

Galois Categories

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Main Theorem of Galois Theory

Theorem (Lenstra)

Let $k \subset L$ be a Galois extension of fields with Galois group G . Then the set of immediate fields of $K \subset L$ corresponds bijectively to the set of closed subgroups of G . More precisely, the maps

$$\begin{aligned} \phi : \{E \mid E \text{ is a subfield of } L \text{ containing } k\} &\rightarrow \{H \mid H \text{ is a closed subgroup of } G\} \\ E &\mapsto \text{Aut}_E(L) \end{aligned}$$

and

$$\begin{aligned} \psi : \{H \mid H \text{ is a closed subgroup of } G\} &\rightarrow \{E \mid E \text{ is a subfield of } L \text{ containing } k\} \\ H &\mapsto L^H \end{aligned}$$

are bijective and inverse to each other. This correspondence reverses the inclusion relations, K corresponds to G and L to $\{\text{id}_L\}$.



Profinite Groups

Theorem (Lenstra)

Let k be a field, and L a subfield of \bar{k} containing k . Denote by I the set of subfields E of L for which E is a finite Galois extension of k . Then I , when partially ordered by inclusion, is a directed partially ordered set. Moreover, the following four assertions are equivalent:

- 1) L is a Galois extension of k
- 2) L is normal and separable over k
- 3) There is a set $F \subset k[X] \setminus \{0\}$ of separable polynomials such that L is the splitting field F over k .
- 4) $\bigcup_{E \in I} E = L$. Finally, if these conditions are satisfied, then there is a group isomorphism

$$\mathrm{Gal}(L/k) \cong \varprojlim_{E \in I} \mathrm{Gal}(E/k).$$



Continuous Action

Let L be a finite separable extension of k . We consider the following map

$$\begin{aligned} \text{Gal}(k^{sep}/k) \times \text{Mor}(L, k^{sep}) &\rightarrow \text{Mor}(L, k^{sep}) \\ \sigma \cdot \phi &\mapsto \sigma \circ \phi \end{aligned}$$



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Lemma (Szamuely)

The above left action of $\text{Gal}(k^{\text{sep}}/k)$ on $\text{Mor}(L, k^{\text{sep}})$ is continuous and transitive, hence $\text{Mor}(L, k^{\text{sep}})$ as a $\text{Gal}(k^{\text{sep}}/k)$ -set is isomorphic to the left coset space of some open subgroup in $\text{Gal}(k^{\text{sep}}/k)$. For L Galois over k this coset space is in fact a quotient by an open normal subgroup.

Theorem (Main Theorem of Galois Theory - Grothendieck's version)

Let k be a field. Then the functor mapping a finite étale k -algebra A to the finite set $\text{Mor}(A, k^{\text{sep}})$ gives an anti-equivalence between the category of finite étale k -algebras and the category of finite sets with continuous left $\text{Gal}(k^{\text{sep}}/k)$ -action. Here separable field extensions give rise to sets with transitive $\text{Gal}(k^{\text{sep}}/k)$ -action and Galois extensions to $\text{Gal}(k^{\text{sep}}/k)$ -sets isomorphic to finite quotients of $\text{Gal}(k^{\text{sep}}/k)$.

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Theorem (Szamuely)

Let k be a field with fixed separable closure k^{sep} . The contravariant functor mapping a finite separable extension $L|k$ to the finite $\text{Gal}(k^{\text{sep}}/k)$ -set gives an anti-equivalence between the category of finite separable extensions of k and the category of finite sets with continuous and transitive left $\text{Gal}(k^{\text{sep}}/k)$ -action. Here Galois extensions give rise to $\text{Gal}(k^{\text{sep}}/k)$ -sets isomorphic to some finite quotient of $\text{Gal}(k^{\text{sep}}/k)$.

