \Gamma(X, f_* \mathcal{O}_T) = \Gamma(X, F(T)(k[G]))$ ,  $k[G]$  is the regular representation of  $G$ . This equals the fixed subspace of  $k[G]$  under the  $G$ -action, hence it is  $k$ .

## Proof of Proposition 11

- 2  $\Rightarrow$  3 Let  $f : T \rightarrow X$  be a torsor. Then  $\Gamma(T, \mathcal{O}_T) = \Gamma(X, f_* \mathcal{O}_T) = \Gamma(X, F(T)(k[G]))$ ,  $k[G]$  is the regular representation of  $G$ . This equals the fixed subspace of  $k[G]$  under the  $G$ -action, hence it is  $k$ .
- 3  $\Rightarrow$  1  $X$  connected and reduced, thus  $X$  has a fundamental group scheme. There is a morphism

$$(f, \rho) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T, G, t)$$

## Proof of Proposition 11

- 2  $\Rightarrow$  3 Let  $f : T \rightarrow X$  be a torsor. Then  $\Gamma(T, \mathcal{O}_T) = \Gamma(X, f_* \mathcal{O}_T) = \Gamma(X, F(T)(k[G]))$ ,  $k[G]$  is the regular representation of  $G$ . This equals the fixed subspace of  $k[G]$  under the  $G$ -action, hence it is  $k$ .
- 3  $\Rightarrow$  1  $X$  connected and reduced, thus  $X$  has a fundamental group scheme. There is a morphism

$$(f, \rho) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T, G, t)$$

If  $\rho : \pi_1^N(X, x) \rightarrow G$  is not surjective,  $\text{im } \rho$  is a proper closed sub-groupscheme  $H \subsetneq G$ . Moreover, the fixed subspace of  $k[G]$  under the  $\pi_1^N(X, x)$ -action corresponds to the coordinate ring  $\mathcal{O}(G/H)$ . But  $k \subsetneq \mathcal{O}(G/H)$ ,  $\Gamma(T, \mathcal{O}_T) = \Gamma(X, F(T)(k[G])) \supsetneq k$ , contradiction.

## Proof of Proposition 11

2  $\Rightarrow$  3 Let  $f : T \rightarrow X$  be a torsor. Then

$\Gamma(T, \mathcal{O}_T) = \Gamma(X, f_* \mathcal{O}_T) = \Gamma(X, F(T)(k[G]))$ ,  $k[G]$  is the regular representation of  $G$ . This equals the fixed subspace of  $k[G]$  under the  $G$ -action, hence it is  $k$ .

3  $\Rightarrow$  1  $X$  connected and reduced, thus  $X$  has a fundamental group scheme. There is a morphism

$$(f, \rho) : (\tilde{T}, \pi_1^N(X, x), \tilde{t}) \rightarrow (T, G, t)$$

If  $\rho : \pi_1^N(X, x) \rightarrow G$  is not surjective,  $\text{im } \rho$  is a proper closed sub-groupscheme  $H \subsetneq G$ . Moreover, the fixed subspace of  $k[G]$  under the  $\pi_1^N(X, x)$ -action corresponds to the coordinate ring  $\mathcal{O}(G/H)$ . But  $k \subsetneq \mathcal{O}(G/H)$ ,  $\Gamma(T, \mathcal{O}_T) = \Gamma(X, F(T)(k[G])) \supsetneq k$ , contradiction.

1  $\Rightarrow$  2  $X$  has a fundamental group scheme, therefore  $\rho : \pi_1^N(X, x) \rightarrow G$  is surjective and thus  $\text{Rep}_G \rightarrow \text{Rep}_{\pi_1^N(X, x)}$  is fully faithful.

$\text{Rep}_{\pi_1^N(X, x)} \rightarrow \mathbf{LFS}h_X$  is the fiber functor, hence it is fully faithful. Thus  $\text{Rep}_G \rightarrow \mathbf{LFS}h_X$  is also fully faithful.

# Table of contents

Some important definitions and results

Fundamental group scheme as the limit of finite group schemes

Comparison with Grothendieck's group (using Tannaka duality)

Comparison with Grothendieck's group (using Nori's direct construction)

**Other properties and some recent generalizations**



## Other properties

## Other properties

- ▶ Change of base points (Nori, 1982): if  $X$  has fundamental group scheme at  $x$ , for  $y \in X$  another point, then  $\pi_1^N(X, y)$  exists and
  - $\pi_1^N(X, y)$  is an inner twist of  $\pi_1^N(X, x)$ , consequently,
  - $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1^N(X, y) \times_k \bar{k}$ .
  - $\pi_1^N(X, x)^{\text{ab}}$  and  $\pi_1^N(X, y)^{\text{ab}}$  are isomorphic.

