

# Mumford-Tate groups I

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# Hodge structures

Let  $V$  be a finite dimensional  $\mathbb{R}$ -vector space, and  $V_{\mathbb{C}} := \mathbb{C} \otimes_{\mathbb{R}} V$  be its complexification. A *Hodge decomposition* of  $V$  is a decomposition of  $V_{\mathbb{C}}$  into  $\mathbb{C}$ -linear subspaces

$$V_{\mathbb{C}} = \bigoplus_{(p,q) \in \mathbb{Z}^2} V^{p,q}$$

such that the complex-conjugate action  $c \otimes v \mapsto \bar{c} \otimes v$  on  $V_{\mathbb{C}}$  swaps the summands  $V^{p,q}$  and  $V^{q,p}$  for each  $(p, q) \in \mathbb{Z}^2$ .

An  $\mathbb{R}$ -Hodge structure is a finite dimensional  $\mathbb{R}$ -vector space together with a Hodge decomposition.

# Pure Hodge structures

## Definition

The Hodge structure on  $V$  is said to be *pure of weight  $n$* , if we have  $V^{p,q} = 0$  for all pairs  $(p, q)$  with  $p + q \neq n$ .

More generally, for a subset  $T \subseteq \mathbb{Z}^2$  we say that a Hodge structure is of type  $T$  if all the summands  $V^{p,q}$  with  $(p, q) \notin T$  are zero.

For each  $n \in \mathbb{Z}$ , the  $\mathbb{C}$ -subspace  $\bigoplus_{p+q=n} V^{p,q}$  of  $V_{\mathbb{C}}$  is stable under the complex conjugation action, hence descends to an  $\mathbb{R}$ -subspace  $V_n$  of  $V$ , and we have  $V = \bigoplus_{n \in \mathbb{Z}} V_n$ . Each  $V_n$  is then naturally endowed with a real Hodge structure which is pure of weight  $n$ , so it is harmless to restrict our attention to pure Hodge structures. The decomposition  $V = \bigoplus_{n \in \mathbb{Z}} V_n$  is called the *weight decomposition* of  $V$ .

# Rational and integral Hodge structures

A  $\mathbb{Q}$ -Hodge structure (resp. a  $\mathbb{Z}$ -Hodge structure) is a finite dimensional  $\mathbb{Q}$ -vector space (resp. a finite free  $\mathbb{Z}$ -module)  $V$  together with an  $\mathbb{R}$ -Hodge structure on  $V_{\mathbb{R}}$ .

## Remark

Some authors require that the weight decomposition of  $V_{\mathbb{R}}$  for a  $\mathbb{Q}$ -Hodge structure  $V$  is defined over  $\mathbb{Q}$ . As we will mostly work with pure Hodge structures, this remark will not concern us.

# The Hodge filtration

Given a Hodge structure on  $V$ , the Hodge filtration on  $V_{\mathbb{C}}$  is given by the decreasing chain of  $\mathbb{C}$ -subspaces

$$F^p V := \bigoplus_{\substack{p' \geq p \\ q' \in \mathbb{Z}}} V^{p', q'}.$$

indexed by  $p \in \mathbb{Z}$ . We have  $F^p V \cap \overline{F^q V} = \bigoplus_{p' \geq p, q' \geq q} V^{p', q'}$ . In particular, if  $V$  is pure of weight  $n$ , then  $V^{p, q} = F^p V \cap \overline{F^q V}$  whenever  $p + q = n$ . Thus, in the pure case, the Hodge structure can be recovered from the Hodge filtration.

# Morphisms of Hodge structures

By definition, a morphism of Hodge structures is a linear map  $V \rightarrow W$  such that the induced  $\mathbb{C}$ -linear map  $V_{\mathbb{C}} \rightarrow W_{\mathbb{C}}$  maps in  $V^{p,q}$  into  $W^{p,q}$  for all  $(p, q) \in \mathbb{Z}^2$ . In particular, all morphisms between pure Hodge structures of different weights are zero. With this notion of morphism, we obtain the category of Hodge structures (over  $\mathbb{R}, \mathbb{Q}$  and  $\mathbb{Z}$ ) which we denote by  $\text{HS}_{\mathbb{R}}$ , etc.