Automorphism Group of Functor



Automorphism Group of Functor

Definition

Let $F : \mathcal{C} \rightarrow \mathbf{Sets}$ be a functor. An automorphisms of F is an invertible natural transformation of functors $F \rightarrow F$. Equivalently, an automorphisms of F is a collection of bijection $\sigma_X : F(X) \rightarrow F(X)$, one for each $X \in \text{Ob}(\mathcal{C})$ such that for each morphism $f : Y \rightarrow Z$ the diagram

$$\begin{array}{ccc}
 F(Y) & \xrightarrow{F(f)} & F(Z) \\
 \downarrow \sigma_Y & & \downarrow \sigma_Z \\
 F(Y) & \xrightarrow{F(f)} & F(Z)
 \end{array}$$

is commutative.

Automorphism Group of Functor

Topological Properties of Automorphism Group of Functor



There is a canonical injective map

$$\begin{aligned} \text{Aut}(F) &\rightarrow \prod_{X \in \text{Ob}(\mathcal{C})} \text{Aut}(F(X)) \\ \sigma &\mapsto (\sigma_X)_{X \in \text{Ob}(\mathcal{C})}. \end{aligned} \tag{1}$$

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For any $X \in \mathcal{C}$, we consider the following map

$$\begin{aligned} \text{Aut}(F) \times F(X) &\rightarrow F(X) \\ \sigma.t &\mapsto \sigma_X(t). \end{aligned}$$

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$$\begin{aligned} \text{Aut}(F) \times F(X) &\rightarrow F(X) \\ \sigma.t &\mapsto \sigma_X(t). \end{aligned}$$

The universal property of our topology on $\text{Aut}(F)$ is the following: suppose that G is a topological group and $G \rightarrow \text{Aut}(F)$ is a group homomorphism such that the induced actions $G \times F(X) \rightarrow F(X)$ are continuous for all $X \in \text{Ob}(\mathcal{C})$ where $F(X)$ has the discrete topology. Then $G \rightarrow \text{Aut}(F)$ is continuous.

Proposition

Let \mathcal{C} be a category and let $F : \mathcal{C} \rightarrow \mathbf{Sets}$ be a functor. The map (1) identifies $\mathbf{Aut}(F)$ with a closed subgroup of $\prod_{X \in \mathbf{Ob}(\mathcal{C})} \mathbf{Aut}(F(X))$. In particular, if $F(X)$ is finite for all X , then $\mathbf{Aut}(F)$ is a profinite group.

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Proof.

- For each morphism $g : Y \rightarrow Z$, we define a subset as

$$\Gamma_g = \{(\sigma_X) \in \prod_{X \in \mathcal{C}} \text{Aut}(F(X)) \mid \sigma_Z F(g) = F(g) \sigma_Y\}.$$

Γ_g is closed in product topology of $\text{Aut}(F)$.

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Γ_g is closed in product topology of $\text{Aut}(F)$.

- We have

$$\text{Aut}(F) = \bigcap_{g: Y \rightarrow Z} \Gamma_g.$$

is a closed subgroup of profinite group $\prod_{X \in \text{Ob}(\mathcal{C})} \text{Aut}(F(X))$ hence is profinite. ■

Definition

Let G be a topological group. The **profinite completion** of G will be the profinite group

$$\widehat{G} = \varprojlim_{U \subset G \text{ open, normal, finite index}} G/U$$

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Example

Let \mathbb{F}_q be a finite field, with $|\mathbb{F}_q| = q$ and with algebraic closure $\overline{\mathbb{F}}_q$ and with algebraic closure $\overline{\mathbb{F}}_q$. The only finite extension of \mathbb{F}_q in $\overline{\mathbb{F}}_q$ are the fields $\mathbb{F}_{q^n} = \{\alpha \in \overline{\mathbb{F}}_q \mid \alpha^{q^n} = \alpha\}$ for $n \in \mathbb{Z}, n \geq 1$. Each \mathbb{F}_{q^n} is Galois over \mathbb{F}_q , with $\text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q) \cong \mathbb{Z}/n\mathbb{Z}$, the generator of $\mathbb{Z}/n\mathbb{Z}$ corresponding to the Frobenius automorphism F with $F(\alpha) = \alpha^q$ for all α . Taking projective limits, we see that the absolute Galois group of \mathbb{F}_q is isomorphic to $\hat{\mathbb{Z}}$, with $1 \in \hat{\mathbb{Z}}$ corresponding to $F \in \text{Gal}(\overline{\mathbb{F}}_q/\mathbb{F}_q)$.