## Other properties

- ▶ Change of base points (**Nori, 1982**): if  $X$  has fundamental group scheme at  $x$ , for  $y \in X$  another point, then  $\pi_1^N(X, y)$  exists and
  - $\pi_1^N(X, y)$  is an inner twist of  $\pi_1^N(X, x)$ , consequently,
  - $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1^N(X, y) \times_k \bar{k}$ .
  - $\pi_1^N(X, x)^{\text{ab}}$  and  $\pi_1^N(X, y)^{\text{ab}}$  are isomorphic.
- ▶ Base change:
  - ▶ (**Nori, 1982**) If  $X$  is complete, connected and reduced,  $L$  is a separable algebraic extension of  $k$ , then

$$\pi_1^N(X_L, x) \cong \pi_1^N(X, x) \times_k L$$

- ▶ (**Mehta, Subramanian, 2002**) The general case is false, i.e. for  $k$  is algebraically closed and  $k'$  an arbitrary algebraically closed extension of  $k$ .

## Other properties

- ▶ Change of base points (Nori, 1982): if  $X$  has fundamental group scheme at  $x$ , for  $y \in X$  another point, then  $\pi_1^N(X, y)$  exists and
  - $\pi_1^N(X, y)$  is an inner twist of  $\pi_1^N(X, x)$ , consequently,
  - $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1^N(X, y) \times_k \bar{k}$ .
  - $\pi_1^N(X, x)^{\text{ab}}$  and  $\pi_1^N(X, y)^{\text{ab}}$  are isomorphic.

- ▶ Base change:

- ▶ (Nori, 1982) If  $X$  is complete, connected and reduced,  $L$  is a separable algebraic extension of  $k$ , then

$$\pi_1^N(X_L, x) \cong \pi_1^N(X, x) \times_k L$$

- ▶ (Mehta, Subramanian, 2002) The general case is false, i.e. for  $k$  is algebraically closed and  $k'$  an arbitrary algebraically closed extension of  $k$ .
- ▶ Products (Mehta, Subramanian, 2002): if  $X$  and  $Y$  are complete, reduced and connected over  $k$  algebraically closed, then

$$\pi_1^N(X \times_k Y, (x, y)) \cong \pi_1^N(X, x) \times_k \pi_1^N(Y, y).$$

## Other properties

- ▶ Change of base points (Nori, 1982): if  $X$  has fundamental group scheme at  $x$ , for  $y \in X$  another point, then  $\pi_1^N(X, y)$  exists and
  - $\pi_1^N(X, y)$  is an inner twist of  $\pi_1^N(X, x)$ , consequently,
  - $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1^N(X, y) \times_k \bar{k}$ .
  - $\pi_1^N(X, x)^{\text{ab}}$  and  $\pi_1^N(X, y)^{\text{ab}}$  are isomorphic.

- ▶ Base change:

- ▶ (Nori, 1982) If  $X$  is complete, connected and reduced,  $L$  is a separable algebraic extension of  $k$ , then

$$\pi_1^N(X_L, x) \cong \pi_1^N(X, x) \times_k L$$

- ▶ (Mehta, Subramanian, 2002) The general case is false, i.e. for  $k$  is algebraically closed and  $k'$  an arbitrary algebraically closed extension of  $k$ .

- ▶ Products (Mehta, Subramanian, 2002): if  $X$  and  $Y$  are complete, reduced and connected over  $k$  algebraically closed, then

$$\pi_1^N(X \times_k Y, (x, y)) \cong \pi_1^N(X, x) \times_k \pi_1^N(Y, y).$$

- ▶ (Nori, 1983)  $X/k$  is an abelian variety,  $n_X : X \rightarrow X$  is the multiplication-by- $n$  map. Denote  $X_n = \ker n_X$ . Then

$$\pi_1^N(X, 0) \cong \varprojlim X_n.$$

# Comparison with Grothendieck's group

(Esnault-Hai-Sun, 2007)

In this slide and the next slide, I will follow the presentation of Prof. Hai in the conference Algebraic Geometry in East Asia 2008.

## Comparison with Grothendieck's group

(Esnault-Hai-Sun, 2007)

In this slide and the next slide, I will follow the presentation of Prof. Hai in the conference Algebraic Geometry in East Asia 2008.

- ▶  $\text{char } k = 0$ , as proved,  $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1(\bar{X}, \bar{x})$ .

## Comparison with Grothendieck's group

(Esnault-Hai-Sun, 2007)

In this slide and the next slide, I will follow the presentation of Prof. Hai in the conference Algebraic Geometry in East Asia 2008.