# Operations on Hodge structures

As in representation theory, there are natural notions of morphism, tensor product, and dual among Hodge structures (over  $\mathbb{R}$ ,  $\mathbb{Q}$  and  $\mathbb{Z}$ ). For example, if  $U$  and  $U'$  are  $\mathbb{R}$ -Hodge structures and  $V = U_{\mathbb{C}}$  and  $V' = U'_{\mathbb{C}}$ , then by definition the Hodge structures on  $U \otimes U'$  and  $U^{\vee}$  satisfy  $(V^{\vee})^{p,q} = (V^{-p,-q})^{\vee}$  (viewed as a subspace of  $V^{\vee}$  via the natural projection  $V \twoheadrightarrow V^{-p,-q}$ ) and

$$(V \otimes_{\mathbb{C}} V')^{p,q} = \bigoplus_{\substack{a+a'=p \\ b+b'=q}} V^{a,b} \otimes_{\mathbb{C}} V'^{a',b'}.$$

In particular, if  $U$  and  $U'$  are pure of weight  $n$  and  $m$ , respectively, then  $U^{\vee}$  is pure of weight  $-n$ , while  $U \otimes U'$  is pure of weight  $n + m$ . The corresponding Hodge filtrations are  $F^p V^{\vee} = (F^{1-p} V)^{\perp}$  and  $F^p (V \otimes V') = \sum_{a+a'=p} F^a V \otimes F^{a'} V'$ .



# Operations on Hodge structures

In view of the natural identification  $\text{Hom}(U, U') = U^\vee \otimes U'$ , we see that  $\text{Hom}(U, U')$  is naturally equipped with a Hodge structure, which is pure of weight  $m - n$  if  $U$  and  $U'$  are pure of weights  $n$  and  $m$ , respectively. For instance, we have

$$\begin{aligned}\text{Hom}(V, V')^{0,0} &= \bigoplus_{\substack{a+a'=0 \\ b+b'=0}} (V^\vee)^{a,b} \otimes V'^{a',b'} \\ &= \bigoplus_{(a,b) \in \mathbb{Z} \times \mathbb{Z}} (V^{a,b})^\vee \otimes V'^{a,b} \\ &= \bigoplus_{(a,b) \in \mathbb{Z} \times \mathbb{Z}} \text{Hom}(V^{a,b}, V'^{a,b}) \\ &= \{T \in \text{Hom}(V, V') \mid T(V^{a,b}) \subseteq V'^{a,b} \text{ for all } (a, b)\}.\end{aligned}$$

# The Tate twists

Denote by  $\mathbb{Z}(1)$  the rank 1 free  $\mathbb{Z}$ -module  $2\pi i\mathbb{Z} \subseteq \mathbb{C}$  with Hodge structure of type  $(-1, -1)$ . For  $n \in \mathbb{Z}$ , define  $\mathbb{Z}(n) := \mathbb{Z}(1)^{\otimes n}$  if  $n \geq 0$  and  $\mathbb{Z}(n) := (\mathbb{Z}(-n))^{\vee}$  if  $n \leq 0$ . Explicitly,  $\mathbb{Z}(n)$  has underlying module  $(2\pi i)^n \mathbb{Z}$  and type  $(-n, -n)$  (so it is purely of weight  $-2n$ ). Similarly, we have the notions of  $\mathbb{Q}(n)$  and  $\mathbb{R}(n)$ .

If  $V$  is a  $\mathbb{Z}$ -Hodge structure, define the  $n$ th Tate twist of  $V$  by  $V(n) := V \otimes \mathbb{Z}(n)$ , and similarly in the  $\mathbb{Q}$ -case and  $\mathbb{R}$ -case.