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$$F_G : \text{Finite-}G\text{-sets} \rightarrow \text{Sets}, \quad (2)$$

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Consider the forgetful functor (2). Then

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Proof.

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Proposition

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Proof.

- There exists a continuous map $\gamma : G \rightarrow \text{Aut}(F_G)$.
- We get $\widehat{G} \rightarrow \text{Aut}(F_G)$ because $\text{Aut}(F_G)$ is profinite and universal property.

$$\begin{array}{ccc}
 G & \xrightarrow{\quad \wedge \quad} & \widehat{G} \\
 \searrow \gamma & & \swarrow \beta \\
 & \text{Aut}(F) &
 \end{array}$$

Proof.

- Show that $\widehat{G} \rightarrow \text{Aut}(F_G)$ is injective. To see this, if $U \triangleleft G$ is open and finite index, then $X = G/U$ belongs to **Finite- G -sets**. Since G/U acts faithfully on G/U the map $\beta : \widehat{G} \rightarrow \text{Aut}(F_G)$ is injective.

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- Let $a \in \text{Aut}(F_G)$ and let $X \in \text{Ob}(\mathcal{C})$. We will show there is a $g \in G$ such that a and g induce the same action on $F_G(X)$.

Proposition

Let G be a topological group. Let $F : \text{Finite-}G\text{-sets} \rightarrow \text{Sets}$ be an exact functor with $F(X)$ finite for all X . Then F is isomorphic to the forgetful functor (2).

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Proof.

- Let X be a non-empty object of $\text{Finite-}G\text{-sets}$. We can show that $F(X)$ is non-empty.

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Proof.

- Let be an non-empty object of $X \in \text{Finite-}G\text{-sets}$. We can show that $F(X)$ is non-empty.
- Let $U \subset G$ be an open, normal subgroup with finite index. Observe that

$$G/U \times G/U = \coprod_{gU \in G/U} G/U,$$

where gU corresponds to the orbit of (eU, gU) . Hence $|F(G/U)| = |G/U|$. Thus we see that

$$A = \varprojlim_{U \subset G \text{ open, normal, finite index}} F(G/U)$$

is non-empty. ■

Proof.

- We can identify F_G with the functor

$$X \mapsto \varinjlim_U \text{Mor}(G/U, X)$$

where $f : G/U \rightarrow X$ corresponds to $f(eU) \in X = F_G(X)$.

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where $f : G/U \rightarrow X$ corresponds to $f(eU) \in X = F_G(X)$.

- Pick $\gamma = (\gamma_U)$ an element in A , we define a map

$$t : F_G \rightarrow F.$$

Namely, given $x \in X$ choose U and $f : G/U \rightarrow X$ sending eU to x and then set $t_X(x) = F(f)(\gamma_U)$.



Definition

Let \mathcal{C} be a category and let $F : \mathcal{C} \rightarrow \mathbf{Sets}$ be a functor. The pair (\mathcal{C}, F) is a **Galois category** if

- G1 There is a terminal object in \mathcal{C} , and the fibred product of any objects over a third one exists in \mathcal{C}
- G2 Finite coproducts exists in \mathcal{C} , and for any object in \mathcal{C} the quotient by a finite group of automorphism exists
- G3 Any morphism u in \mathcal{C} can be written as $u = u' u''$ where u'' is an epimorphism and u' a monomorphism, and any monomorphism $u : X \rightarrow Y$ in \mathcal{C} is an isomorphism of X with a direct summand of Y
- G4 The functor F transforms terminal objects in terminal objects and commutes with fibred products
- G5 The functor F commutes with finite sums, transforms epimorphism in epimorphism and commutes with passage to the quotient by a finite group of automorphisms.
- G6 If u is a morphism in \mathcal{C} such that $F(u)$ is an isomorphism, then u is an isomorphism.