- ▶  $\text{char } k = 0$ , as proved,  $\pi_1^{\text{N}}(X, x) \times_k \bar{k} \cong \pi_1(\bar{X}, \bar{x})$ .
- ▶  $\text{char } k = p > 0$ , the pro-etale quotient of  $\pi_1^{\text{N}}(X, x)$  is isomorphic to  $\pi_1(\bar{X}, \bar{x})$ .



# Comparison with Grothendieck's group

(Esnault-Hai-Sun, 2007)

In this slide and the next slide, I will follow the presentation of Prof. Hai in the conference Algebraic Geometry in East Asia 2008.

- ▶  $\text{char } k = 0$ , as proved,  $\pi_1^N(X, x) \times_k \bar{k} \cong \pi_1(\bar{X}, \bar{x})$ .
- ▶  $\text{char } k = p > 0$ , the pro-etale quotient of  $\pi_1^N(X, x)$  is isomorphic to  $\pi_1(\bar{X}, \bar{x})$ .
- ▶ **For  $H$  finite group scheme:**
  - The composition  $H_{\text{red}} \rightarrow H \rightarrow H^{\text{et}}$  is isomorphism  $\rightsquigarrow H = H^\circ \rtimes H^{\text{et}}$ .
  - The composition  $H^\circ \rightarrow H \rightarrow H^{\text{loc}}$  is not an isomorphism,  $H^{\text{loc}}$  is maximal local quotient.

# Comparison with Grothendieck's group

(Esnault-Hai-Sun, 2007)

In this slide and the next slide, I will follow the presentation of Prof. Hai in the conference Algebraic Geometry in East Asia 2008.

- ▶  $\text{char } k = 0$ , as proved,  $\pi_1^{\text{N}}(X, x) \times_k \bar{k} \cong \pi_1(\bar{X}, \bar{x})$ .
- ▶  $\text{char } k = p > 0$ , the pro-etale quotient of  $\pi_1^{\text{N}}(X, x)$  is isomorphic to  $\pi_1(\bar{X}, \bar{x})$ .
- ▶ **For  $H$  finite group scheme:**
  - The composition  $H_{\text{red}} \rightarrow H \rightarrow H^{\text{et}}$  is isomorphism  $\rightsquigarrow H = H^{\circ} \rtimes H^{\text{et}}$ .
  - The composition  $H^{\circ} \rightarrow H \rightarrow H^{\text{loc}}$  is not an isomorphism,  $H^{\text{loc}}$  is maximal local quotient.
- ▶ **For  $\pi_1^{\text{N}}(X, x)$  profinite:** Tannaka duality defines
  - $\pi_1^{\text{N}} \rightarrow \pi_1^{\text{et}}$ : pro-etale quotient,
  - $\pi_1^{\text{N}} \rightarrow \pi_1^{\text{F}}$ : pro-local quotient.

$X/k$  smooth,  $\text{char } k = 0$

The fundamental groupoid scheme (Esnault-Hai, 2008)

$X/k$  smooth,  $\text{char } k = 0$

The fundamental groupoid scheme (Esnault-Hai, 2008)

- ▶ **Problem:** Construct the fundamental group scheme of scheme that is not necessarily complete and without the existence of a  $k$ -point.

$X/k$  smooth,  $\text{char } k = 0$

The fundamental groupoid scheme (Esnault-Hai, 2008)

- ▶ **Problem:** Construct the fundamental group scheme of scheme that is not necessarily complete and without the existence of a  $k$ -point.
- ▶ **Idea:** Apply the general Tannaka duality to the category  $\mathbf{FConn}_X$  of finite connections  $\rightsquigarrow$  fundamental groupoid scheme  $\Pi(X, x)$ .

## $X/k$ smooth, $\text{char } k = 0$

The fundamental groupoid scheme (Esnault-Hai, 2008)

- ▶ **Problem:** Construct the fundamental group scheme of scheme that is not necessarily complete and without the existence of a  $k$ -point.
- ▶ **Idea:** Apply the general Tannaka duality to the category  $\mathbf{FConn}_X$  of finite connections  $\rightsquigarrow$  fundamental groupoid scheme  $\Pi(X, x)$ .
- ▶ A finite connection  $(\mathcal{E}, \nabla)$  is a locally free sheaf with a flat connection which satisfies a polynomial equation:

$$\exists f \neq g \in \mathbb{N}[x] : f((\mathcal{E}, \nabla)) = g((\mathcal{E}, \nabla)).$$

- ▶ General Tannaka duality: deal with the non-existence of a  $k$ -point, i.e. for  $\omega$  non-neutral fiber functor,  $\underline{\mathbf{Aut}}^{\otimes}(\omega)$  is representable by a groupoid scheme  $\Pi$  over  $\bar{k}$ .