# Examples of Hodge structures

## Example 1

Let  $V$  be a finite dimensional  $\mathbb{R}$ -vector space. Then to give an  $\mathbb{R}$ -Hodge structure of type  $\{(-1, 0), (0, -1)\}$  on  $V$  is the same as giving a complex structure on  $V$ . Indeed, given a Hodge structure  $V_{\mathbb{C}} = V^{-1,0} \oplus V^{0,-1}$ , the natural  $\mathbb{R}$ -linear map  $V \rightarrow V_{\mathbb{C}}/V^{-1,0} = V^{0,-1}$  is an isomorphism so that  $V$  inherits a  $\mathbb{C}$ -linear structure from  $V^{0,-1}$ . Conversely, assume that we have a complex structure on  $V$ . Denote by  $J$  the multiplication by  $i \in \mathbb{C}$ , viewed as an  $\mathbb{R}$ -linear map  $V \rightarrow V$ . On  $V_{\mathbb{C}}$ , we have  $J^2 + \text{Id} = (J + i)(J - i) = 0$ , hence a decomposition  $V_{\mathbb{C}} = V^{-1,0} \oplus V^{0,-1}$  into  $i$ - and  $(-i)$ -eigenspaces. Since  $J$  commutes with the complex conjugation on  $V_{\mathbb{C}}$ , it is easy to see that  $\overline{V^{-1,0}} = V^{0,-1}$  so that  $V$  has a Hodge structure of the required type.

### Example 1 (Cont.)

By definition, to give a  $\mathbb{Q}$ -Hodge structure of type  $\{(-1, 0), (0, -1)\}$  then amounts to giving a  $\mathbb{Q}$ -vector space  $V$  and a complex structure on  $V_{\mathbb{R}}$ , and to give a  $\mathbb{Z}$ -Hodge structure of type  $\{(-1, 0), (0, -1)\}$  is to give a  $\mathbb{C}$ -vector space  $V$  and a lattice  $\Lambda \subseteq V$  (i.e., a  $\mathbb{Z}$ -submodule generated by an  $\mathbb{R}$ -basis for  $V$ ).

## Example 2

Let  $X/\mathbb{C}$  be a smooth projective variety. Then the Hodge decomposition

$$H^n(X(\mathbb{C}), \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{C} = \bigoplus_{p+q=n} H^{p,q}, \quad \text{where } H^{p,q} := H^q(X, \Omega_X^p)$$

equips  $H^n(X(\mathbb{C}), \mathbb{Z})$  with a pure integral Hodge structure of weight  $n$ . Moreover, under the canonical comparison isomorphism  $H^n(X(\mathbb{C}), \mathbb{C}) \cong H_{\text{dR}}^n(X/\mathbb{C})$  the corresponding Hodge filtration matches the filtration on  $H_{\text{dR}}^n(X/\mathbb{C})$  induced from the degeneration at the  $E_1$  page of the Hodge-to-de Rham spectral sequence

$$E_1^{i,j} = H^j(X, \Omega_X^i) \Rightarrow H^{i+j}(X, \Omega_X^\bullet) =: H_{\text{dR}}^{i+j}(X/\mathbb{C}).$$

# The Deligne torus

The Deligne torus  $\mathbb{S}$  is an algebraic group over  $\mathbb{R}$ , defined by

$$\mathbb{S} := \text{Res}_{\mathbb{C}/\mathbb{R}} \mathbb{G}_{m, \mathbb{C}}.$$

By definition, this means that for any  $\mathbb{R}$ -algebra  $A$  we have

$$\mathbb{S}(A) = (A \otimes_{\mathbb{R}} \mathbb{C})^{\times} = \{(a, b) \in A \times A \mid a^2 + b^2 \in A^{\times}\}$$

with multiplication given by  $(a, b)(a', b') = (aa' - bb', ab' + a'b)$ .  
In particular,  $\mathbb{S}(\mathbb{R}) = \mathbb{C}^{\times}$  via  $(a, b) \mapsto a + ib$ .

Via the identification  $(a, b) \mapsto \begin{pmatrix} a & b \\ -b & a \end{pmatrix}$ , we may also regard  $\mathbb{S}$  as a closed commutative  $\mathbb{R}$ -subgroup of  $\text{GL}_{2, \mathbb{R}}$ . For later use, we also introduce the *weight cocharacter*  $w : \mathbb{G}_{m, \mathbb{R}} \rightarrow \mathbb{S}$  given on points by  $A^{\times} \rightarrow (A \otimes_{\mathbb{R}} \mathbb{C})^{\times}$ .