Galois Category

Definition (Stacks 0BMQ)

Let \mathcal{C} be a category and let $F : \mathcal{C} \rightarrow \mathbf{Sets}$ be a functor. The pair (\mathcal{C}, F) is a **Galois category** if

- i) \mathcal{C} has finite limits and finite colimits
- ii) Every object of \mathcal{C} is a finite (possibly empty) coproduct of connected objects,
- iii) $F(X)$ is finite for all $X \in \mathbf{Ob}(\mathcal{C})$, and
- iv) F reflects isomorphisms and is exact.



Examples of Galois category

- 1) The category of finite sets, **Finsets**, with the identity functor to itself.



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- 3) Let \mathcal{C} be a category of finite coverings of a connected topological space X . Fix $x \in X$, we define the fiber functor as follows

$$F_x : \mathcal{C} \rightarrow \mathbf{Sets}$$
$$(f : Y \rightarrow X) \mapsto f^{-1}(x).$$



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- 3) Let \mathcal{C} be a category of finite coverings of a connected topological space X . Fix $x \in X$, we define the fiber functor as follows

$$F_x : \mathcal{C} \rightarrow \mathbf{Sets}$$
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- 4) Let \mathcal{C} be the opposite of the category of $k\mathbf{SAlg}$ of separable k -algebras. Given $B \in \mathcal{C}$, we define the fiber functor as follows

$$F : \mathcal{C} \rightarrow \mathbf{Sets}$$
$$B \mapsto \mathbf{Mor}(B, k^{sep}).$$

Lemma

Let (\mathcal{C}, F) be a Galois category. Let $f : X \rightarrow Y \in \text{Arrows}(\mathcal{C})$. Then

- 1) F is faithful
- 2) $f : X \rightarrow Y$ is a monomorphism if and only if $F(f) : F(X) \rightarrow F(Y)$ is injective
- 3) $f : X \rightarrow Y$ is an epimorphism if and only if $F(f) : F(X) \rightarrow F(Y)$ is surjective
- 4) An object A of \mathcal{C} is initial if and only if $F(A) = \emptyset$
- 5) An object Z of \mathcal{C} is final if and only if $F(Z)$ is a singleton
- 6) If X and Y are connected, then $X \rightarrow Y$ is an epimorphism
- 7) If X is a connected object and $a, b : X \rightarrow Y$ are two morphisms then $a = b$ as soon as $F(a)$ and $F(b)$ agree on one element of $F(X)$
- 8) If $X = \coprod_{i=1, \dots, n} X_i$ and $Y = \coprod_{j=1, \dots, m} Y_j$ (X_i and Y_j are connected) then there is a map $\alpha : 1, \dots, n \rightarrow 1, \dots, m$ such that $f : X \rightarrow Y$ comes from a collection of morphisms $X_i \rightarrow Y_{\alpha(i)}$.



Connected Object

Definition

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- 1) Let G be a profinite group and E is a finite G -set. E is connected if and only if the action of G on E is transitive.



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Lemma

Let (\mathcal{C}, F) be a Galois category and $X \in \mathcal{C}$ is a connected object. Then we have

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- 1) Any $u \in \text{Mor}(X, X)$ is an automorphism.
- 2) For any object X , $\text{Aut}(X)$ acts on $F(X)$ by $u.a = F(u)(a), \forall u \in \text{Aut}(X), \forall a \in F(X)$. For any $a \in F(X)$ the map

$$\begin{aligned}\theta_a : \text{Aut}(X) &\rightarrow F(X) \\ u &\mapsto F(u)(a) = u.a\end{aligned}$$

is injective.

Lemma

Let (\mathcal{C}, F) be a Galois category and $X \in \mathcal{C}$ is a connected object. Then we have

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Definition

A connected object X is Galois if for any $a \in F(X)$, the map

$$\begin{aligned}\theta_a : \text{Aut}(X) &\rightarrow F(X) \\ u &\mapsto F(u)(a) = u.a\end{aligned}$$

is bijective.

Proposition

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Proof.

Let $n = |F(X)|$. Consider X^n endowed with natural action of S_n . Let

$$X^n = \coprod_{t \in T} Z_t$$

be the decomposition into connected objects. Pick a t such that $F(Z_t)$ contains (s_1, \dots, s_n) with s_i pairwise distinct.