## $X/k$ smooth, $\text{char } k = 0$

The fundamental groupoid scheme (Esnault-Hai, 2008)

- ▶ **Problem:** Construct the fundamental group scheme of scheme that is not necessarily complete and without the existence of a  $k$ -point.
- ▶ **Idea:** Apply the general Tannaka duality to the category  $\mathbf{FConn}_X$  of finite connections  $\rightsquigarrow$  fundamental groupoid scheme  $\Pi(X, x)$ .
- ▶ A finite connection  $(\mathcal{E}, \nabla)$  is a locally free sheaf with a flat connection which satisfies a polynomial equation:

$$\exists f \neq g \in \mathbb{N}[x] : f((\mathcal{E}, \nabla)) = g((\mathcal{E}, \nabla)).$$

- ▶ General Tannaka duality: deal with the non-existence of a  $k$ -point, i.e. for  $\omega$  non-neutral fiber functor,  $\underline{\mathbf{Aut}}^{\otimes}(\omega)$  is representable by a groupoid scheme  $\Pi$  over  $\bar{k}$ .
- ▶ Advantage:  $\Pi(X, x)$  gives back to the arithmetic fundamental group  $\pi_1(X, \bar{x})$ .

## Other generalizations



## Other generalizations

- ▶ (dos Santos, 2007) For  $X/k$  smooth,  $\text{char } k = p > 0$ ,  $k$  algebraically closed, apply Tannaka duality to the category of stratified bundles. A stratified bundle "is" a connection with Frobenius descents.

## Other generalizations

- ▶ (dos Santos, 2007) For  $X/k$  smooth,  $\text{char } k = p > 0$ ,  $k$  algebraically closed, apply Tannaka duality to the category of stratified bundles. A stratified bundle "is" a connection with Frobenius descents.
- ▶ (Gasbarri, 2003) For  $X$  is reduced over Dedekind scheme, Gasbarri constructed fundamental group scheme and considered representations of such a fundamental group scheme of:

## Other generalizations

- ▶ (dos Santos, 2007) For  $X/k$  smooth,  $\text{char } k = p > 0$ ,  $k$  algebraically closed, apply Tannaka duality to the category of stratified bundles. A stratified bundle "is" a connection with Frobenius descents.
- ▶ (Gasbarri, 2003) For  $X$  is reduced over Dedekind scheme, Gasbarri constructed fundamental group scheme and considered representations of such a fundamental group scheme of:
  - A smooth algebraic curve over a  $p$ -adic field,
  - An arithmetic surface (regular scheme of Krull dimension two with a flat projective morphism over the spectrum of the ring of integers of a number field).

Unfortunately, his construction of fundamental group scheme is wrong.

## Other generalizations

- ▶ (dos Santos, 2007) For  $X/k$  smooth,  $\text{char } k = p > 0$ ,  $k$  algebraically closed, apply Tannaka duality to the category of stratified bundles. A stratified bundle "is" a connection with Frobenius descents.
- ▶ (Gasbarri, 2003) For  $X$  is reduced over Dedekind scheme, Gasbarri constructed fundamental group scheme and considered representations of such a fundamental group scheme of:
  - A smooth algebraic curve over a  $p$ -adic field,
  - An arithmetic surface (regular scheme of Krull dimension two with a flat projective morphism over the spectrum of the ring of integers of a number field).

Unfortunately, his construction of fundamental group scheme is wrong.

- ▶ (Antei, Esmalem, Gasbarri, 2020) They fixed the mistake in the paper of Gasbarri (2003): for  $X$  connected over  $S$  Dedekind,  $x : S \rightarrow X$  a section:

## Other generalizations

- ▶ (dos Santos, 2007) For  $X/k$  smooth,  $\text{char } k = p > 0$ ,  $k$  algebraically closed, apply Tannaka duality to the category of stratified bundles. A stratified bundle "is" a connection with Frobenius descents.
- ▶ (Gasbarri, 2003) For  $X$  is reduced over Dedekind scheme, Gasbarri constructed fundamental group scheme and considered representations of such a fundamental group scheme of:
  - A smooth algebraic curve over a  $p$ -adic field,
  - An arithmetic surface (regular scheme of Krull dimension two with a flat projective morphism over the spectrum of the ring of integers of a number field).

Unfortunately, his construction of fundamental group scheme is wrong.

- ▶ (Antei, Esmalem, Gasbarri, 2020) They fixed the mistake in the paper of Gasbarri (2003): for  $X$  connected over  $S$  Dedekind,  $x : S \rightarrow X$  a section:
  - They constructed the fundamental group scheme when  $X$  has reduced fibers or  $X$  is normal.
  - They constructed the quasi-finite fundamental group scheme of  $X$  at  $x$  which classifies all quasi-finite torsors of  $X$ .

Thank you for listening!