# The Deligne torus

If  $A$  is a  $\mathbb{C}$ -algebra, then  $\mathbb{S}(A) \xrightarrow{\sim} A^\times \times A^\times$  via

$$(a, b) \mapsto (a + ib, a - ib).$$

The Yoneda lemma therefore implies that

$$(z, \bar{z}) : \mathbb{S}_{\mathbb{C}} \xrightarrow{\sim} \mathbb{G}_{m, \mathbb{C}} \times \mathbb{G}_{m, \mathbb{C}}.$$

Thus,  $\mathbb{S}$  is a (non-split) torus of rank 2 over  $\mathbb{R}$ , whose character group  $X^*(\mathbb{S}) := \text{Hom}_{\mathbb{C}}(\mathbb{S}_{\mathbb{C}}, \mathbb{G}_{m, \mathbb{C}})$  is freely generated by two characters  $z$  and  $\bar{z}$  which are interchanged by the complex conjugation  $c \in \text{Gal}(\mathbb{C}/\mathbb{R})$ . In view of the usual equivalence between tori over a field  $k$  and finite free abelian groups equipped with a linear action of  $G_k = \text{Gal}(k^s/k)$ , we see that this latter property in fact characterizes  $\mathbb{S}$  uniquely as a torus over  $\mathbb{R}$ .

# Real Hodge structures via the Deligne torus

Let  $V$  be a finite dimensional  $\mathbb{R}$ -vector space. By definition, an algebraic representation of  $\mathbb{S}$  on  $V$  is a morphism of  $\mathbb{R}$ -algebraic groups  $\mathbb{S} \rightarrow \mathrm{GL}(V)$ . Equivalently, this is the data of an  $A$ -linear representation  $\mathbb{S}(A) \rightarrow \mathrm{GL}_A(V_A)$ , functorial in  $\mathbb{R}$ -algebras  $A$ .

## Theorem

To equip  $V$  with an  $\mathbb{R}$ -Hodge structure is equivalent to specifying an algebraic representation  $h : \mathbb{S} \rightarrow \mathrm{GL}(V)$ . Under this equivalence, the Hodge structure on  $V$  is pure of weight  $n$  precisely if the restriction  $h \circ w : \mathbb{G}_{m, \mathbb{R}} \rightarrow \mathrm{GL}(V)$  is given on points by  $x \mapsto x^{-n} \cdot \mathrm{id}$ .

Before proving the theorem, let us recall the representation theory of algebraic tori over a general base field. Specializing to the case of the Deligne torus  $\mathbb{S}$  over  $\mathbb{R}$  then gives the desired result.



# Representations of algebraic tori

Fix a base field  $k$  and a choice of  $k^s$ . Recall a  $k$ -torus  $T$  is called *split* (over  $k$ ) if  $T \cong (\mathbb{G}_{m,k})^r$  for some  $r \geq 0$ . Representations of split tori are simple to understand: they are just direct sums of characters. More precisely, given such a representation  $\rho : T \rightarrow \mathrm{GL}(V)$ , we have

$$V = \bigoplus_{\chi \in X^*(T)} V^\chi,$$

where  $V^\chi$  is the subspace on which  $T$  acts through the character  $\chi$ :

$$\rho(g) \cdot v = \chi(g) \cdot v$$

More concretely, if  $T$  has rank  $r$  (i.e.  $T \cong (\mathbb{G}_{m,k})^r$ ), then any representation  $(\rho, V)$  of  $T$  can be decomposed canonically as

$$V = \bigoplus_{(n_1, \dots, n_r) \in \mathbb{Z}^r} V^{n_1, \dots, n_r}$$

where  $(x_1, \dots, x_r) \in \mathbb{G}_{m,k}^r$  acts on  $V^{n_1, \dots, n_r}$  via multiplication by  $x_1^{n_1} \dots x_r^{n_r}$ .

# Representations of algebraic tori

Now let  $T$  be a general  $k$ -torus. Then  $T_{k^s} = (\mathbb{G}_{m,k^s})^r$  for some  $r \geq 0$ .