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Let $G \subset S_n$ be the subgroup of elements with $g(Z_t) = Z_t$. We can see that the action of G on $F(Z_t)$ is transitive. ■



Pro-representable Functor

Definition

Let \mathcal{C} be a category and F be functor from \mathcal{C} to **Sets**. We say that F is **prorepresentable** if there exists a directed set I , a projective system $(A_i, \phi_{ij})_{i \in I}$ of objects in \mathcal{C} and elements $a_i \in F(A_i)$ such that

- 1) $a_i = F(\phi_{ij}(a_j))$ for $j \geq i$.
- 2) For any $X \in \mathcal{C}$, the natural map

$$\varprojlim_{i \in I} \text{Mor}_{\mathcal{C}}(A_i, X) \rightarrow F(X)$$

induced by a_i is bijective.

In addition, if the ϕ_{ij} are epimorphism of \mathcal{C} , we say that F is **strictly pro-representable**.

Proposition

Let (\mathcal{C}, F) be a Galois category. For any connected object X in \mathcal{C} , the action of $G = \text{Aut}(F)$ on $F(X)$ is transitive.

Proof.

- Let I be a set of isomorphism classes of Galois objects in \mathcal{C} . For each $i \in I$, pick a representative X_i .

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- $i \geq i'$ if and only if there exist $f_{ii'} : X_i \rightarrow X_{i'}$.
- Pick $\gamma_i \in F(X_i)$, for $i \geq i'$ pick $f_{ii'} : X_i \rightarrow X_{i'}$ such that $F(f_{ii'}) (\gamma_i) = (\gamma_{i'})$. (This morphism is uniquely determined).

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- Pick $\gamma_i \in F(X_i)$, for $i \geq i'$ pick $f_{ii'} : X_i \rightarrow X_{i'}$ such that $F(f_{ii'}) (\gamma_i) = (\gamma_{i'})$. (This morphism is uniquely determined).
- $A_i = \text{Aut}(X_i)$ acts transitively on $F(X_i)$. Suppose

$$\begin{array}{ccc}
 X_i & \xrightarrow{f_{ii'}} & X_{i'} \\
 \downarrow a & & \downarrow h_{ii'}(a) \\
 X_i & \xrightarrow{f_{ii'}} & X_{i'}
 \end{array}$$

Proof.

- The collection of A_i and transitive maps $h_{ij'} : A_i \rightarrow A_{j'}$ forms an inverse system of finite groups over (I, \geq) .

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Proof.

- The collection of A_i and transitive maps $h_{ij'} : A_i \rightarrow A_{i'}$ forms an inverse system of finite groups over (I, \geq) .
- $A = \varprojlim A_i$ is profinite group and morphisms $A \rightarrow A_i$ are surjective.
- There exists $A^{opp} \rightarrow \text{Aut}(F)$ by proving that the functor F' is isomorphic to F where

$$F' : \mathcal{C} \rightarrow \text{Finite} - G - \text{sets}$$

$$X \mapsto \varinjlim \text{Mor}(X_i, X).$$

- $A \rightarrow A_i$ is surjective we conclude that G acts transitively on $F(X_i)$ for all i . Since every connected object is dominated by one of the X_i we conclude the proposition is true.



Proof.

- The collection of A_i and transitive maps $h_{ij'} : A_i \rightarrow A_{i'}$ forms an inverse system of finite groups over (I, \geq) .
- $A = \varprojlim A_i$ is profinite group and morphisms $A \rightarrow A_i$ are surjective.
- There exists $A^{opp} \rightarrow \text{Aut}(F)$ by proving that the functor F' is isomorphic to F where

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$$\begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 (pr_1|_Z)^{-1} \searrow & & \nearrow pr_2|_Z \\
 & Z &
 \end{array}$$

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- Get $M \leftrightarrow (K/U)^{opp}$.
- Let $X = Y/M$, i.e., X is the coequalizer of the arrows $m : Y \rightarrow Y, m \in M$. Since F is exact we see that $F(X) = G/H$ and the proof is complete.





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