Given a representation  $\rho : T \rightarrow \mathrm{GL}(V)$ , the base change  $\rho_{k^s} : T_{k^s} \rightarrow \mathrm{GL}(V_{k^s})$  is a representation of  $T_{k^s}$ . By our preceding paragraph, to give such a representation is to specify a  $\mathbb{Z}^r$ -grading on  $V_{k^s}$ :

$$V_{k^s} = \bigoplus_{\chi \in X^*(T) = \mathbb{Z}^r} V^\chi.$$

However, not all such gradings on  $V_{k^s}$  come from a representation defined over  $k$ . From the theory of Galois descent (for morphisms), we know that the right condition to put on the map  $\rho_{k^s}$  is that it is equivariant for the natural actions of  $G_k = \mathrm{Gal}(k^s/k)$  on  $T_{k^s}$  and  $\mathrm{GL}(V_{k^s})$ . We now check that this latter condition is equivalent to the condition that

$$\sigma(V^\chi) = V^{\sigma(\chi)} \quad \text{for all } \sigma \in G_k \text{ and } \chi \in X^*(T).$$

# Representations of algebraic tori

First, assume that  $\rho_{k^s}$  is Galois equivariant. Then for each  $v \in V^\chi$ ,  $g(\sigma(v)) = \sigma(gv) = \sigma(\chi(g)v) = (\sigma\chi)(g)\sigma(v)$ , and hence  $\sigma(v) \in V^{\sigma(\chi)}$  by definition. Thus,  $\sigma(V^\chi) \subseteq V^{\sigma(\chi)}$ . Replace  $\sigma$  by  $\sigma^{-1}$  and  $\chi$  by  $\sigma(\chi)$ , we deduce that  $\sigma(V^\chi) = V^{\sigma(\chi)}$ . Conversely, if this last equality holds for all  $\sigma$  and  $\chi$ , then since the  $V^\chi$ 's generate  $V$  we deduce by the same argument that  $\rho_{k^s}$  is indeed Galois equivariant.

In summary, we have the following equivalence of categories

$$\text{Rep}_k(T) \rightarrow \left\{ \begin{array}{l} \text{finite dimensional } k\text{-vector spaces } V \\ \text{with } X^*(T)\text{-grading } V_{k^s} = \bigoplus_{\chi \in X^*(T)} V^\chi \\ \text{s.t. } \sigma(V^\chi) = V^{\sigma(\chi)} \text{ for all } \sigma \text{ and } \chi \end{array} \right\}.$$

where  $\text{Rep}_k(T)$  is the category of algebraic representations  $T$  on finite dimensional  $k$ -vector spaces.

# Real Hodge structures as representations of the Deligne torus

We now apply the preceding discussion for the Deligne torus. Recall that  $X^*(\mathbb{S}) = \mathbb{Z}z \oplus \mathbb{Z}\bar{z}$  for a pair of complex-conjugate characters  $\{z, \bar{z}\}$ . Thus, given an algebraic representation  $h : \mathbb{S} \rightarrow \mathrm{GL}(V)$ , we get a corresponding Hodge structure on  $V$ :

$$V_{\mathbb{C}} = \bigoplus_{(p,q) \in \mathbb{Z}^2} V^{p,q},$$

where  $V^{p,q}$  is the eigenspace for the character  $z^{-p}\bar{z}^{-q}$ . This Hodge structure is pure of weight  $n$  precisely if the restriction  $h \circ w : \mathbb{G}_{m,\mathbb{R}} \rightarrow \mathrm{GL}(V)$  is given on points by  $x \mapsto x^{-n} \cdot \mathrm{id}$ .

## Remark

We can see the above equivalence between real Hodge structures and representations of the Deligne torus more directly (i.e. without invoking the general theory of algebraic tori). Namely, given a Hodge structure

$$V_{\mathbb{C}} = \bigoplus_{(p,q) \in \mathbb{Z}^2} V^{p,q},$$

we define a  $\mathbb{C}^{\times}$ -linear action of  $\mathbb{C}^{\times}$  on  $V_{\mathbb{C}}$  by letting  $z \in \mathbb{C}^{\times}$  acts on  $V^{p,q}$  as multiplication by  $z^{-p}\bar{z}^{-q}$ . Then using our hypothesis that  $\overline{V^{q,p}} = V^{p,q}$ , it is easy to see that this action commutes with the complex conjugation on  $V_{\mathbb{C}}$ , hence descends to an  $\mathbb{R}$ -linear action  $\mathbb{C}^{\times} \rightarrow \mathrm{GL}(V)$ . Since this action is given by polynomials, we can see that it arises (as the induced map on  $\mathbb{R}$ -valued points) from a unique algebraic representation  $\mathbb{S} \rightarrow \mathrm{GL}(V)$ .

The natural operations on Hodge structures that we introduced earlier agree, under the above equivalence, with the corresponding operations in representation theory. For instance, if  $V$  and  $V'$  are  $\mathbb{R}$ -Hodge structures given by homomorphisms  $h : \mathbb{S} \rightarrow \mathrm{GL}(V)$  and  $h' : \mathbb{S} \rightarrow \mathrm{GL}(V')$ , the Hodge structure on  $V \otimes V'$  is given by the representation  $(h \otimes h', V \otimes V')$ .

Now let  $V$  be a finite dimensional  $\mathbb{Q}$ -vector space. By definition, to give a  $\mathbb{Q}$ -Hodge structure on  $V$  is to give an  $\mathbb{R}$ -Hodge structure on  $V_{\mathbb{R}}$ , which is then the same as giving a representation  $h : \mathbb{S} \rightarrow \mathrm{GL}(V_{\mathbb{R}})$ . Note that despite both the source and target are defined over  $\mathbb{Q}$ , in general such homomorphism is only defined over  $\mathbb{R}$ . In a sense, the Mumford-Tate group of  $V$  is defined so as to measure how far this homomorphism is from being defined over  $\mathbb{Q}$ .

### Remark

As mentioned earlier, some authors require the weight decomposition of  $V_{\mathbb{R}}$  for a  $\mathbb{Q}$ -Hodge structure  $V$  to be actually defined over  $\mathbb{Q}$ . With this modified definition, to give a  $\mathbb{Q}$ -Hodge structure on  $V$  is to give a representation  $h : \mathbb{S} \rightarrow \mathrm{GL}(V_{\mathbb{R}})$  such that the restriction  $h \circ w : \mathbb{G}_{m, \mathbb{R}} \rightarrow \mathrm{GL}(V_{\mathbb{R}})$  is defined over  $\mathbb{Q}$ .



# Polarizations

Let  $H$  be a pure  $\mathbb{Q}$ -Hodge structure of weight  $n$ . By definition, a *polarization* on  $H$  is a morphism of  $\mathbb{Q}$ -Hodge structures

$$\psi : H \otimes_{\mathbb{Q}} H \rightarrow \mathbb{Q}(-n)$$

such that the  $\mathbb{R}$ -bilinear form  $H_{\mathbb{R}} \times H_{\mathbb{R}} \rightarrow \mathbb{R}$  given by  $(x, y) \mapsto (2\pi i)^n \psi_{\mathbb{R}}(x, h(i)y)$  is symmetric and positive definite. In particular, the form  $\psi$  is non-degenerate on  $H$ .

A Hodge structure is said to be *polarizable* if it admits a polarization. The key property of polarizable Hodge structures is the following.

## Proposition

Let  $(H, \psi)$  be a polarizable  $\mathbb{Q}$ -Hodge structure and  $W \subseteq H$  be a sub-Hodge structure. Then  $\psi$  restricts to a polarization on  $W$ . Moreover, the orthogonal complement  $W^{\perp}$  of  $W$  in  $H$  with respect to  $\psi$  is again a sub-Hodge structure, and  $H \cong W \oplus W^{\perp}$  as  $\mathbb{Q}$ -Hodge structures. Hence, the category of polarizable  $\mathbb{Q}$ -Hodge structures is semi-simple.

## Proof

That  $\psi$  restricts to a polarization on  $W$  is clear. Let's look at  $\psi_{\mathbb{C}} : H_{\mathbb{C}} \times H_{\mathbb{C}} \rightarrow \mathbb{C}$ . For  $z \in \mathbb{C}^{\times}$ ,  $x \in H^{p,q}$  and  $y \in H^{p',q'}$ ,

$$\begin{aligned}(z\bar{z})^{-n}\psi_{\mathbb{C}}(x, y) &= \psi_{\mathbb{C}}(h(z)x, h(z)y) \\ &= \psi_{\mathbb{C}}(z^{-p}\bar{z}^{-q}x, z^{-p'}\bar{z}^{-q'}y) \\ &= z^{-p-p'}\bar{z}^{-q-q'}\psi_{\mathbb{C}}(x, y).\end{aligned}$$

Thus,  $\psi_{\mathbb{C}}(x, y) = 0$  if  $(p', q') \neq (q, p)$ . So, if we set  $\psi_{\mathbb{C}}(x, y) := i^n \psi_{\mathbb{C}}(x, h(i)\bar{y})$ , then  $\psi_{\mathbb{C}}(x, y) = 0$  for all  $x \in H^{p,q}$  and  $y \in H^{p',q'}$  with  $(p, q) \neq (p', q')$ . Using this, we see easily that  $(W_{\mathbb{C}})^{\perp} = \bigoplus_{(p,q)} ((W_{\mathbb{C}})^{\perp} \cap H^{p,q})$  where  $(W_{\mathbb{C}})^{\perp}$  is the orthogonal complement of  $W_{\mathbb{C}}$  in  $H_{\mathbb{C}}$  with respect to  $\psi_{\mathbb{C}}$ . To check that  $W^{\perp}$  is sub-Hodge structure of  $H$ , it is therefore enough to show that  $(W^{\perp})_{\mathbb{C}} = (W_{\mathbb{C}})^{\perp}$ , which is true since

$$(W_{\mathbb{C}})^{\perp} = \text{Hom}_{\mathbb{C}}(H_{\mathbb{C}}/W_{\mathbb{C}}, \mathbb{C}) = \text{Hom}_{\mathbb{Q}}(H/W, \mathbb{Q})_{\mathbb{C}} = (W^{\perp})_{\mathbb{C}}.$$

## Proof (Cont.)

It remains to check the equality  $H = W \oplus W^\perp$  (this equality is then automatically an identification of Hodge structures). For this, we need to show that the restriction  $\psi|_W$  is non-degenerate, or equivalently,  $\psi_{\mathbb{C}}|_{W_{\mathbb{C}}}$  is non-degenerate, which is true since  $\psi_{\mathbb{C}}$  is even definite on  $H_{\mathbb{C}}$  (this follows from our hypothesis that the form  $(x, y) \mapsto (2\pi i)^n \psi_{\mathbb{R}}(x, h(i)y)$  is symmetric and definite on  $V_{\mathbb{R}}$ ).  $\square$

# Mumford-Tate groups

We will now define our objects of main interest.

## Definition

Let  $V$  be a  $\mathbb{Q}$ -Hodge structure, with corresponding representation  $h : \mathbb{S} \rightarrow GL(V_{\mathbb{R}})$ . The Mumford-Tate group of  $V$ , denoted  $MF(V)$ , is defined to be the smallest (closed)  $\mathbb{Q}$ -algebraic subgroup  $M$  of  $GL(V)$  such that  $h$  factors through the subgroup  $M_{\mathbb{R}} \subseteq GL(V_{\mathbb{R}})$ .

# Properties of Mumford-Tate groups

The key property of the Mumford-Tate group is that it cuts out precisely the sub-Hodge structure inside any tensor construction obtained from  $H$ . To explain rigorously what we mean by this, we need some notation. For a finite collection of pairs of nonnegative integers  $\nu = \{(a_i, b_i)\}$ , we define

$$T^\nu := \bigoplus_i H^{\otimes a_i} \otimes (H^\vee)^{\otimes b_i}.$$

Then  $T^\nu$  inherits from  $H$  a  $\mathbb{Q}$ -Hodge structure. We often refer to spaces of the form  $T^\nu$  as tensor spaces obtained from  $H$ .

## Proposition

Let  $W \subseteq T^V$  be a  $\mathbb{Q}$ -subspace. Then  $W$  is a sub-Hodge structure if and only if it is stable the action of  $\text{MT}(V)$  on  $T^V$ .

## Proof

If  $W$  is stable under the action of  $\text{MT}(V)$ , it is a representation of  $\text{MT}(V)$  and therefore a sub-Hodge structure. Conversely, suppose that  $W \subseteq T^V$  is a  $\mathbb{Q}$ -sub-Hodge structure. Let  $G_W \subseteq \text{GL}(V)$  be the subgroup of those elements that preserve  $W$ . Then  $G_W$  is a closed  $\mathbb{Q}$ -algebraic subgroup of  $\text{GL}(V)$ , and its set of real points contains the image of  $\rho$  because  $V$  is a sub-Hodge structure. Thus,  $\text{MT}(V) \subseteq G_W$  by definition, and hence  $W$  is preserved by  $\text{MT}(V)$  as wanted.  $\square$

### Definition

Let  $H$  be a  $\mathbb{Q}$ -Hodge structure. An element  $\xi \in H$  is called a Hodge class if  $\xi$  is purely of type  $(0,0)$  in the Hodge decomposition  $H_{\mathbb{C}} = \bigoplus_{(p,q)} H^{p,q}$ .

### Remark

The space of Hodge classes in  $H$  has an alternative description, namely it can be naturally identified with  $\text{Hom}_{\text{HS}_{\mathbb{Q}}}(\mathbb{Q}(0), H)$ .

### Proposition

An element  $t \in T^V$  is a Hodge class in  $T^V$  if and only if  $t$  is invariant under the action of  $\text{MT}(V)$  on  $T^V$ .

## Proof

Let  $L \subseteq T^\vee \oplus \mathbb{Q}(0)$  be the line generated by  $(t, 1)$ . Then  $t$  is Hodge class in  $T^\vee$  if and only if  $L$  is sub-Hodge structure of  $T^\vee \oplus \mathbb{Q}(0)$ . By the preceding result, the latter holds precisely if  $L$  is stable under the action of  $\text{MT}(V)$  on  $T^\vee$ , which in turn holds if and only if  $t$  is fixed by  $\text{MT}(V)$  (keep in mind that  $\text{MT}(V)$  acts trivially on  $\mathbb{Q}(0)$ ).  $\square$

## Example

Given  $\mathbb{Q}$ -Hodge structures  $H$  and  $H'$ , we have seen earlier that the Hodge classes in  $\text{Hom}(H, H') = H^\vee \otimes H'$  are precisely those which are morphisms of Hodge structures. In particular, it follows from the previous proposition that

$$\text{End}_{\text{HS}_{\mathbb{Q}}}(H) = (\text{End}_{\mathbb{Q}}(H))^{\text{MT}(V)}.$$



## Proposition

Let  $H$  be a pure  $\mathbb{Q}$ -structure of weight  $n$ .

- (i) Assume in addition that  $H$  is polarizable. Then  $\mathrm{MT}(H)$  is a connected reductive subgroup of  $\mathrm{GL}(H)$ .
- (ii) If  $n = 0$ , then  $\mathrm{MT}(H)$  is contained in  $\mathrm{SL}(H)$ . If  $n \neq 0$ ,  $\mathrm{MT}(H)$  contains  $\mathbb{G}_m \cdot \mathrm{id}_H \subseteq \mathrm{GL}(H)$ .

## Proof

(i) That  $\mathrm{MT}(H)$  is connected is true even if  $H$  is not polarizable. To see this, let  $\mathrm{MT}^0(H)$  be the connected component of the identity in  $\mathrm{MT}(H)$ . Then it is a standard fact that  $\mathrm{MT}^0(H)$  is a closed subgroup of  $\mathrm{MT}(H)$ . Moreover, the map  $\mathbb{S} \rightarrow \mathrm{MT}(H)$  factors through  $\mathrm{MT}^0(H) \subseteq \mathrm{MT}(H)$  as  $\mathbb{S}$  is connected. Hence,  $\mathrm{MT}^0(H) = \mathrm{MT}(H)$  by definition of the latter. It remains to show that  $\mathrm{MT}(H)$  is reductive provided  $H$  is polarizable.

## Proof (Cont.)

For this, we will make use of the fact that, over a field of characteristic 0, a connected linear algebraic group is reductive if and only if it has a faithful semisimple representation. Consider the tautological representation  $\mathrm{MT}(H) \hookrightarrow \mathrm{GL}(H)$ . Its sub-representations are exactly the sub-Hodge structures of  $H$ . The desired conclusion then follows from the fact that the category of polarizable  $\mathbb{Q}$ -Hodge structures is semisimple.

(ii) This follows from the definition of  $\mathrm{MT}(H)$ .  $\square$

## Corollary

$\mathrm{MT}(\mathbb{Q}(n)) = \mathbb{G}_{m,\mathbb{Q}}$  if  $n \neq 0$ , and  $\mathrm{MT}(\mathbb{Q}(0)) = 1$ .

Thank you for your attention!